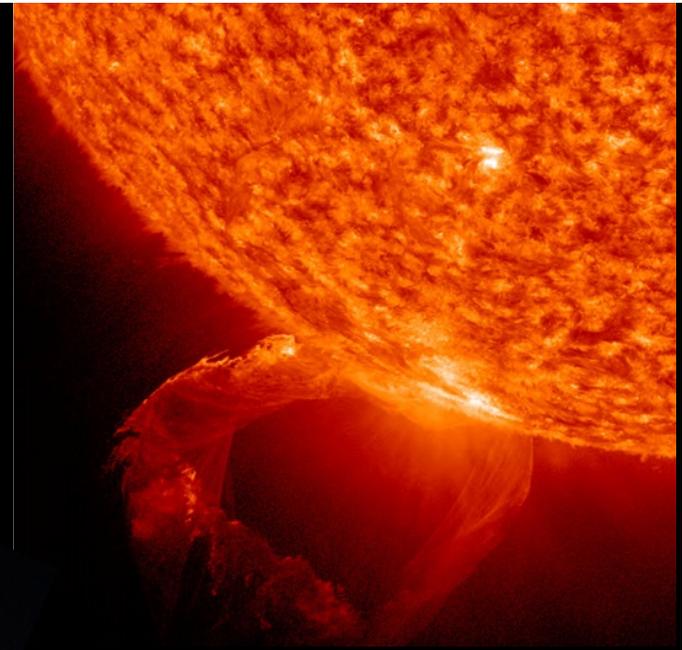


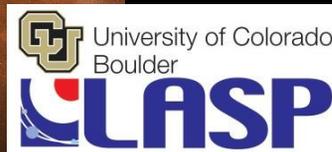
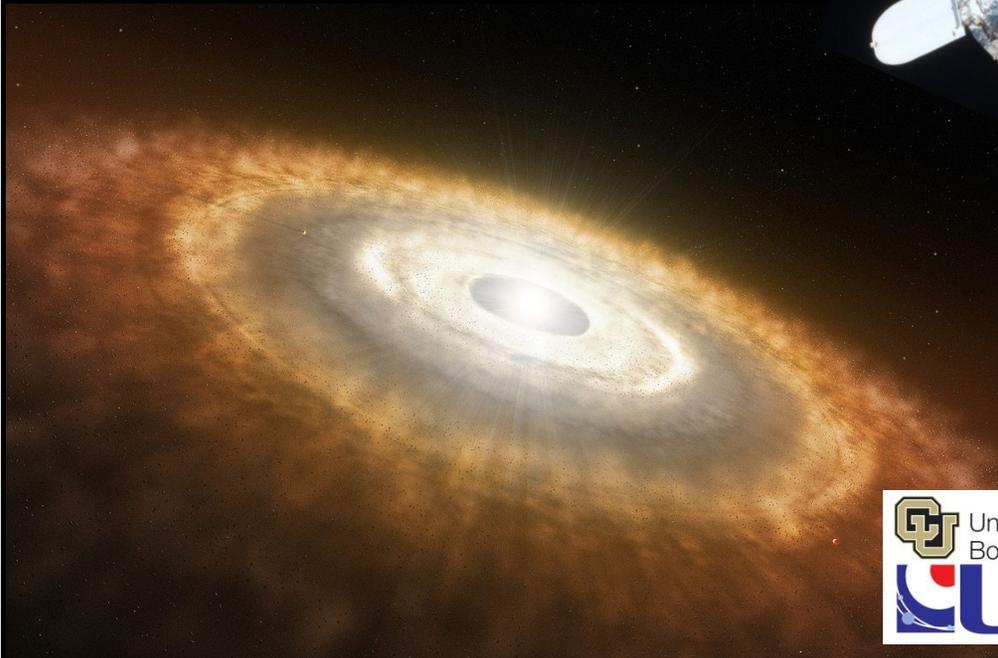
The Ultraviolet View of Protoplanetary Disks: from Hubble To LUVVOIR



Kevin France

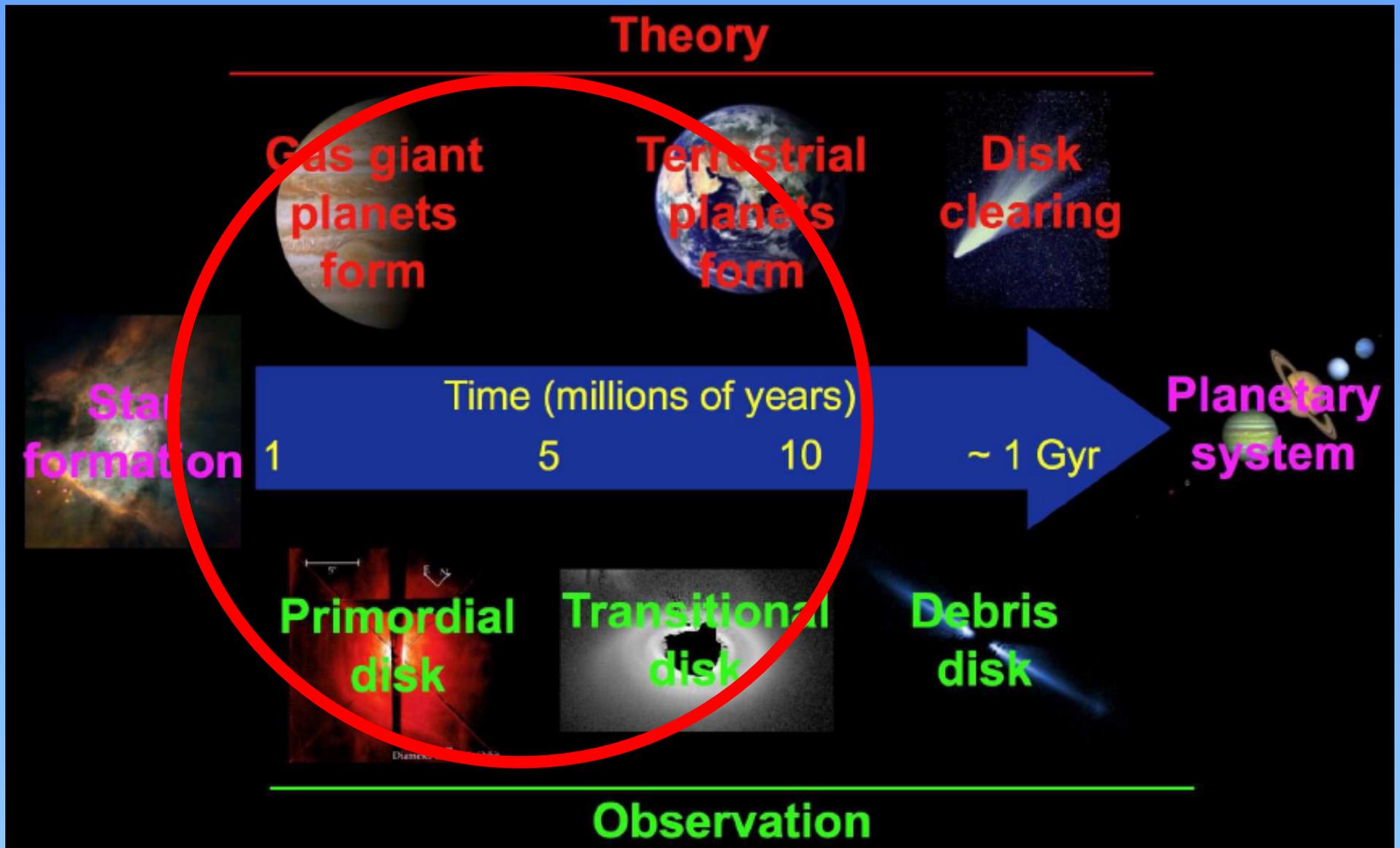
University of Colorado

LUVVOIR Web Seminar – August 10th 2016

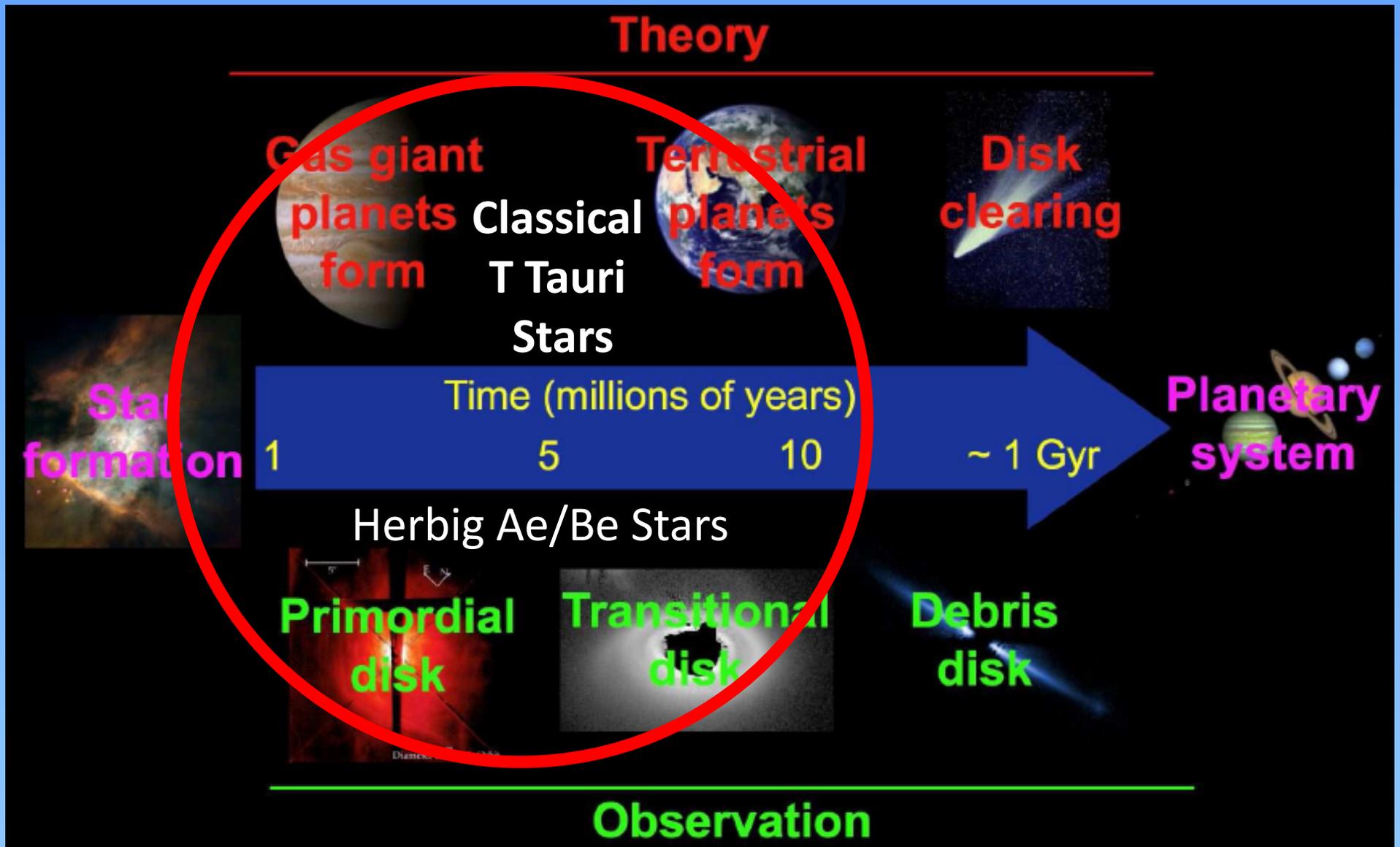


Outline

1. Circumstellar gas and dust are the building blocks of planetary systems. New molecular disk diagnostics probed using HST-COS
 2. Structure, evolution, and composition of molecular gas at planet-forming radii ($r < 10$ AU) in protoplanetary environments
-
3. The future: Statistical surveys, absorption line spectroscopy towards nearly edge-on disks. Need more effective area, more field-of-view, more spectral resolution...
 4. The CHISL spectrograph concept: high-resolution and multi-object FUV spectroscopy with LUVOIR

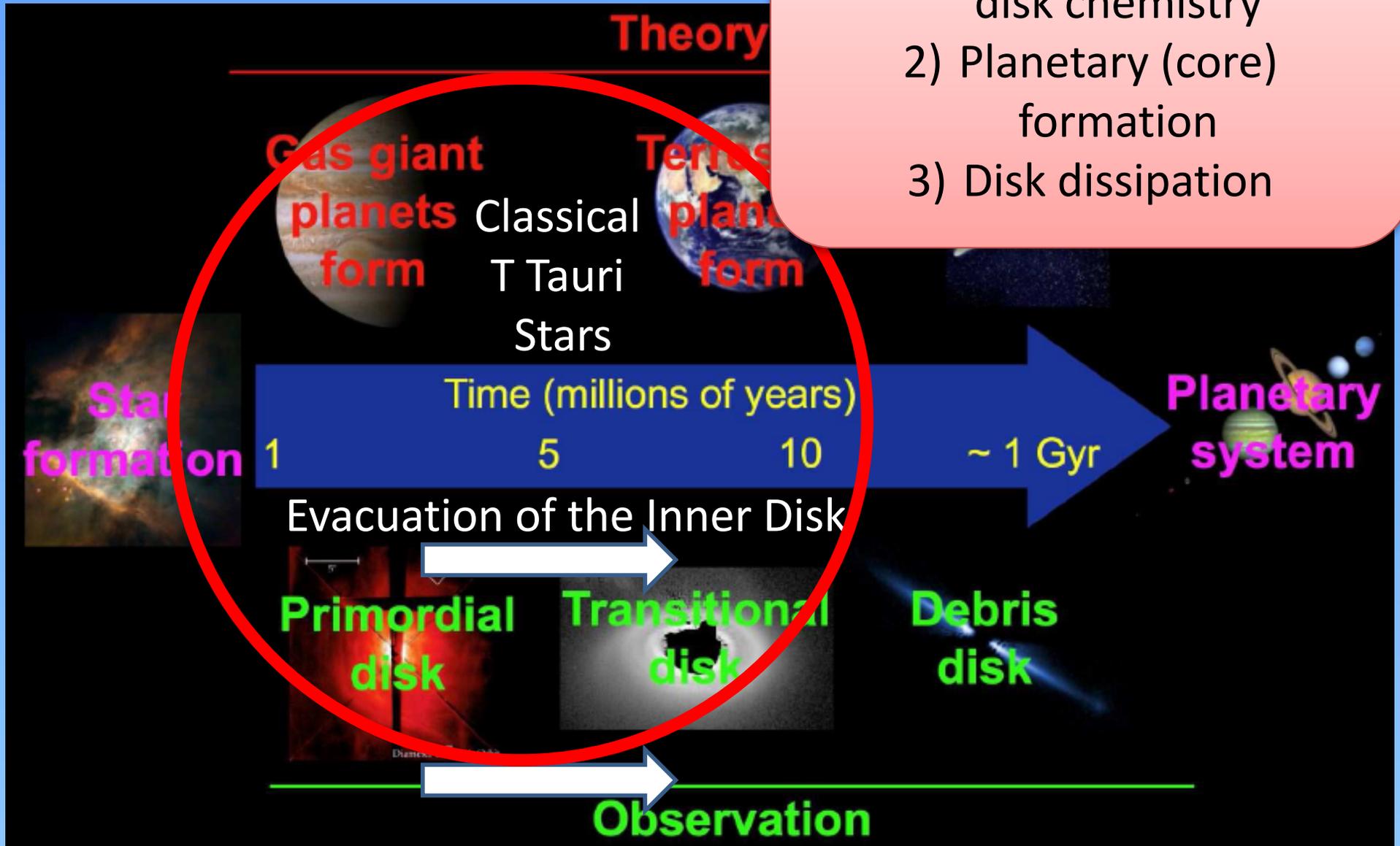


A. Roberge et al. 2009 – Astro2010 White Paper

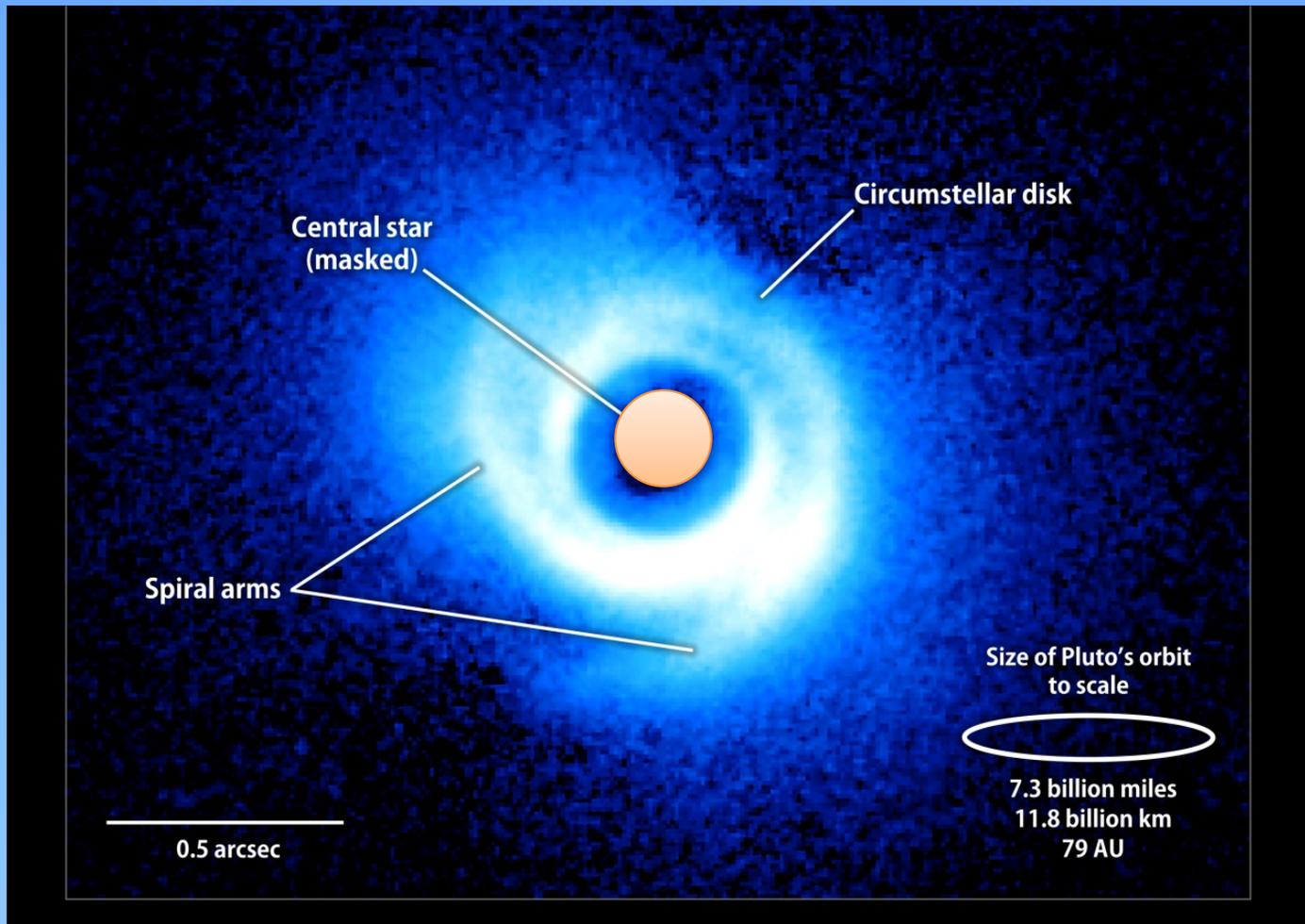


A. Roberge et al. 2009 – Astro2010 White Paper

- 1) Dark cloud chemistry to disk chemistry
- 2) Planetary (core) formation
- 3) Disk dissipation



Gas & Dust Disk Structure and Evolution



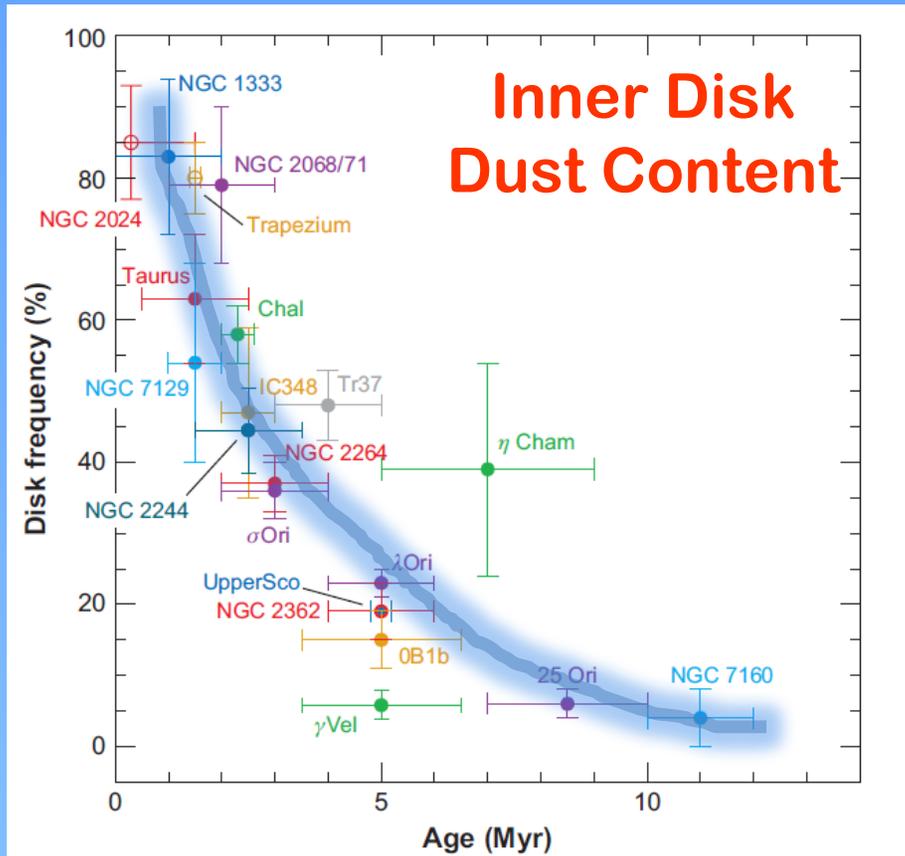
Muto et al. 2012,
Subaru/HiCIAO

Garufi et al. 2013
VLT/NACO

Dust at $r < 10$ AU

Dust disks are observed to clear between $\sim 1 - 10$ Myr

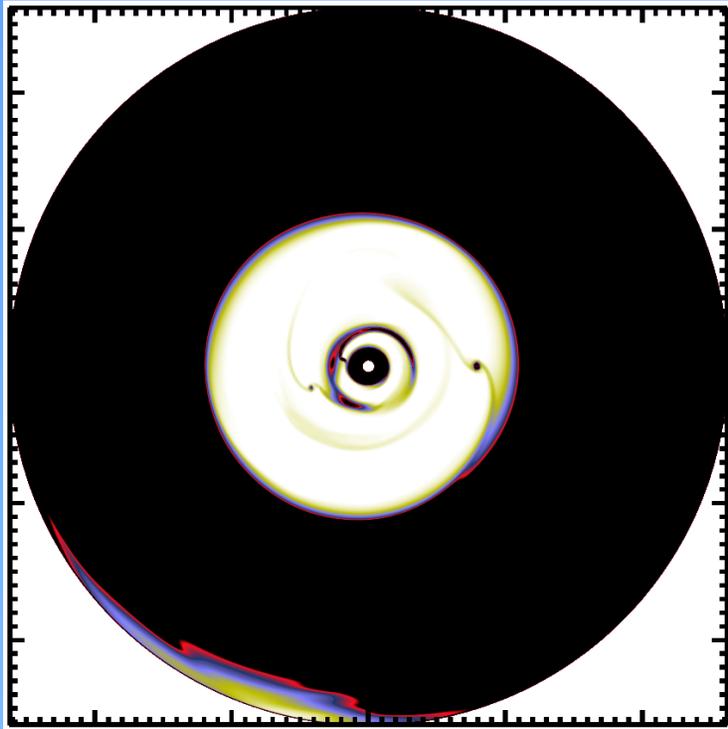
“Primordial” \rightarrow “Debris”



Hernandez+ 2007

Wyatt, ARAA, 2008

Dust and Gas at $r < 10$ AU



13 μm optical depth
(Dodson-Robinson & Salyk 2011)

Dust disks clear between
 $\sim 1 - 10$ Myr

“Primordial” \rightarrow “Transitional”?
 \rightarrow “Debris”

- (multi-)Planetary systems
(w/Magnetorotational instability?)

Dong & Dawson 2016
Dodson-Robinson & Salyk 2011
Chiang & Murray-Clay 2007

- UV + X-ray photoevaporation

Alexander et al. 2006
Alexander & Armitage 2007
Gorti & Hollenbach 2009
Alexander et al. PPVI 2014

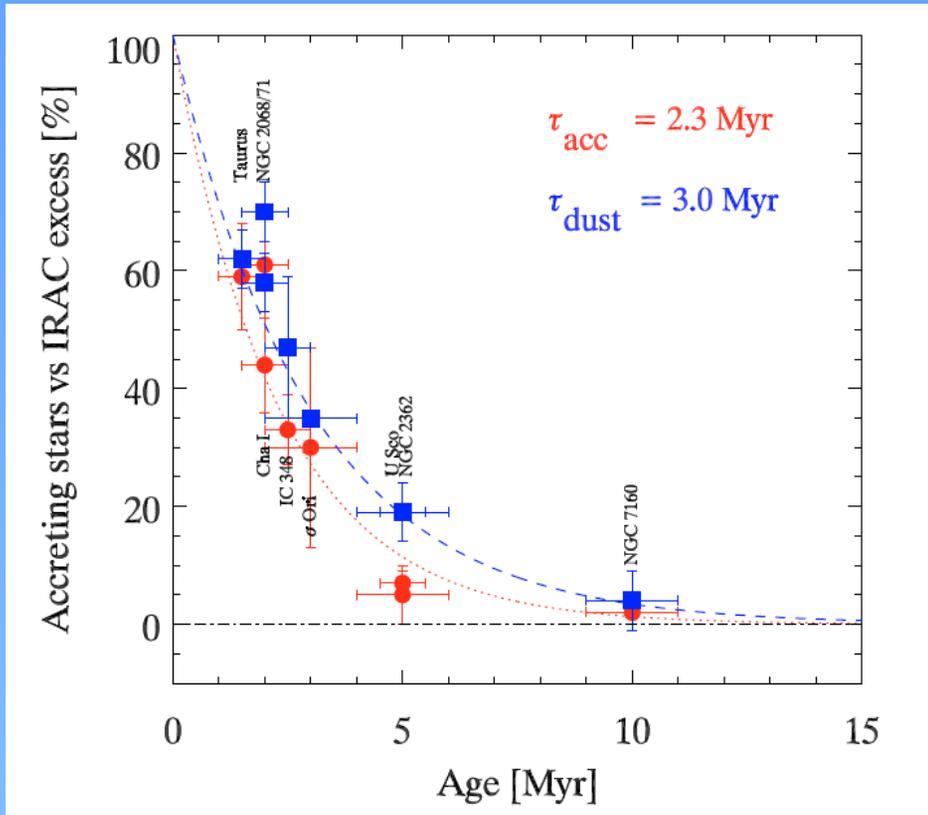
Gas at $r < 10$ AU

Our definition of inner disk evolution is
mostly driven by dust characteristics

Does dust content = gas content?

Indirect Gas Observations at $r < 10$ AU

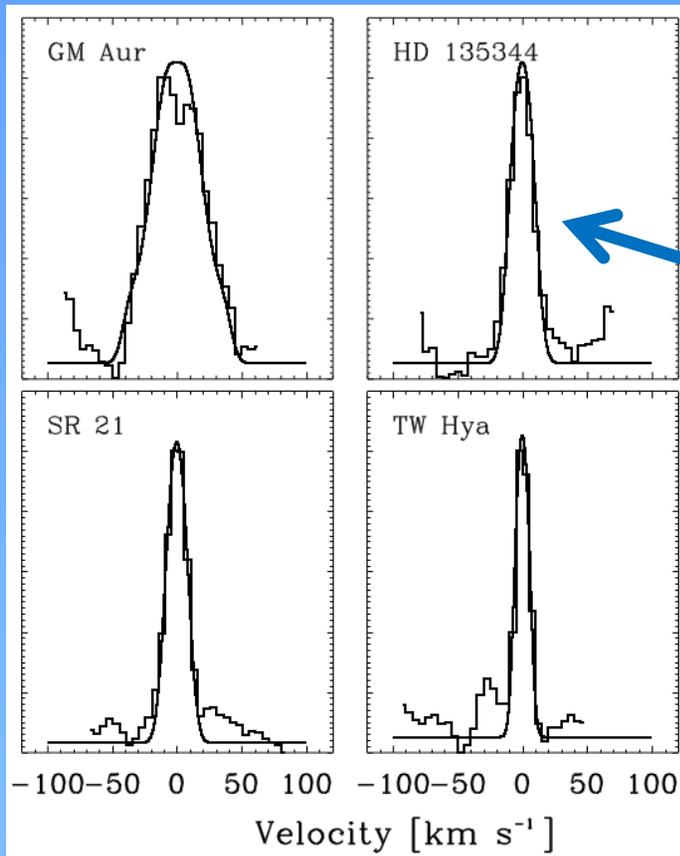
Accretion indicates that gas depletes on comparable time-scales: ~ 2.5 Myr*



Fedele+, 2010

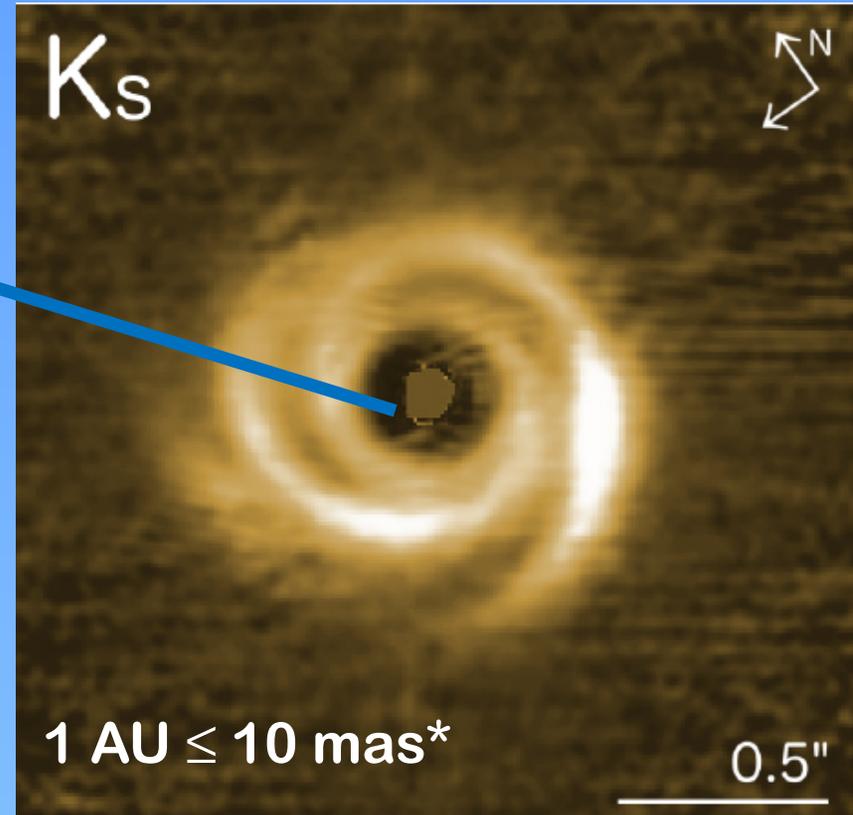
* $\tau_{\text{dust}} \sim 4 - 6$ Myr @ $24 \mu\text{m}$; Ribas et al. (2014)

Molecules at $r < 10$ AU



Salyk et al. 2009, Brown et al. 2013,
Banzatti & Pontoppidan 2015

Collisionally and photo-excited CO
disks remain at $r \leq 1$ AU* in
systems older than 5 Myr with
evolved inner dust disks



Muto et al. 2012,
Subaru/HiCIAO

Garufi et al. 2013
VLT/NACO

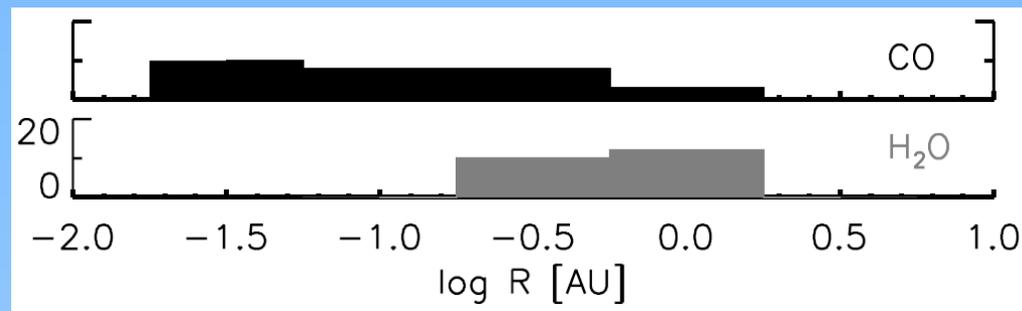
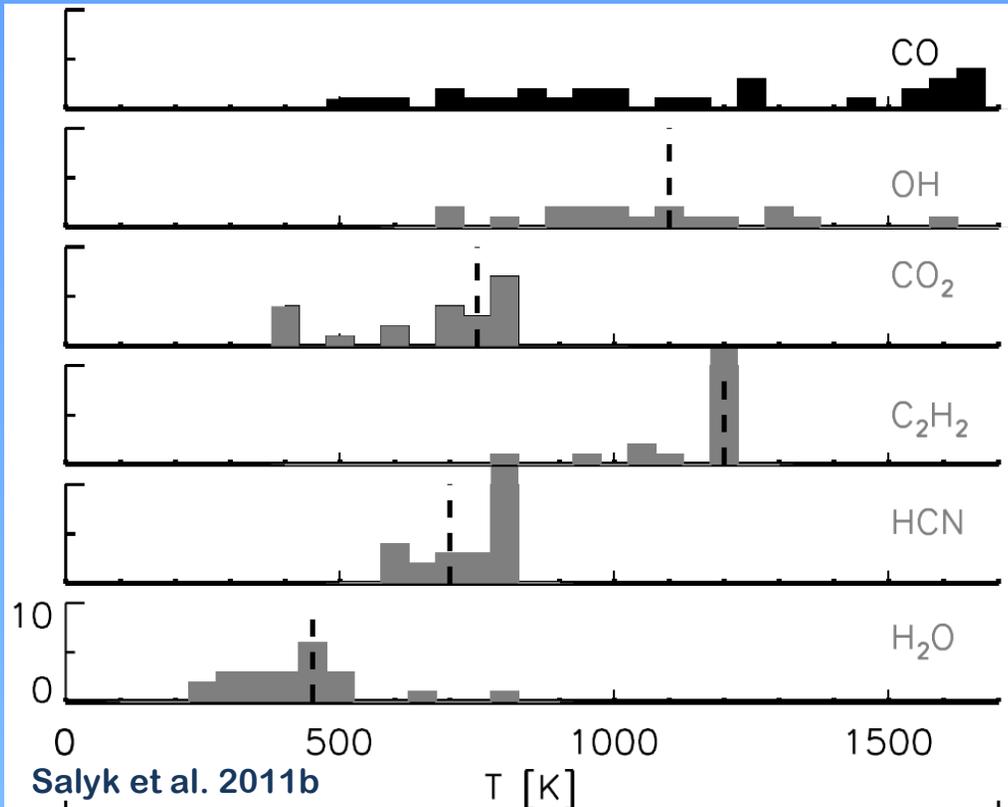
*Spectral Line Widths

*Rotational Line Distribution → T_{exc}

CO, H₂O & organics

Warm, Inner disk origin:

- $R_{\text{mol}} < 3 \text{ AU}$
- $T_{\text{mol}} \approx 300 - 1200 \text{ K}$

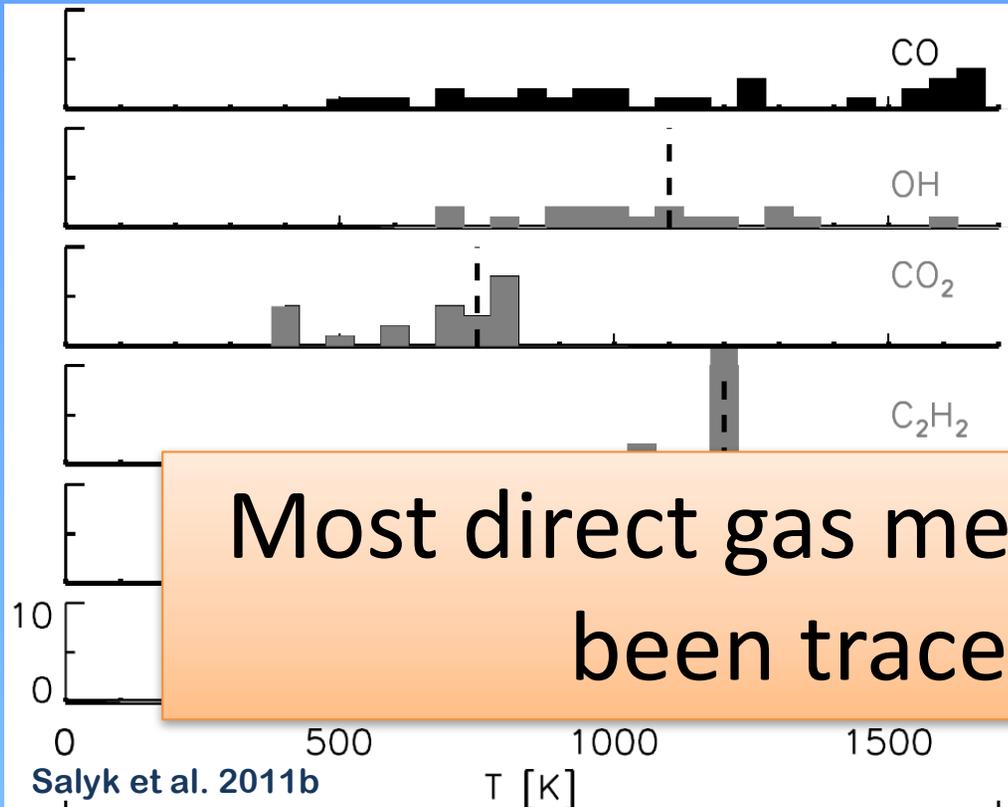


also Pontoppidan et al. 2011
Mandell et al. 2012

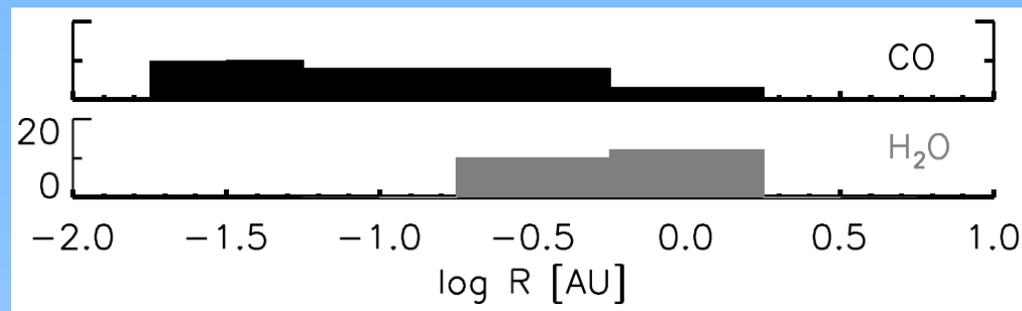
CO, H₂O & organics

Warm, Inner disk origin:

- $R_{\text{mol}} < 3 \text{ AU}$
- $T_{\text{mol}} \approx 300 - 1200 \text{ K}$



Most direct gas measurement have been trace species



also Pontoppidan et al. 2011
Mandell et al. 2012

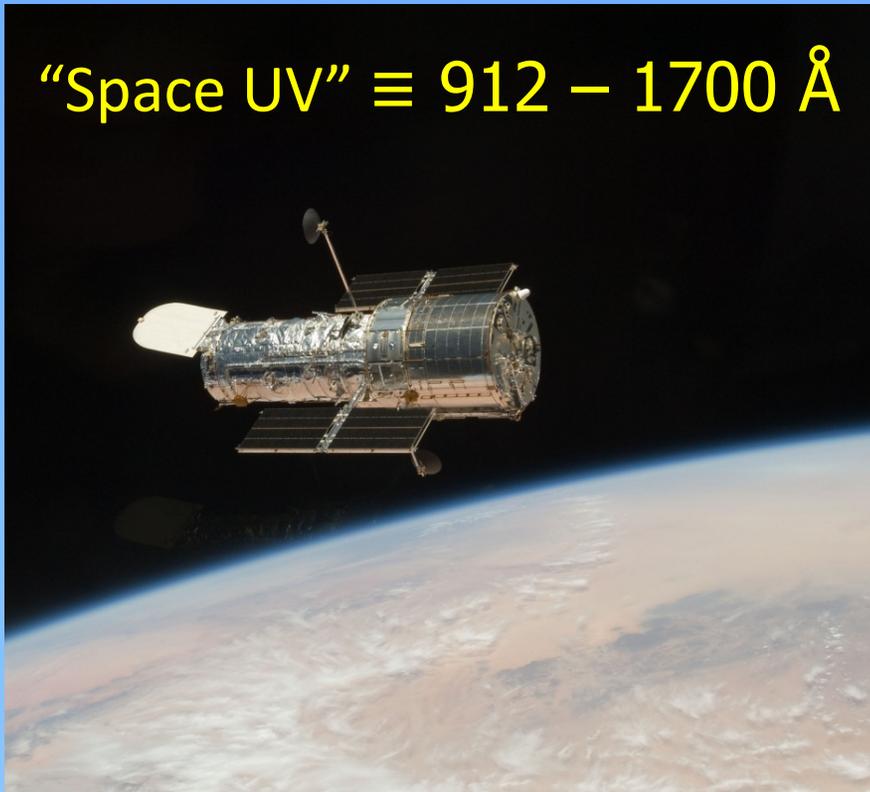
Molecules at $r < 10$ AU

- **H₂** makes up > 99% of the molecular gas mass in protoplanetary disks
- Very hard from the ground
[may be done with JWST(?)]

Molecules at $r < 10$ AU

- H_2 makes up $> 99\%$ of the molecular gas mass in protoplanetary disks
- Very hard from the ground
[may be done with JWST(?)]

“Space UV” $\equiv 912 - 1700 \text{ \AA}$

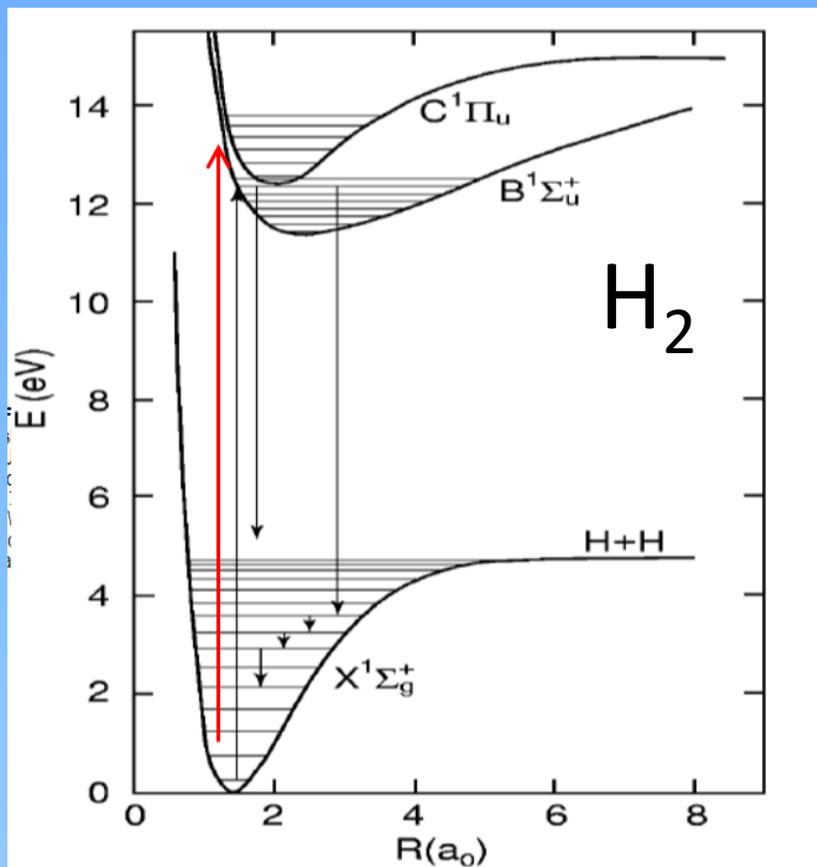


- H_2 emission lines from warm molecular disk surface
- Molecular absorption lines from deeper in the disk on sightlines to accreting protostar

UV-H₂ and UV-CO

photoexcited H₂ & CO

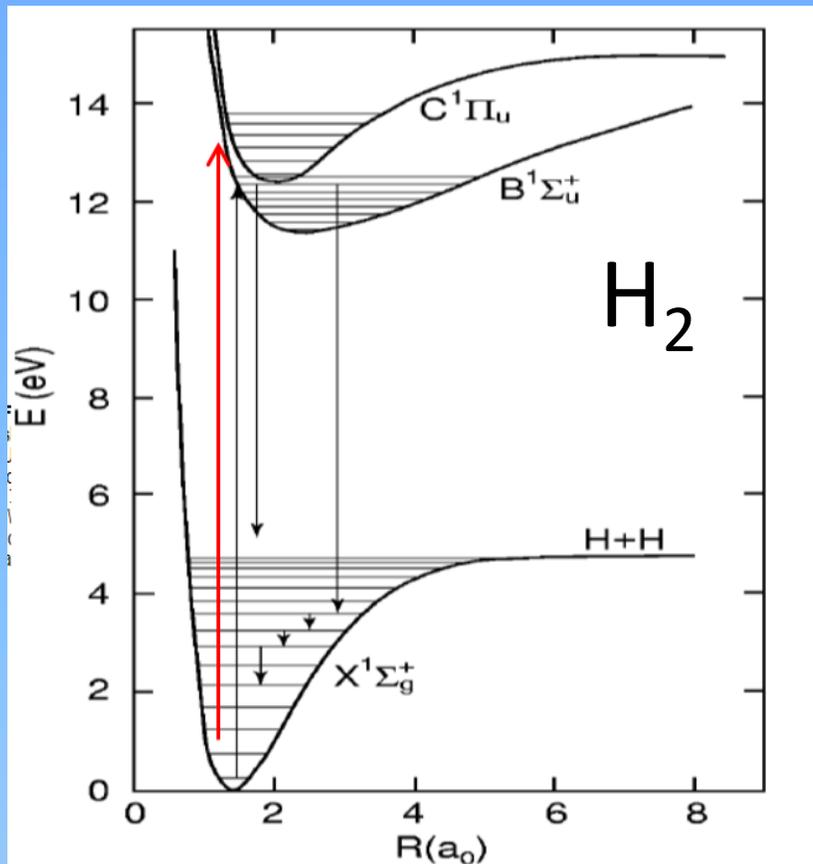
The electronic band systems of H₂ have transition probabilities $\sim 10^{15-18}$ times greater than near- and mid-IR rovibrational transitions



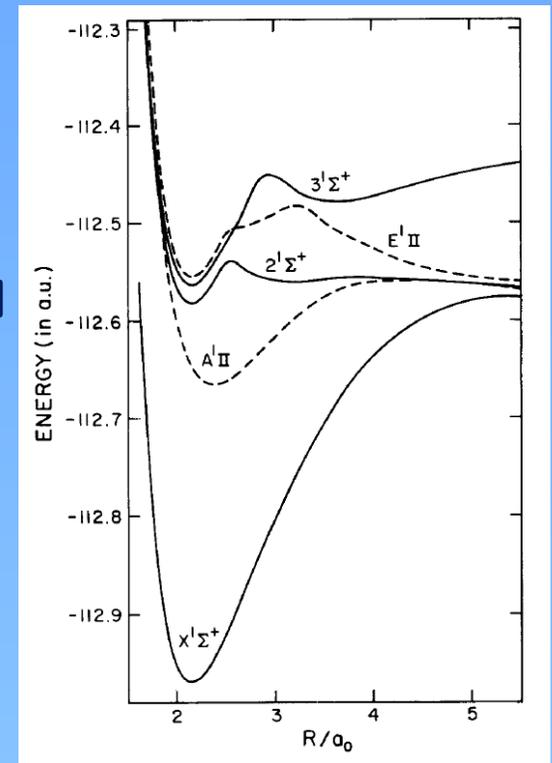
UV-H₂ and UV-CO

photoexcited H₂ & CO

The electronic band systems of H₂ have transition probabilities $\sim 10^{15-18}$ times greater than near- and mid-IR rovibrational transitions



The CO A-X Band system in the HST far-UV bandpass



Kirby & Cooper (1989)

HST-Cosmic Origins Spectrograph Protoplanetary Disk Program



- Greg Herczeg – KIAA/Peking
- Eric Schindhelm* – now SwRI
- Matthew McJunkin* - CU
- Keri Hoadley* - CU
- **Sample of ~50 young stars
(Class II and III protostars)**
 - **~80% with active accretion (CTTS)**
 - **~20% w/o accretion (WTTS)**

Also starring:

Herve Abgrall,
David Ardila,
Joanna Brown,
Tom Bethell,
Eric Burgh,
Jim Green,
Graham Harper,
Laura Ingleby*,
Jeff Linsky,
Evelyne Roueff,
Fred Walter,

Richard Alexander
Alex Brown
Ted Bergin
Nuria Calvet
Suzan Edwards
Scott Gregory
Lynne Hillenbrand
Chris Johns-Krull
Christian Schneider
Jeff Valenti
Hao Yang

Cosmic Origins Spectrograph:

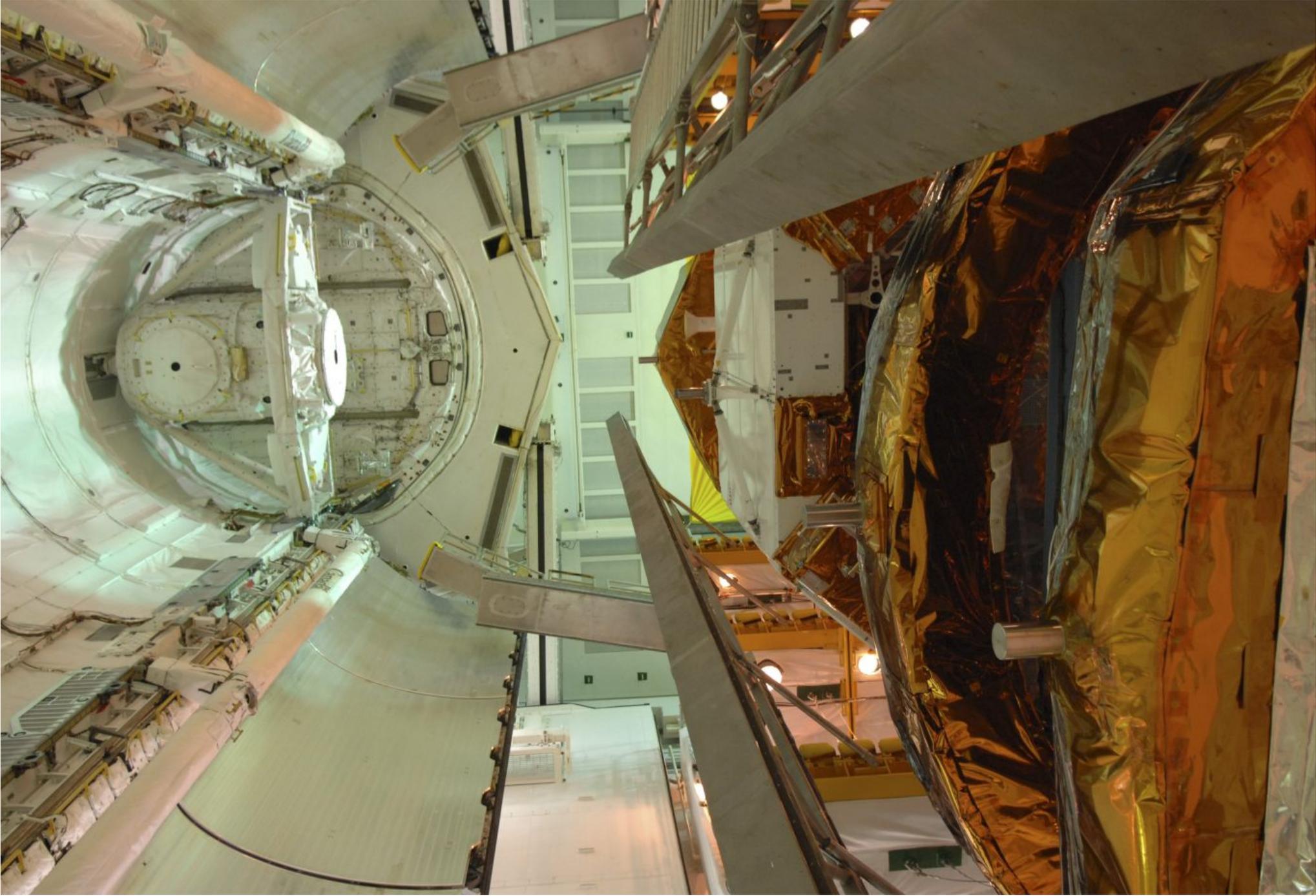
10 – 50 times sensitivity increase for
medium-res ($R \approx 20,000$) spectroscopy

**STS-125 / Atlantis
Servicing Mission 4**



COS Instrument and on-orbit performance: Green et al. (2012) & Osterman et al. (2011)



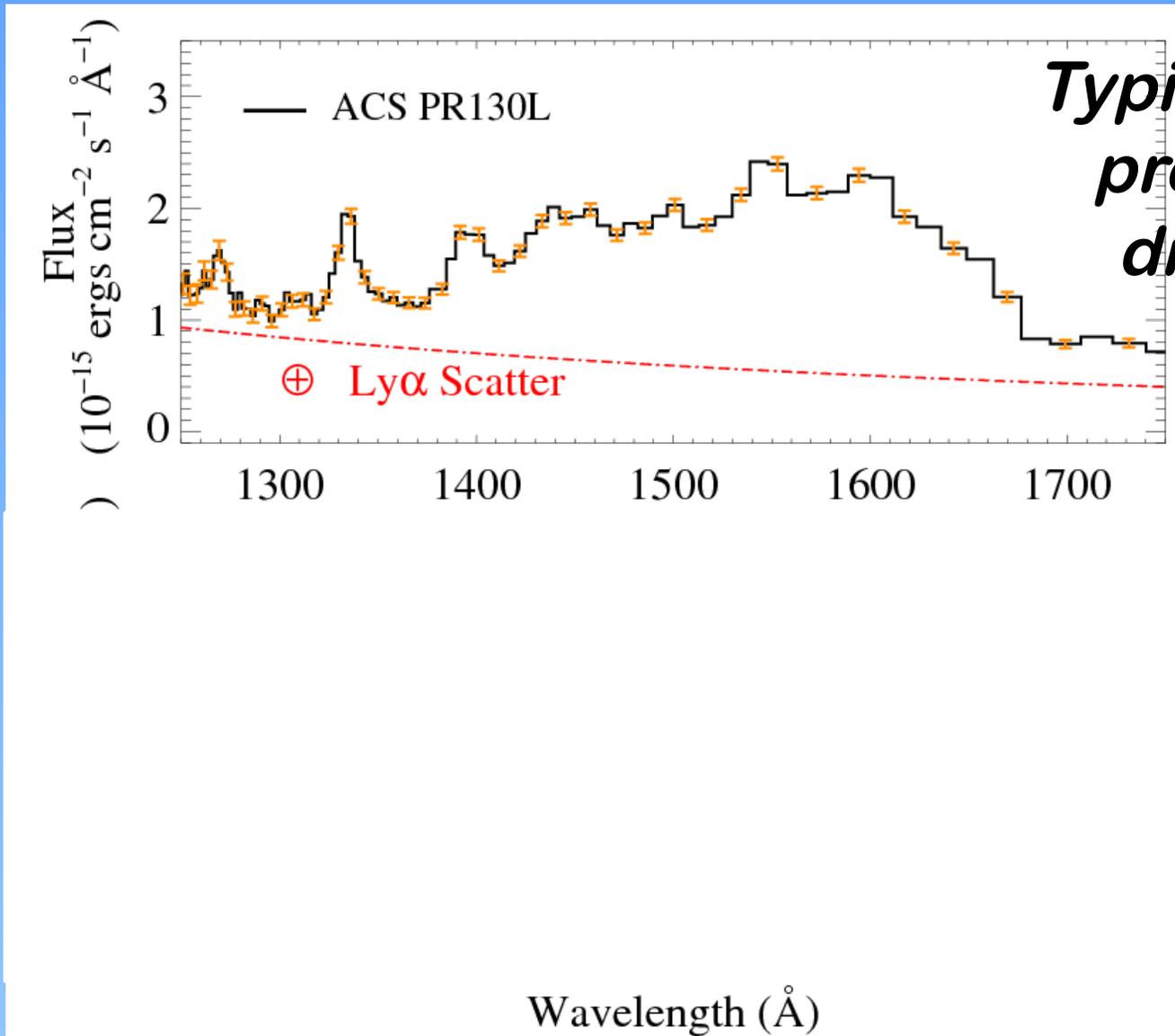




COS Instrument and on-orbit performance: Green et al. (2012) & Osterman et al. (2011)

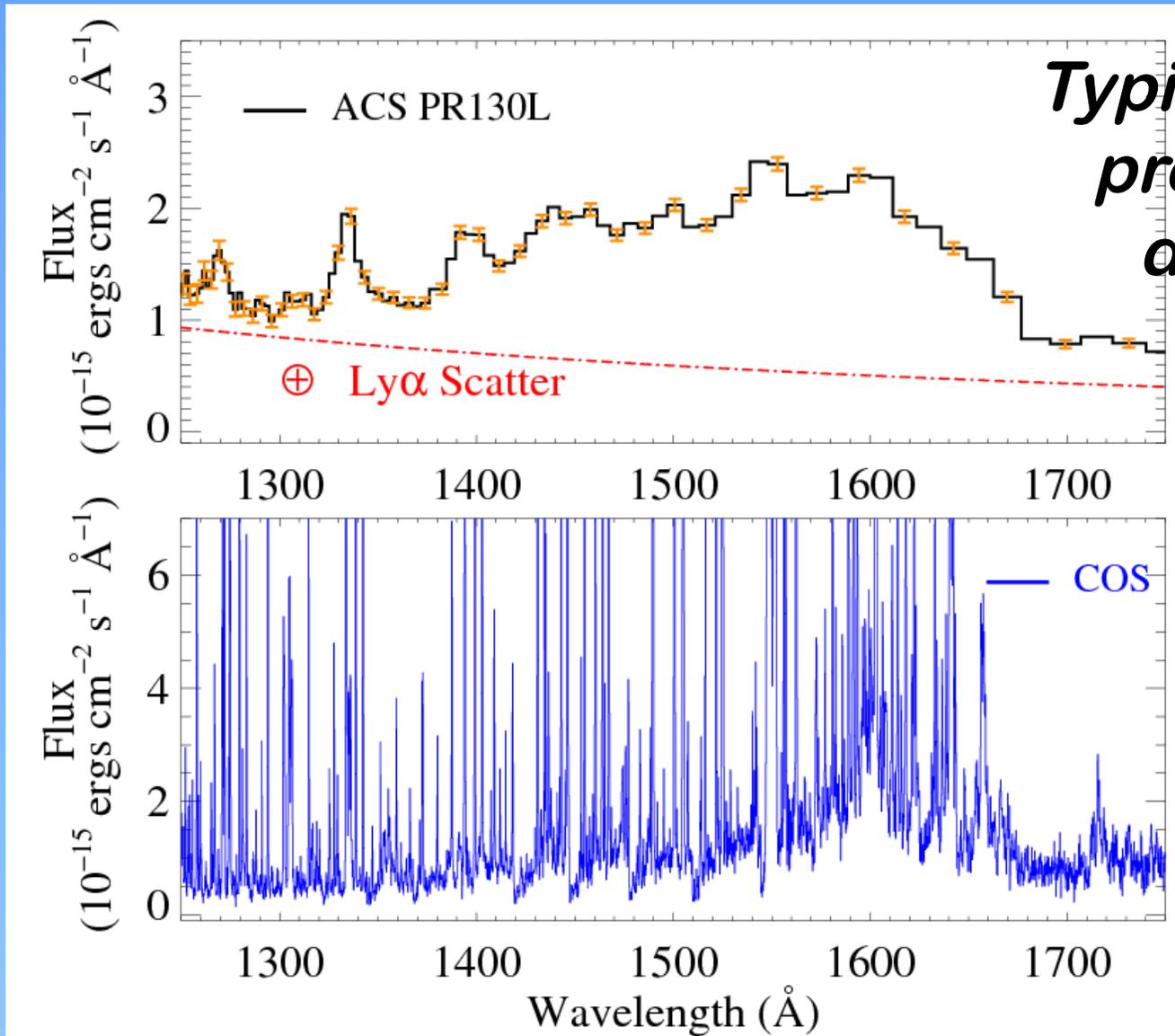
S125E009194

COS Disk Program



*Typical ($t < 10$ Myr)
protoplanetary
disk, pre-COS*

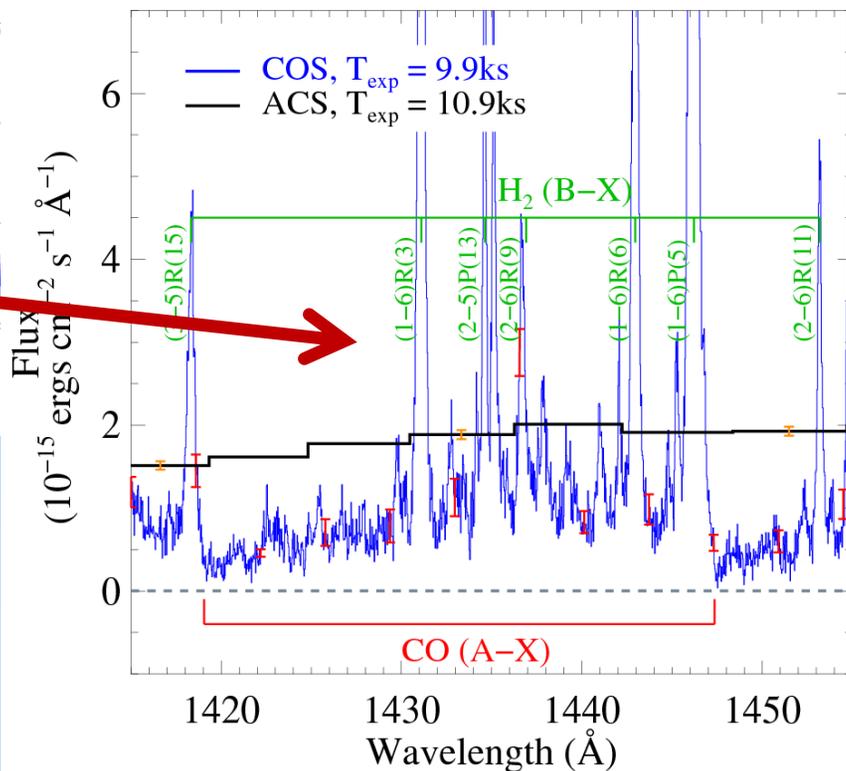
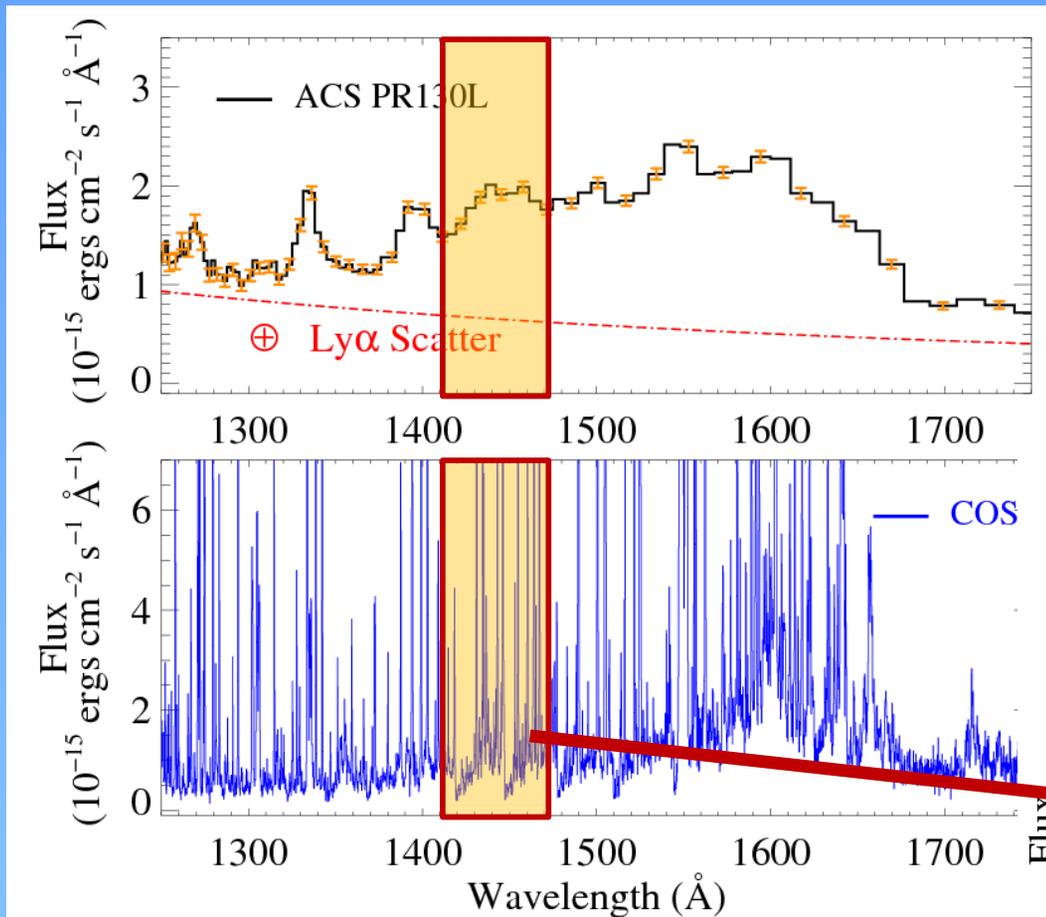
COS Disk Program



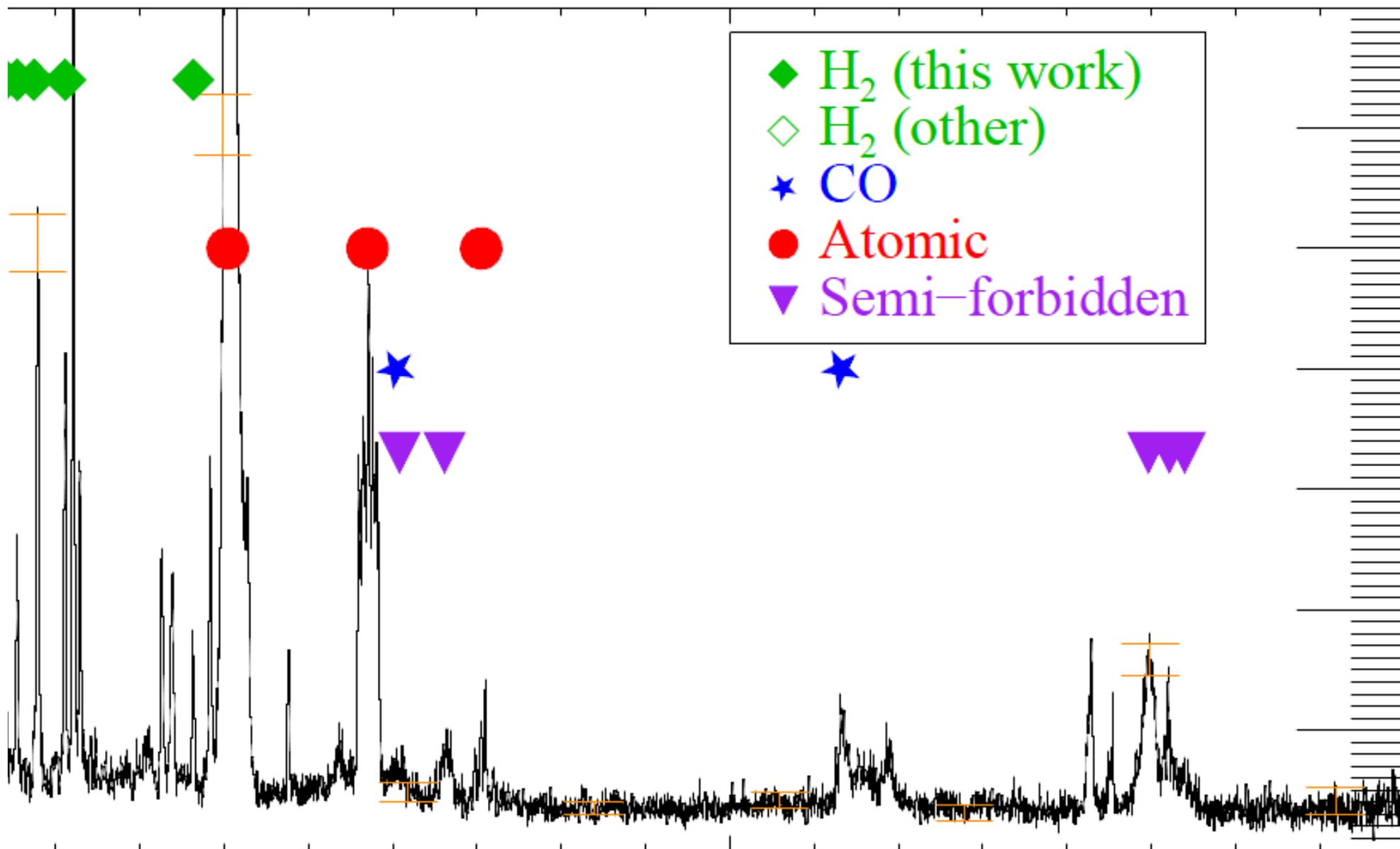
*Typical ($t < 10$ Myr)
protoplanetary
disk, w/ COS*

Molecules in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk

*Typical ($t < 10$ Myr)
protoplanetary
disk, w/ COS*



Resolved H₂ and CO
emission and absorption
features from the disk

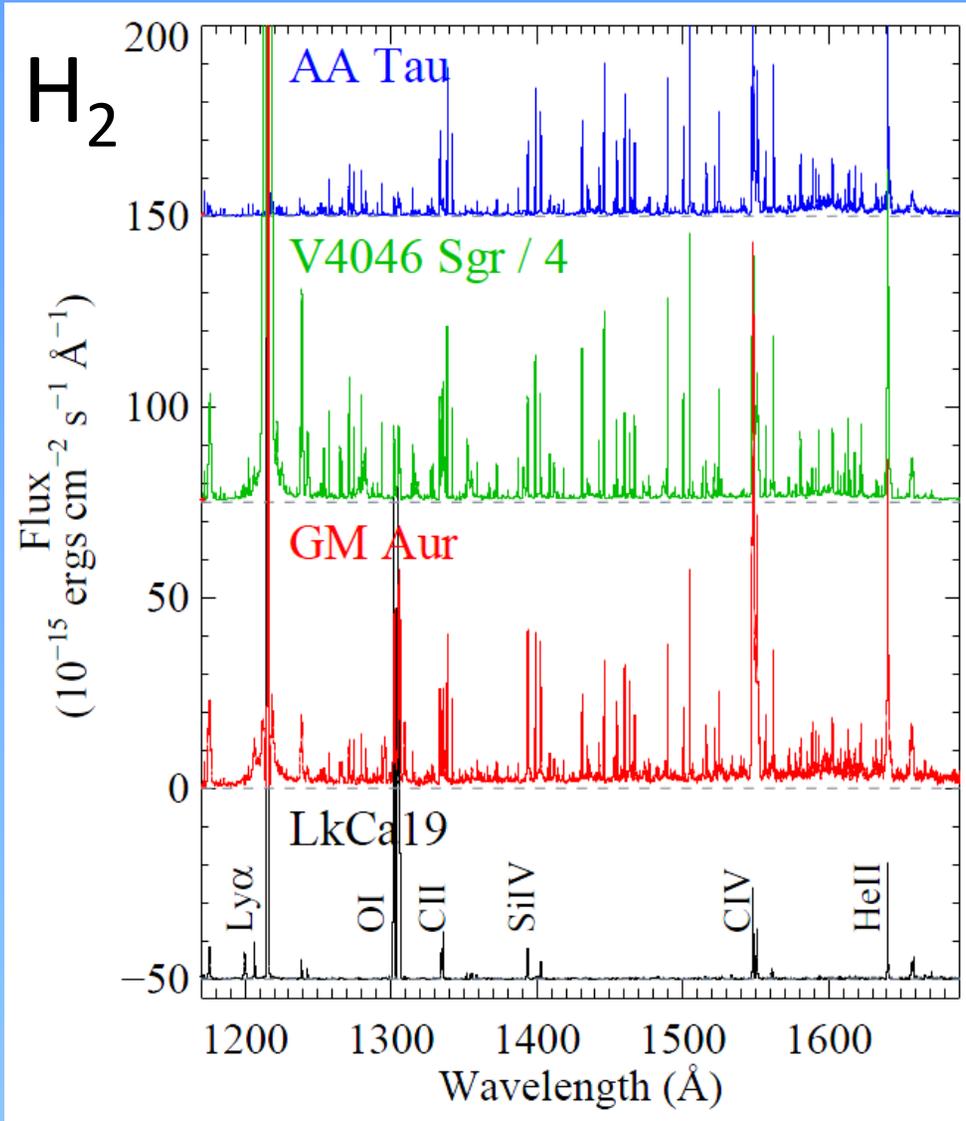


1700

H₂ & CO

**EMISSION SPECTRA
LY α - PUMPED FLUORESCENCE**

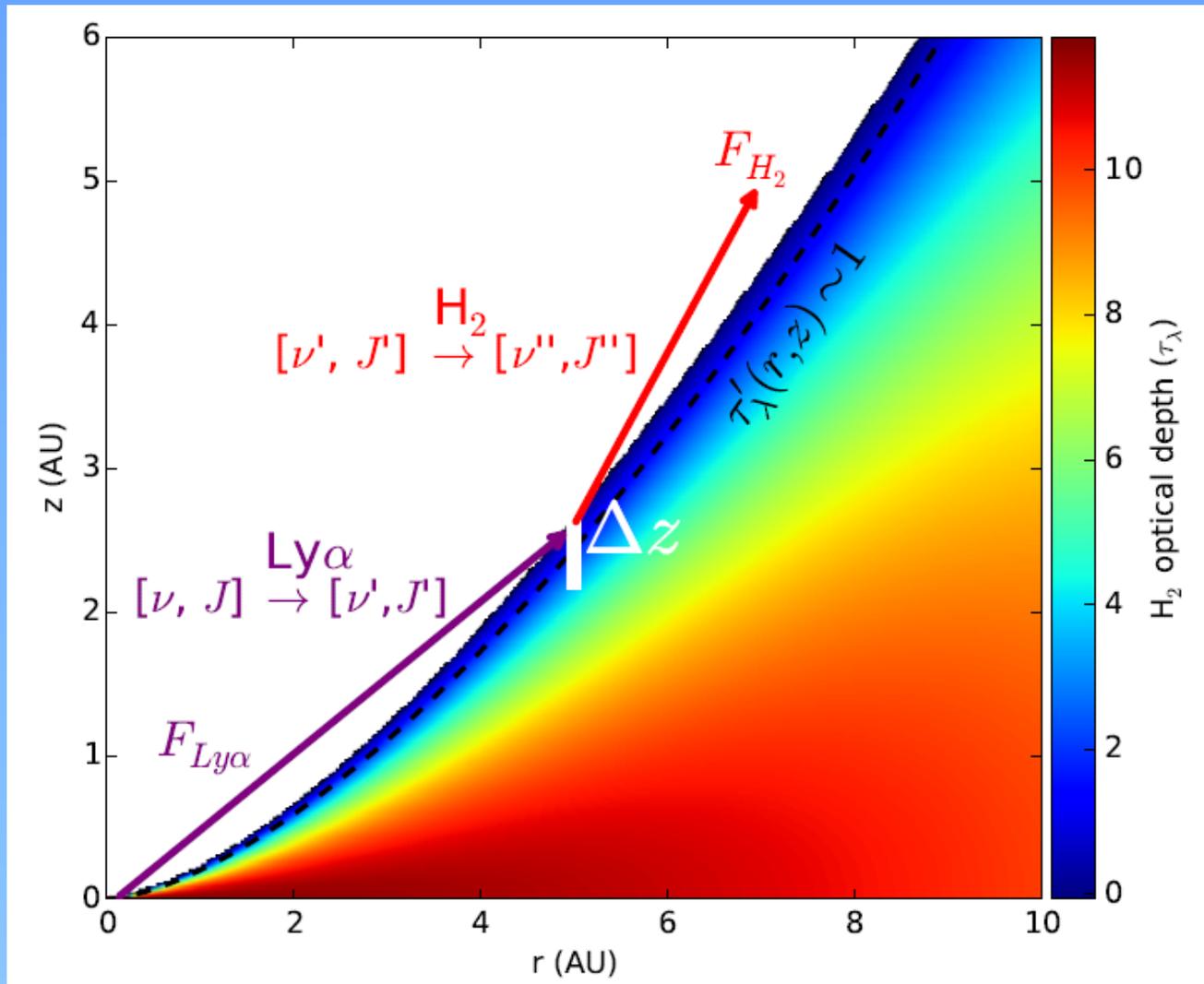
Molecules in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk



Inner Disk Dust

Every actively accreting star shows H₂ emission excited by strong Ly α illumination (100% of CTTS, 0% of WTTS)

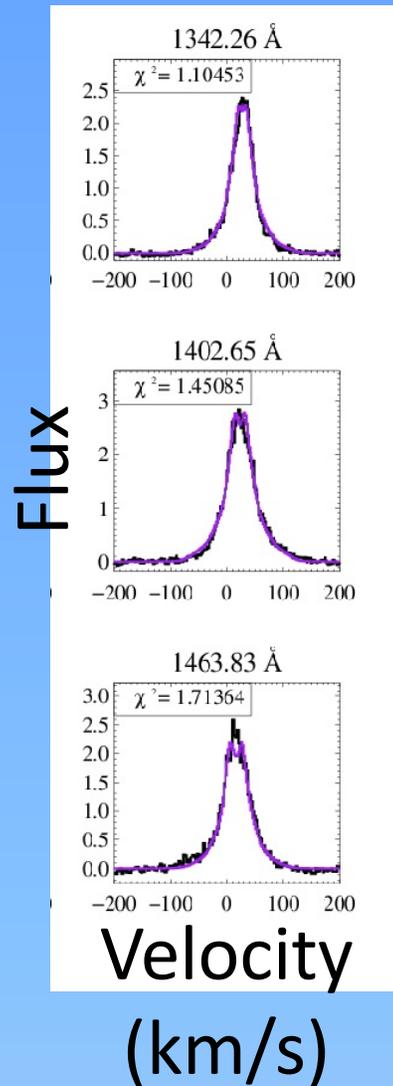
Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk



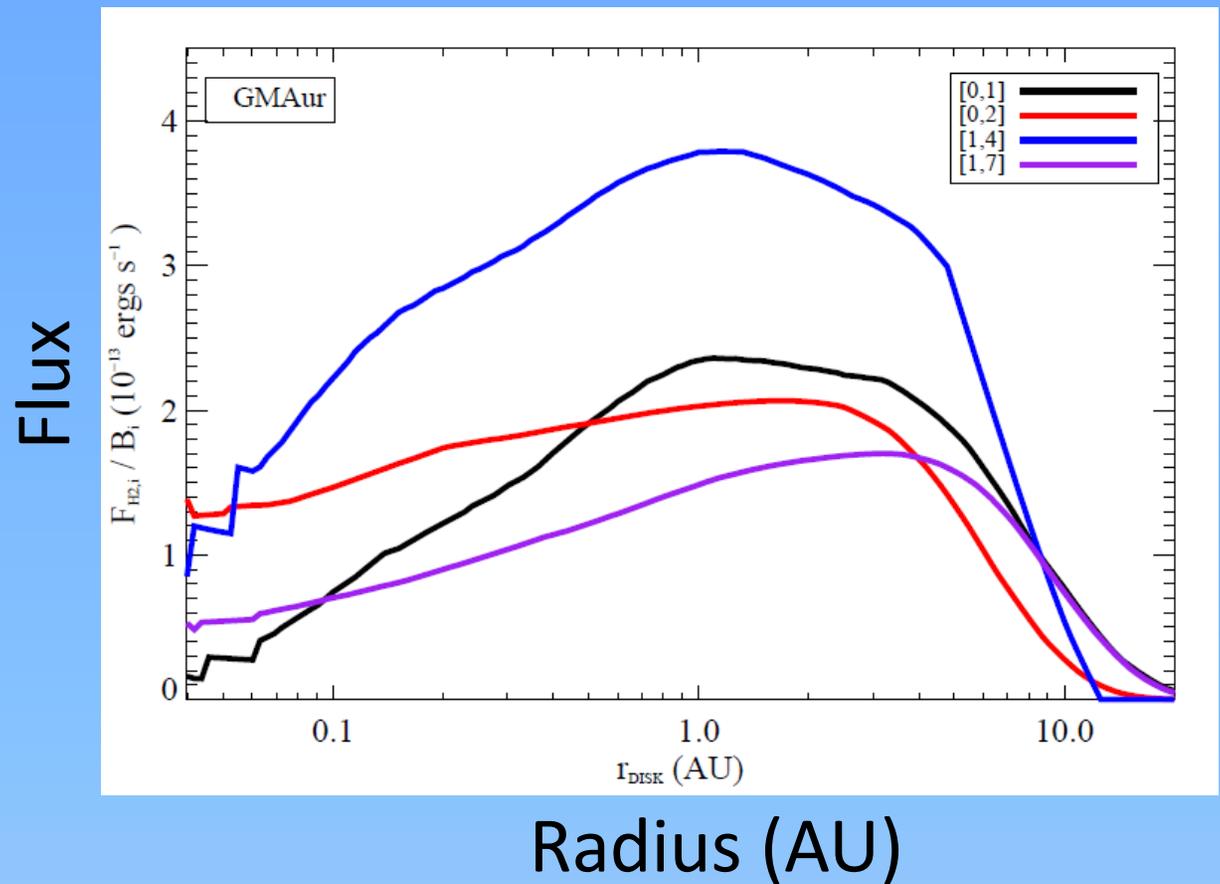
Photoexcitation and
Radiative
Transfer Modeling
of Ly α Fluorescence

Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk

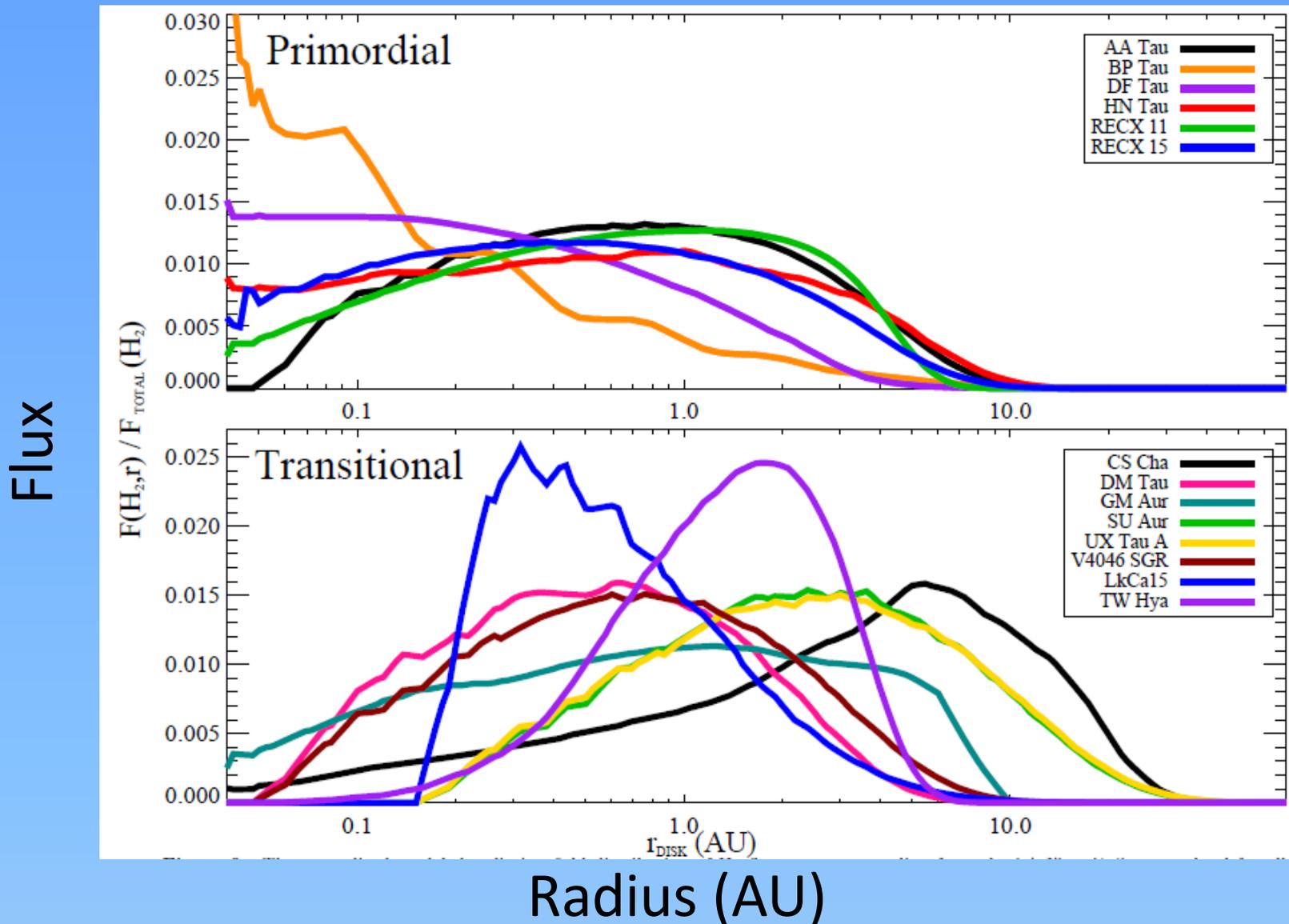
H₂



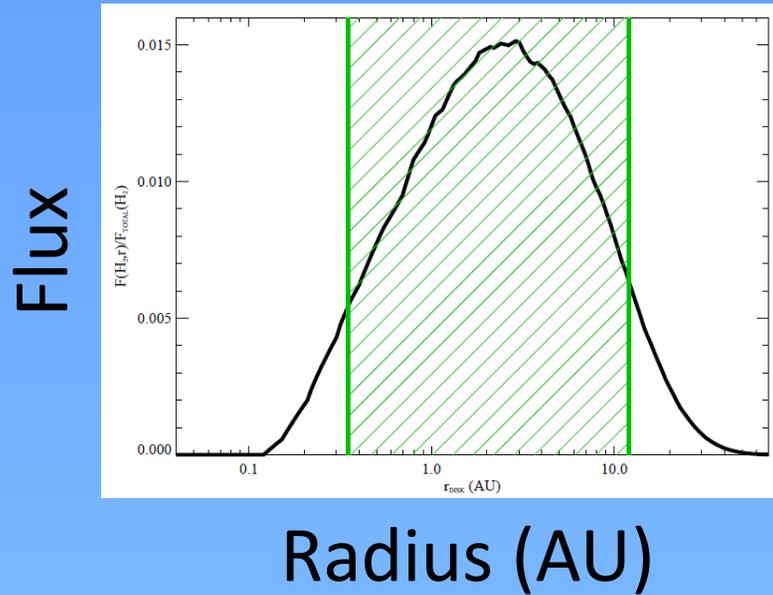
Simultaneously fit emission line flux and profiles from 12 H₂ lines



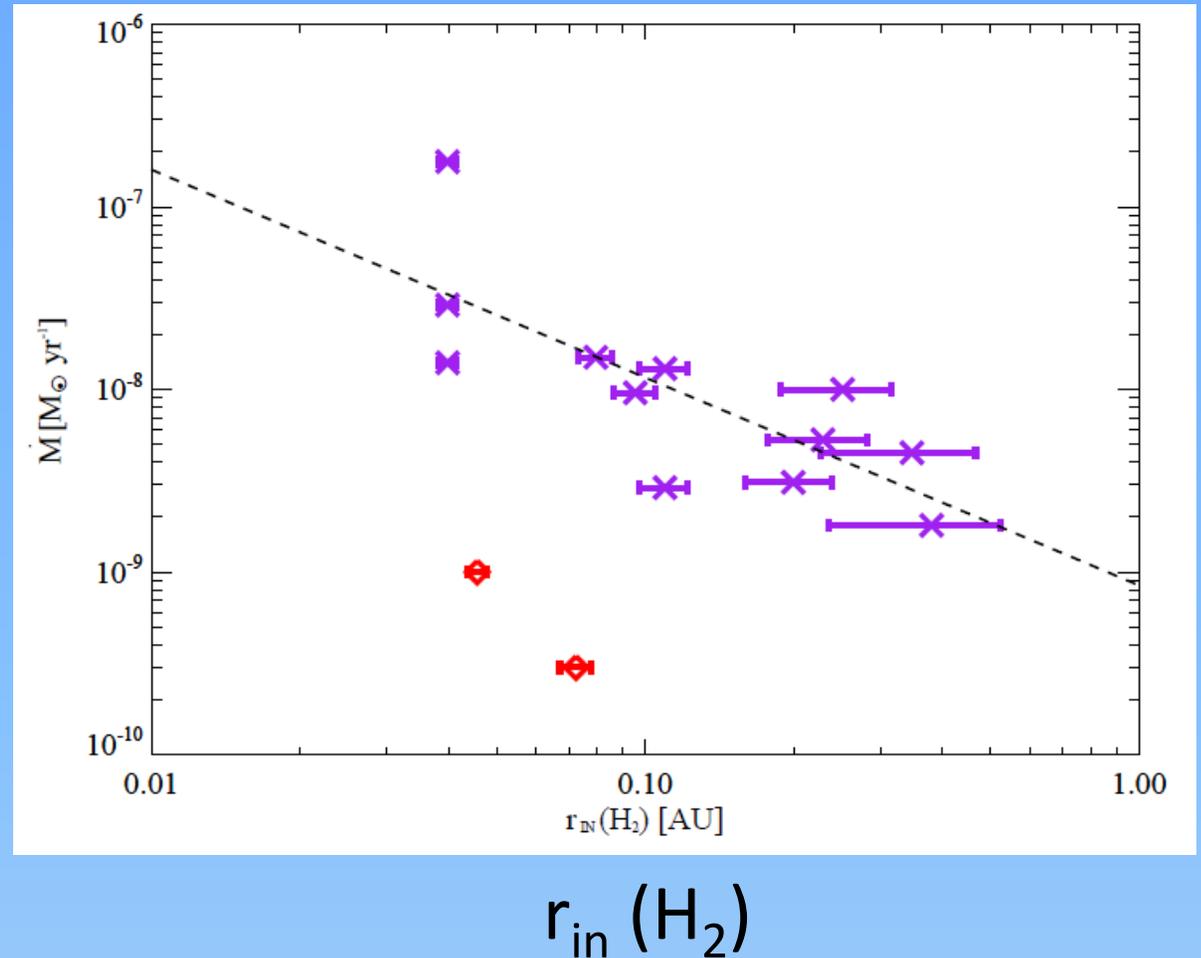
Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk



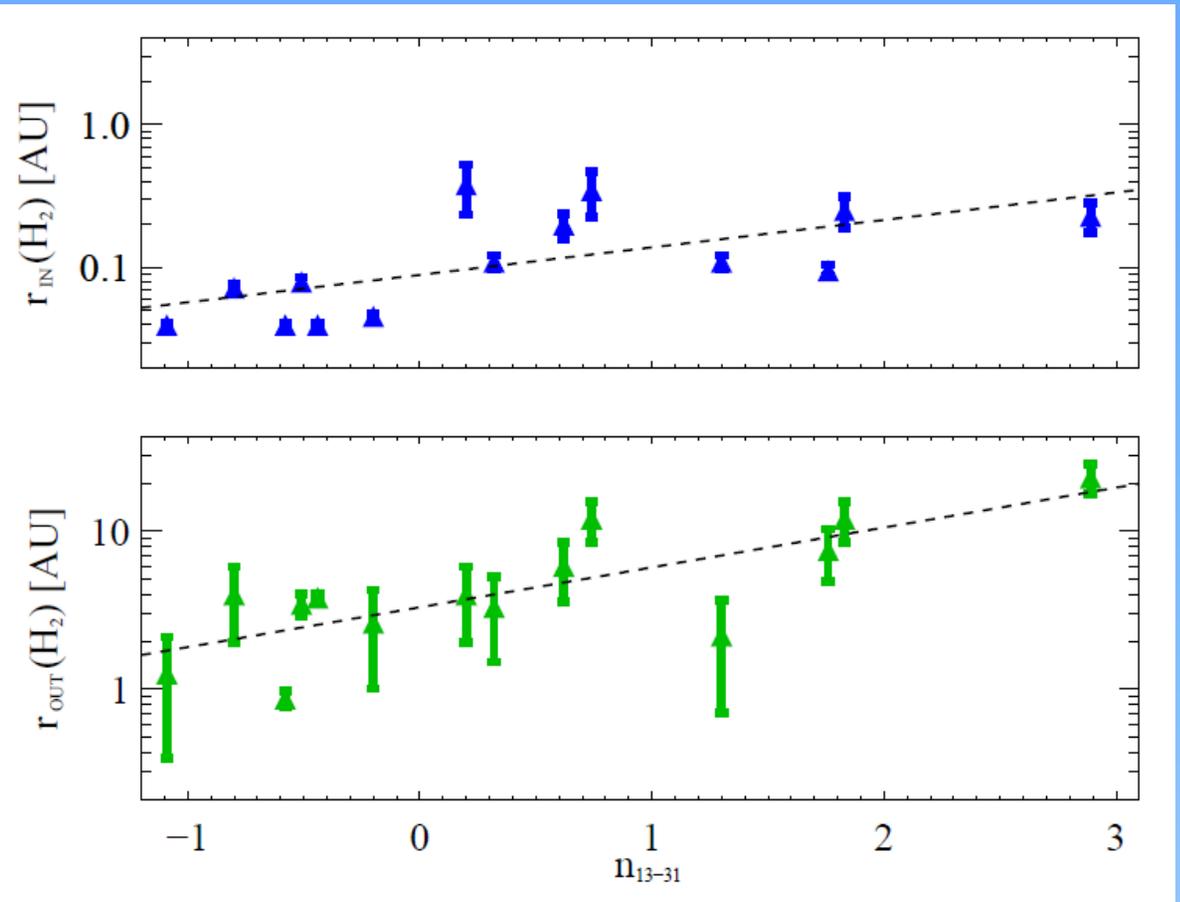
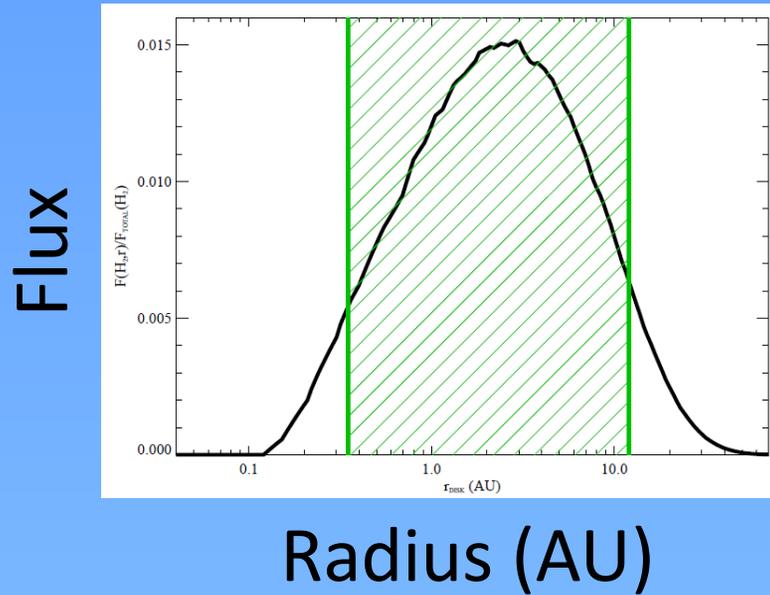
Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk



M_{acc}

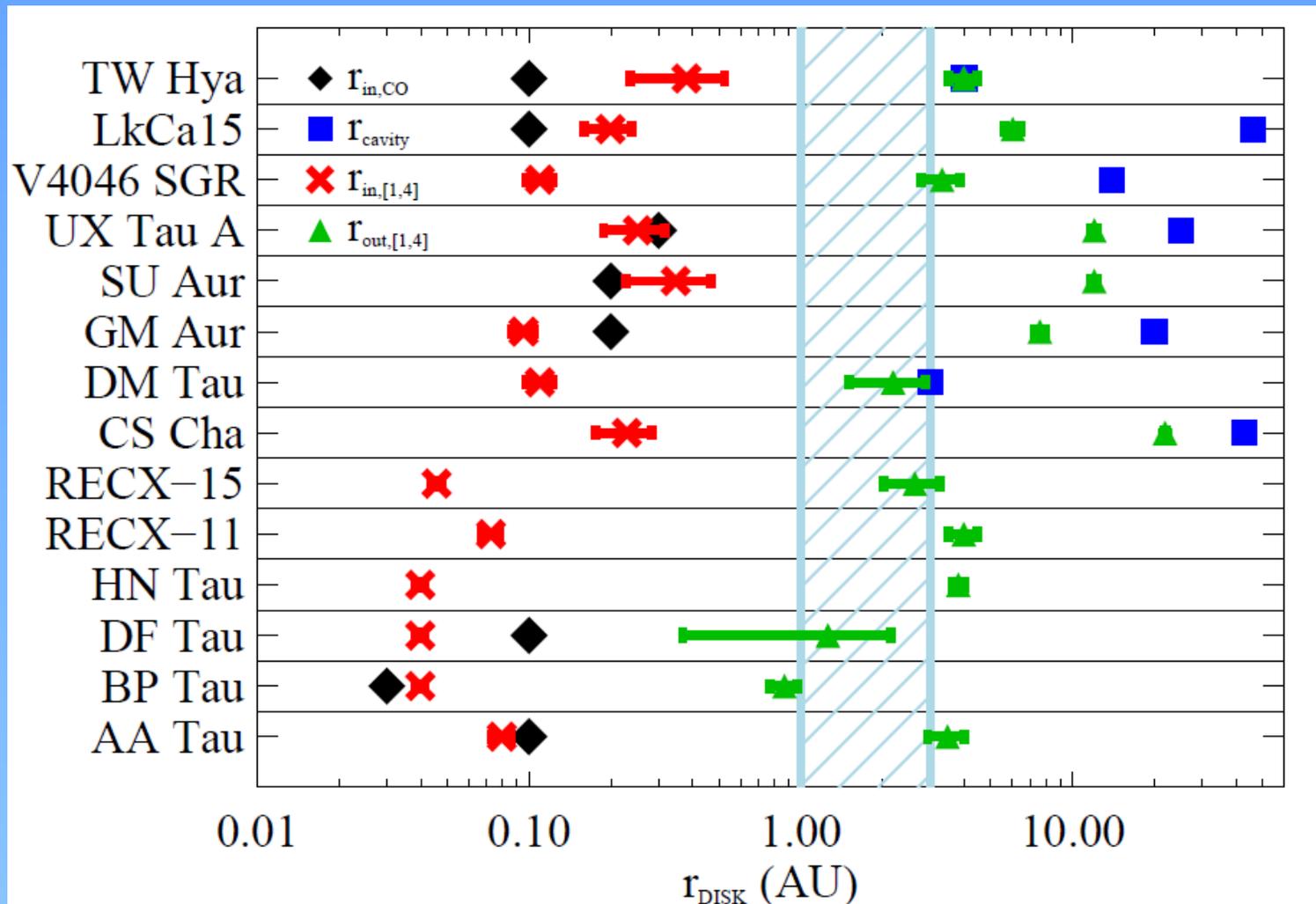


Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk



n_{13-31} (dust clearing) \rightarrow

Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk



Radius (AU)

Molecular Structure in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk

1) $R_{\text{in}}(\text{H}_2) \uparrow$ as $M_{\text{acc}} \downarrow$

2) $R_{\text{in}}(\text{H}_2) \uparrow$ as $n_{13-31} \uparrow$

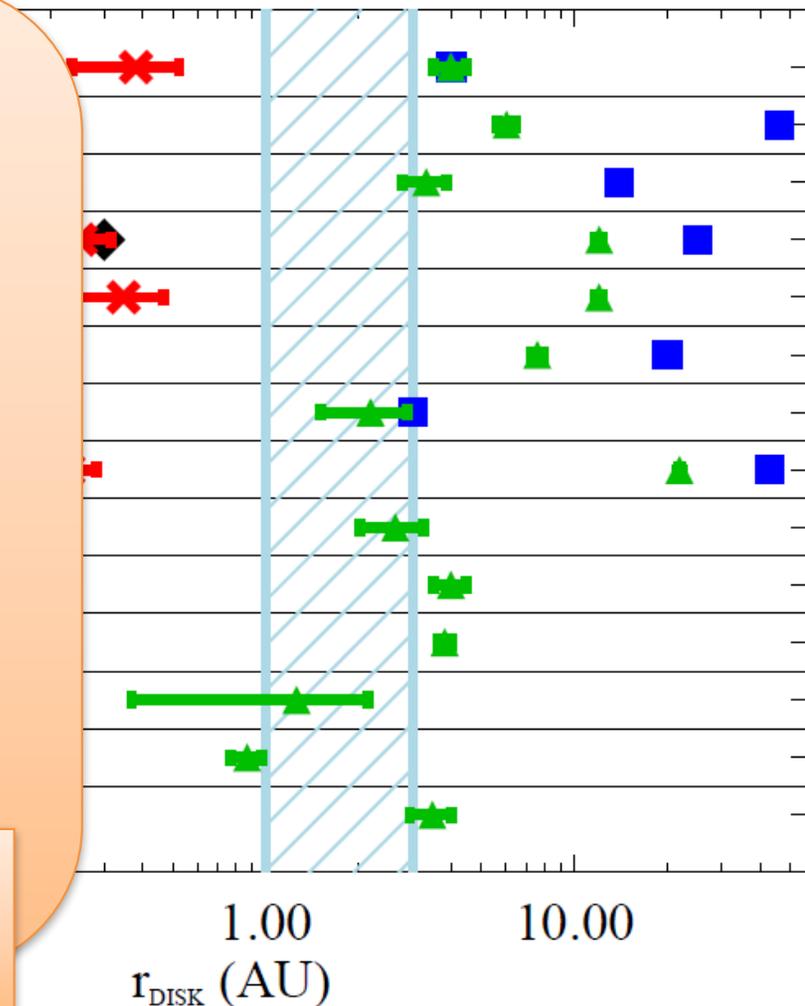
Gas clearing inner disk
and/or geometric thinning

3) $R_{\text{out}}(\text{H}_2) \uparrow$ as $R_{\text{in}}(\text{H}_2) \uparrow$

$\text{Ly}\alpha$ propagating to larger radii

4) $R_{\text{out}}(\text{H}_2) < R_{\text{cavity}}(\text{dust})$

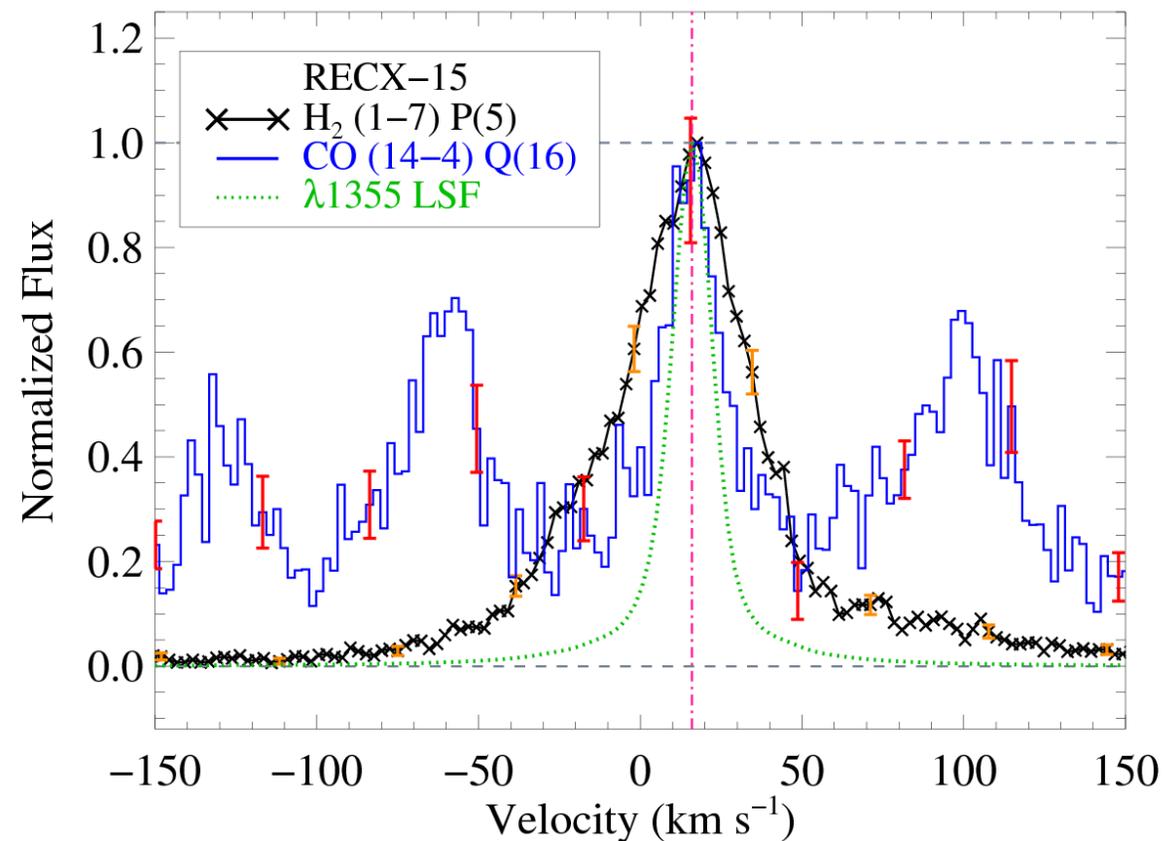
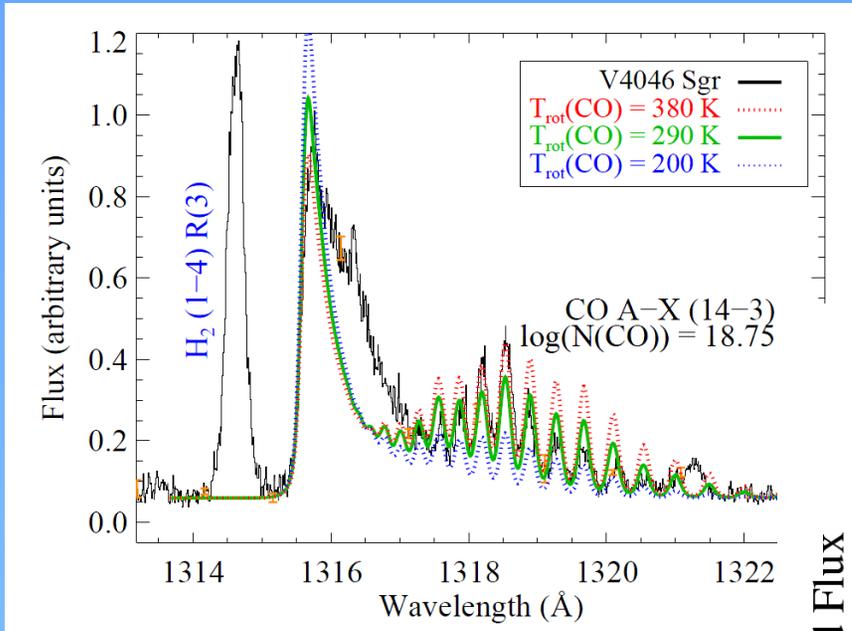
Gas clearing lags dust clearing,
possibly cleared by giant planets



Radius (AU)

H₂ and CO emission in a single observation

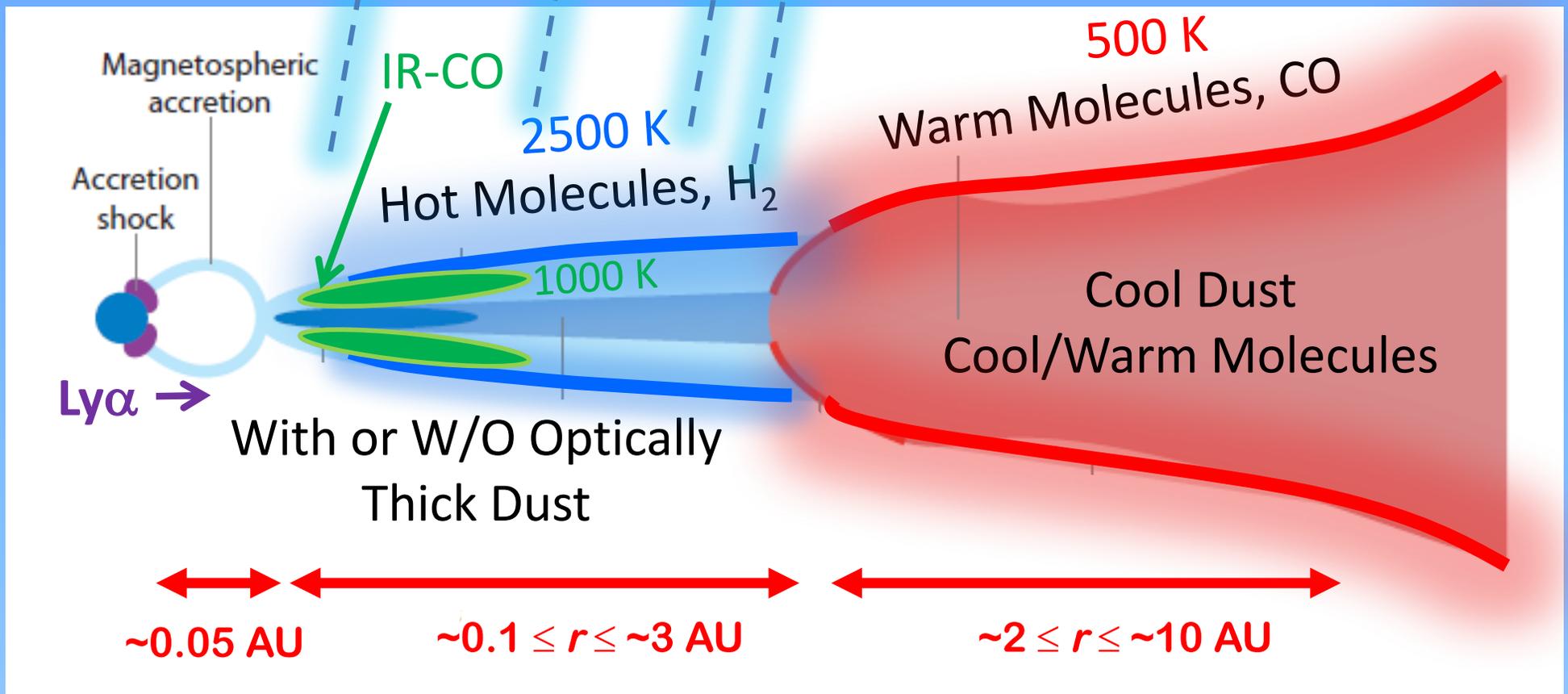
Photoexcited CO observed in UV disk spectra for the first time
Thermal *and* kinematic evidence for separate populations



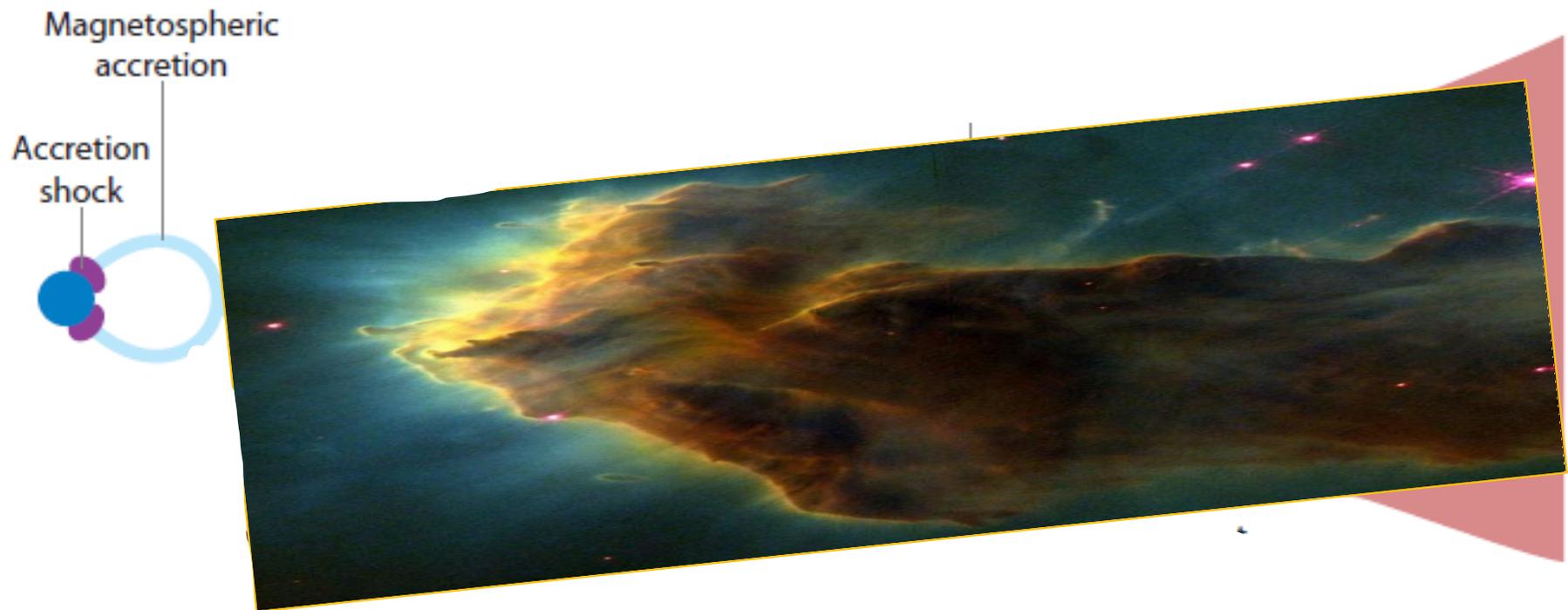
France et al. (2011b)

Schindhelm, France et al. (2012a)

Molecular emission from the Inner Disk



Molecular emission from the Inner Disk

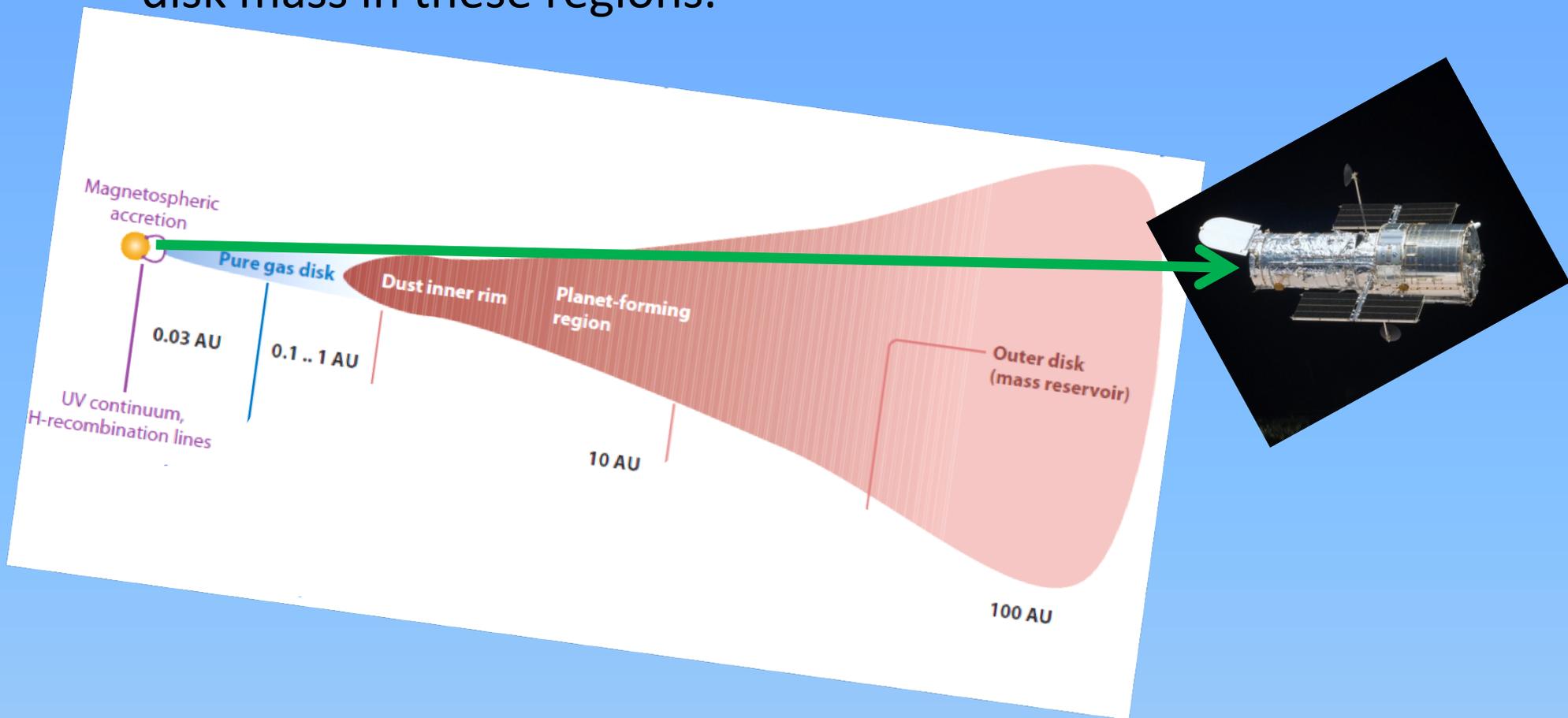


Dullemond & Monnier 2010

(inspirational credit: G. Kriss, STScI)

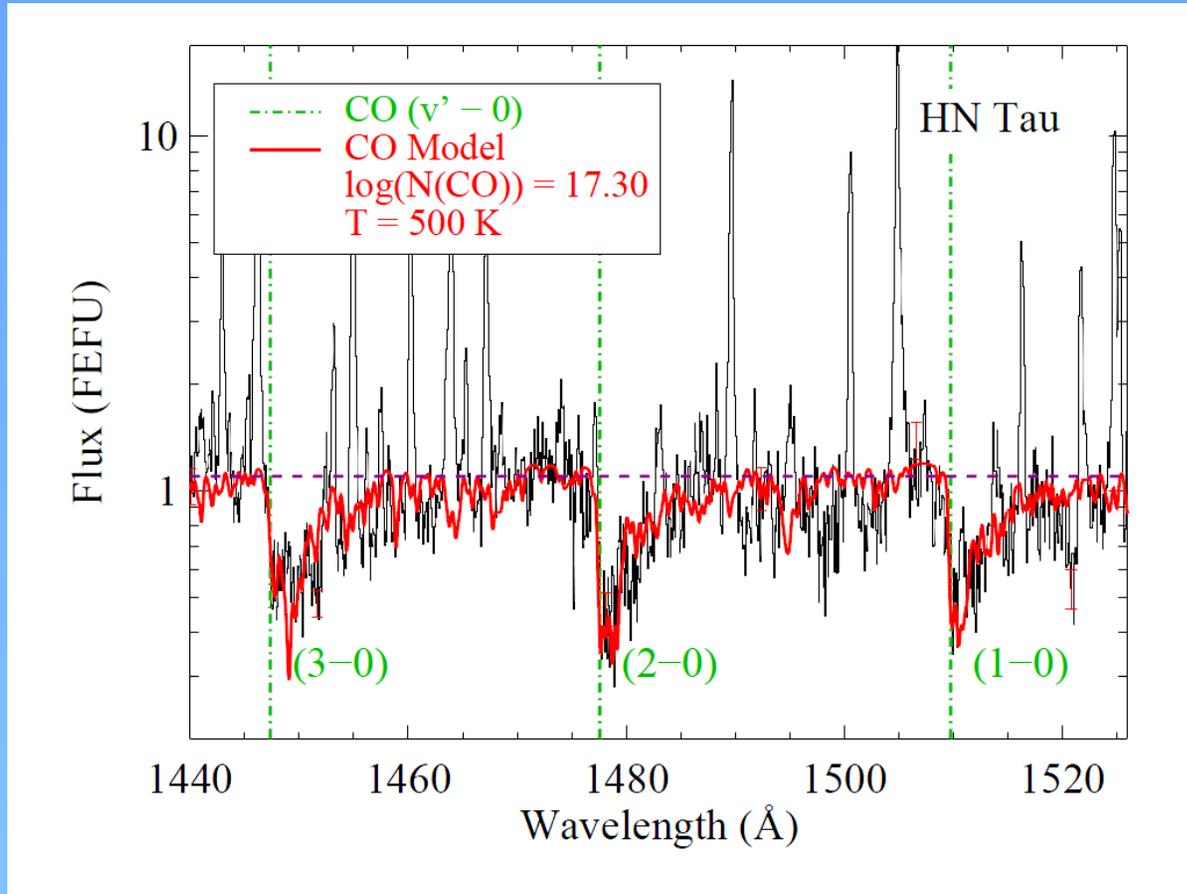
Composition of Protoplanetary Disks: H₂ and CO Absorption Spectroscopy

- Direct line-of-sight absorption measurements could allow us to probe H₂ and CO in same, warm (~300 K) parcels of disk gas, set a better basis for molecular abundances and total disk mass in these regions.



H₂ and CO Absorption Spectroscopy

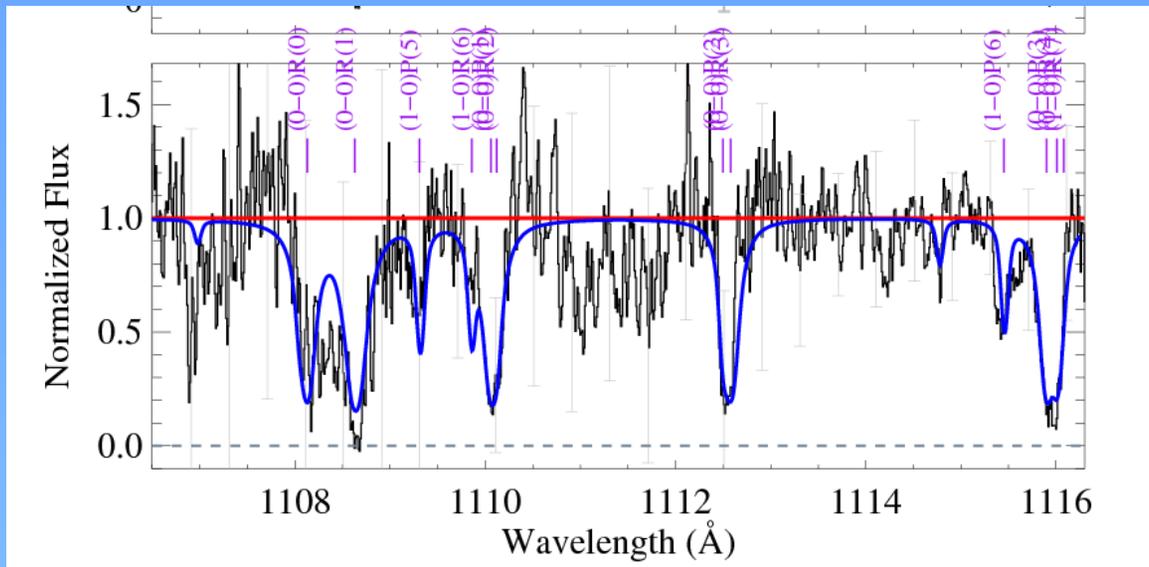
Warm CO, 200 - 500K



France et al. (2011b, 2012a); McJunkin et al. (2013)

Warm CO and H₂ observed in UV spectra
of low-mass disk for the first time

H₂ and CO Absorption Spectroscopy



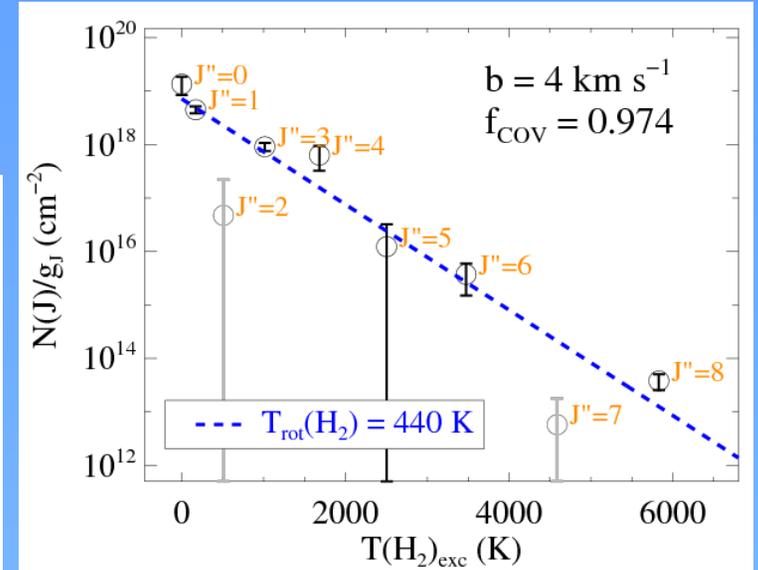
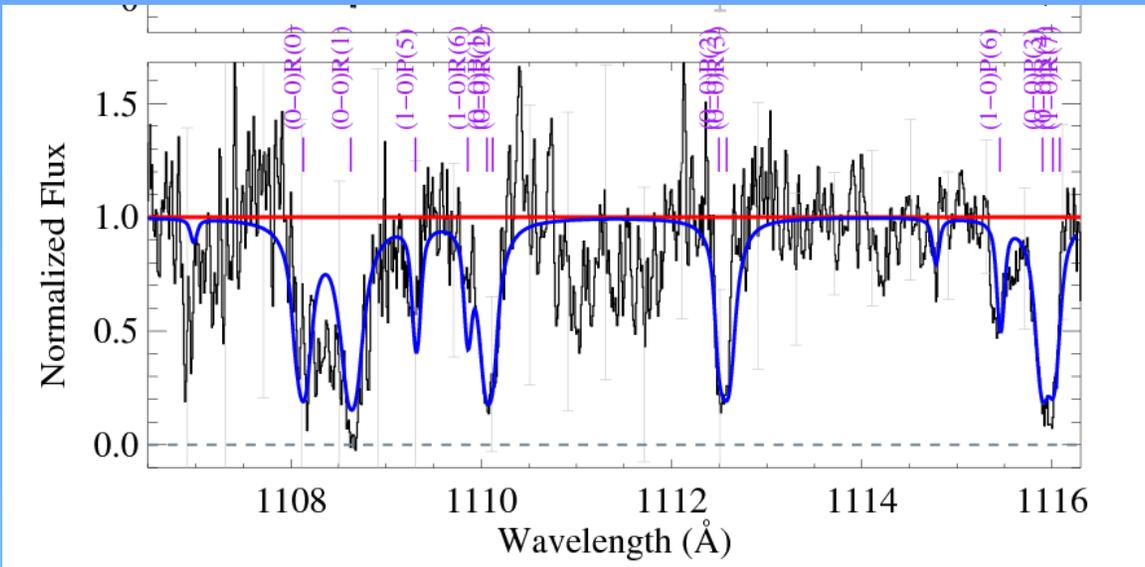
Developed new HST spectroscopic mode for medium-resolution observations at $1070 \leq \lambda \leq 1130 \text{ \AA}$

*PI – S. Penton (GO 12505)
K. France
S. Osterman*

Warm H₂ In Protoplanetary Systems (WH₂IPS)

Cycle 20 GO12876, France

H₂ and CO Absorption Spectroscopy



WH₂IPS

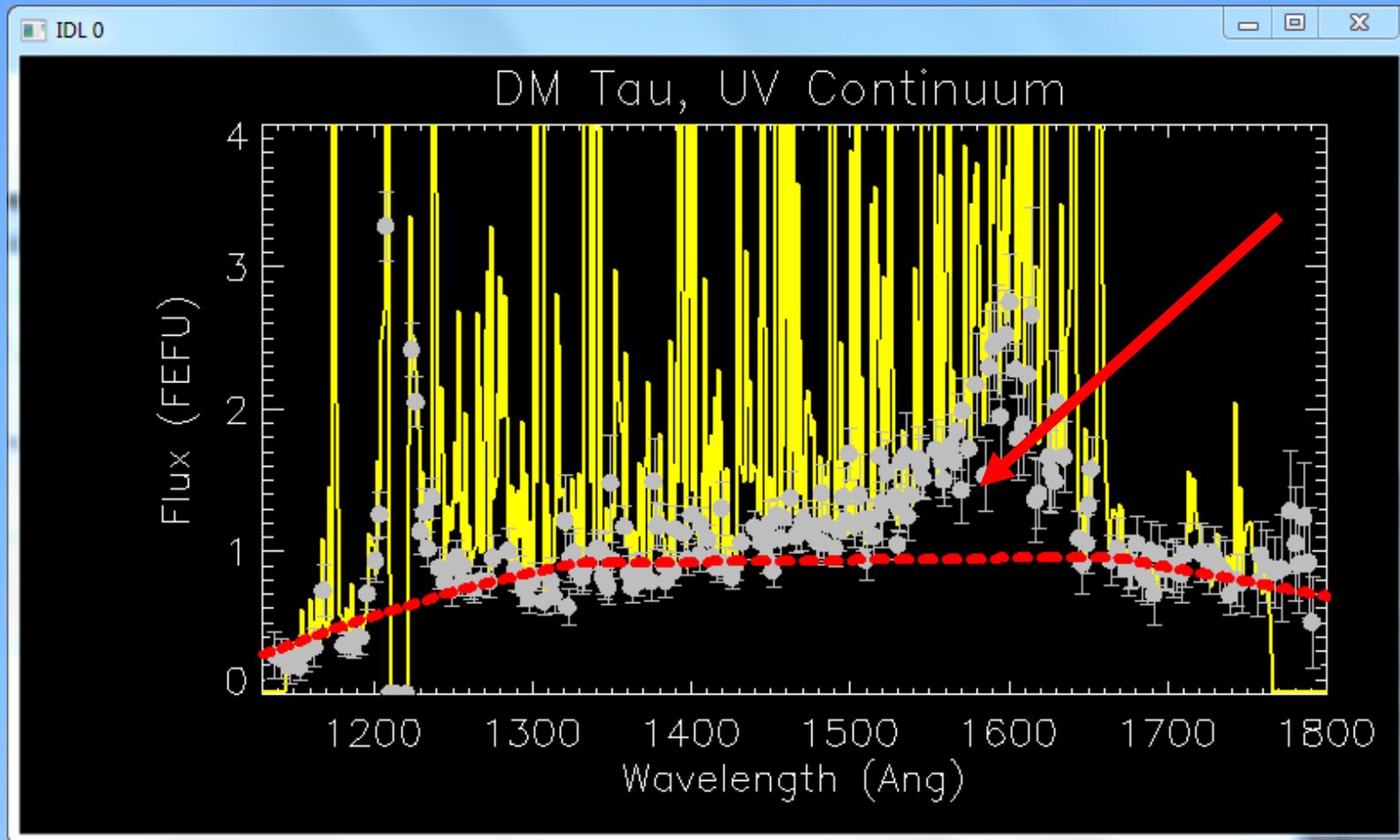
(Warm H₂ In Protoplanetary Systems)

Warm H₂, 400K
observed against FUV
continuum in T Tauri Stars
for the first time

- Direct Abundances
 $N(\text{CO})/N(\text{H}_2) \sim 1.6 \times 10^{-4}$

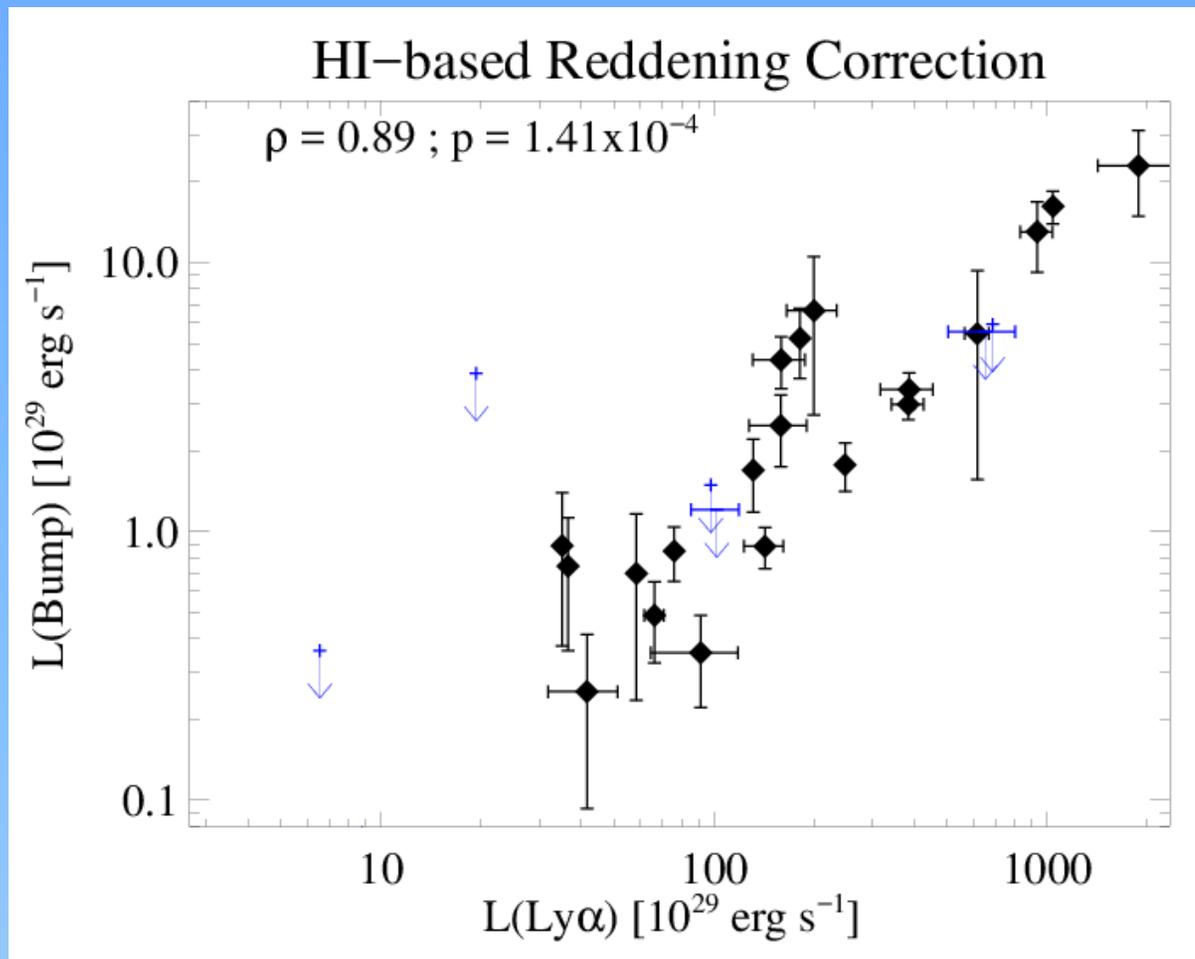
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

- Additional 'quasi-continuous' emission feature quantified



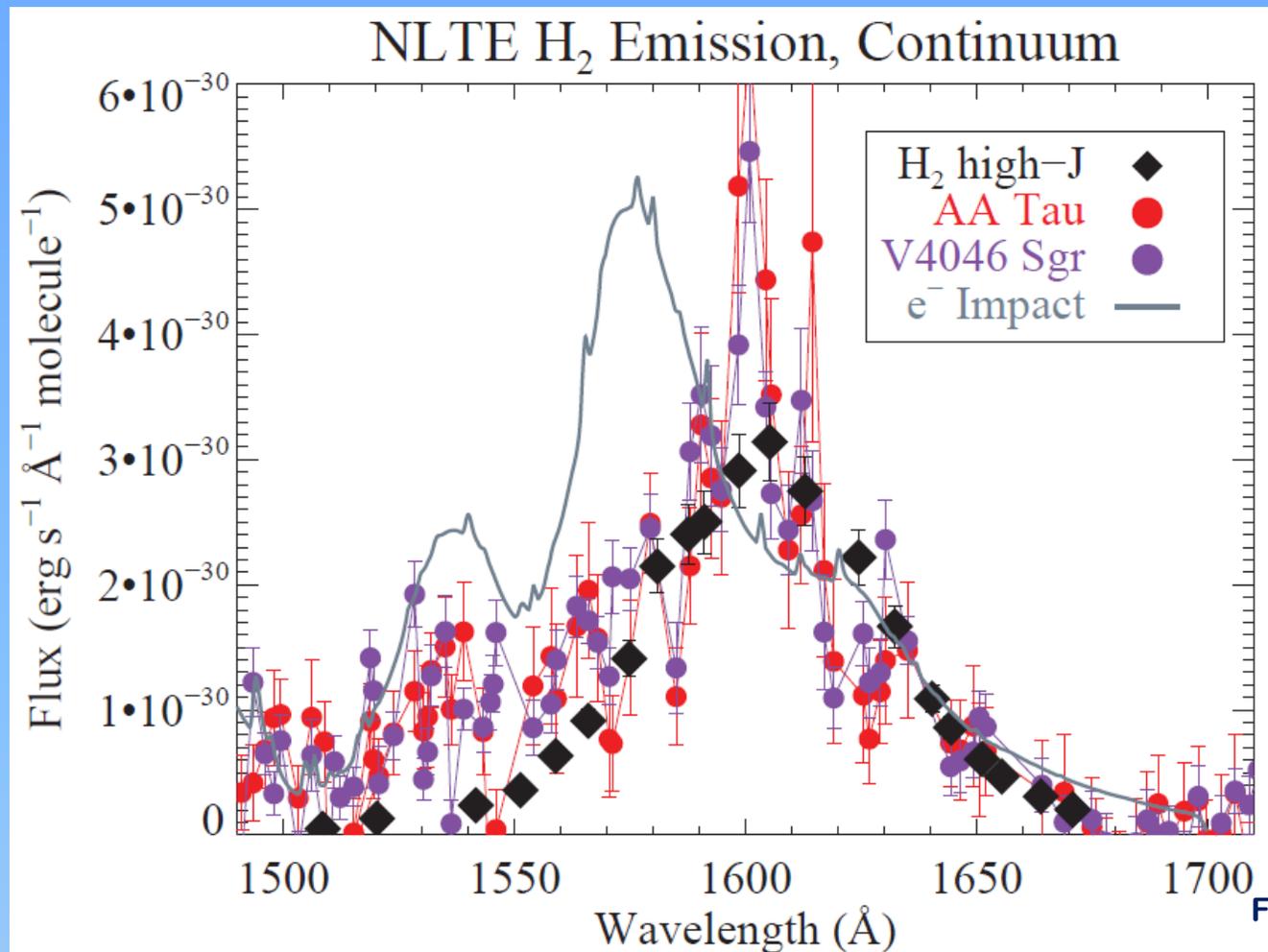
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

- “1600Å Bump” Measurement and Correlations
- Correlated with Ly α and other accretion tracers



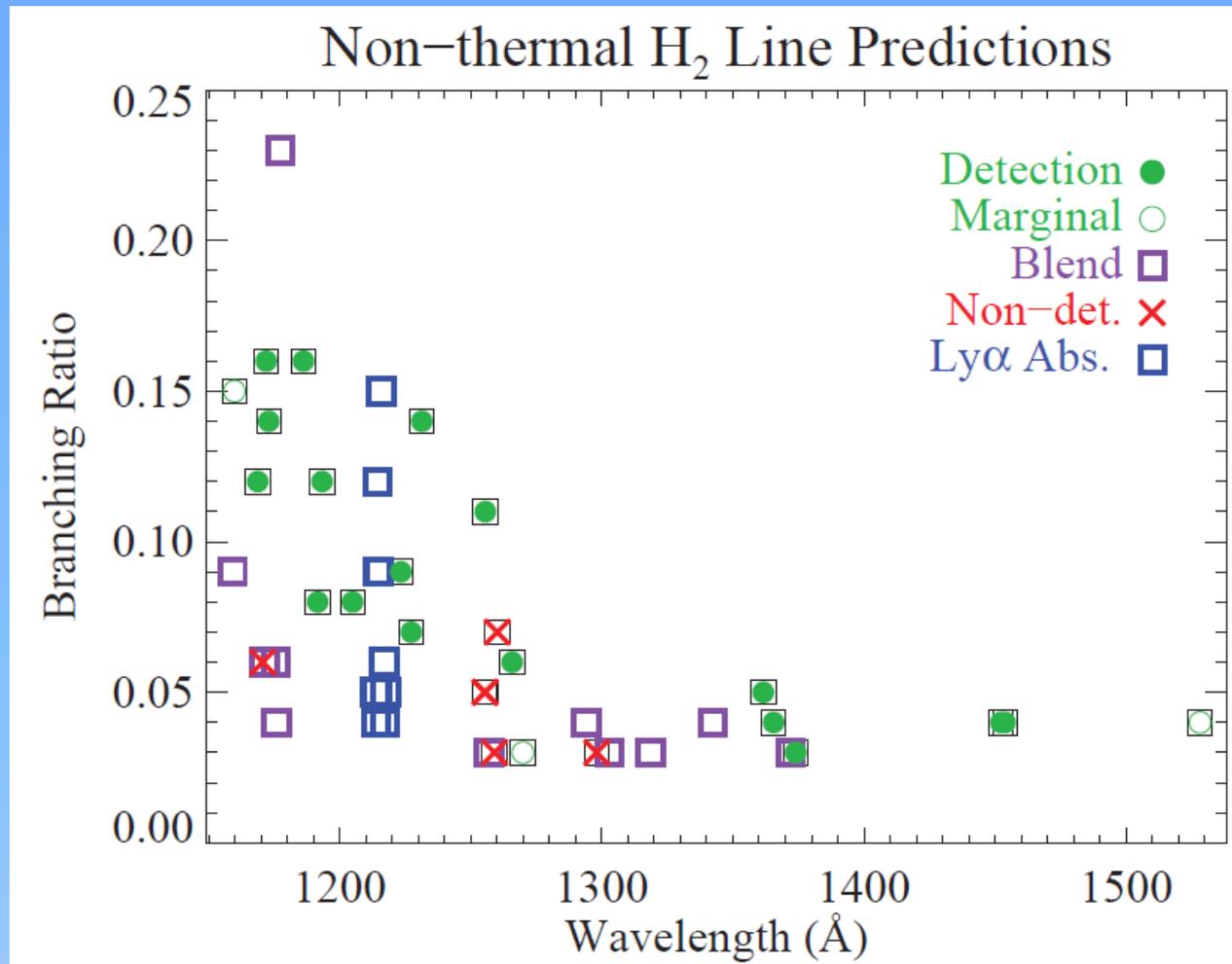
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

- “1600Å Bump” mechanism: $\text{H}_2\text{O} + \text{Ly}\alpha \rightarrow \text{H}_2^* + \text{O}$ (with $P \sim 10\%$)
 - $\text{H}_2^* + \text{Ly}\alpha \rightarrow$ observed continuum spectra
- *Electron-impact does not fit, Ly α -pumped H₂O fragments do*



Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

- “1600Å Bump” mechanism: $\text{H}_2\text{O} + \text{Ly}\alpha \rightarrow \text{H}_2^* + \text{O}$
 - $\text{H}_2^* + \text{Ly}\alpha \rightarrow$ observed continuum spectra
- *Electron-impact does not fit, Ly α -pumped H₂O fragments do*



Summary: 1978 - 2016

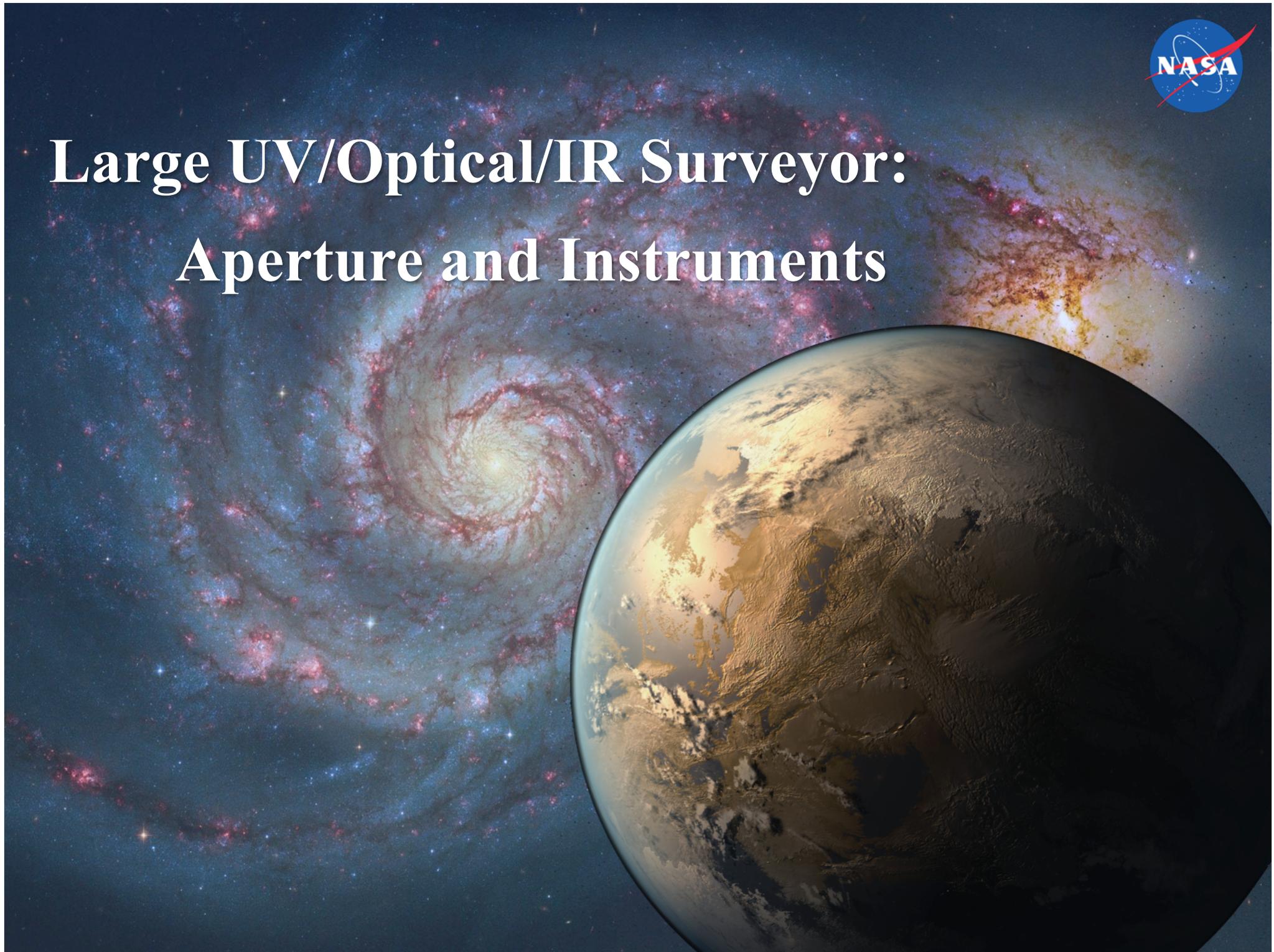
- 1) HST-COS observations have enabled statistical studies of **both H₂ and CO** in the warm molecular atmospheres of protoplanetary disks for the first time.
- 2) H₂ fluorescence traces 0.1 – 3 AU (to 10 AU in some transitional disks) while CO fluorescence traces 2 – 10 AU. **H₂ disk inner radii increase with dust dissipation and declining mass accretion rate.**
- 3) CO and H₂ absorption line spectroscopy through inclined disks has revealed **CO/H₂ ratios $\sim 10^{-4}$** , suggesting that little CO chemical processing occurs in the first 2 Myr. **H₂O dissociation at \sim few AU** is the most likely explanation for the UV molecular continuum in PPD spectra.

WHERE DO WE GO FROM HERE?





Large UV/Optical/IR Surveyor: Aperture and Instruments



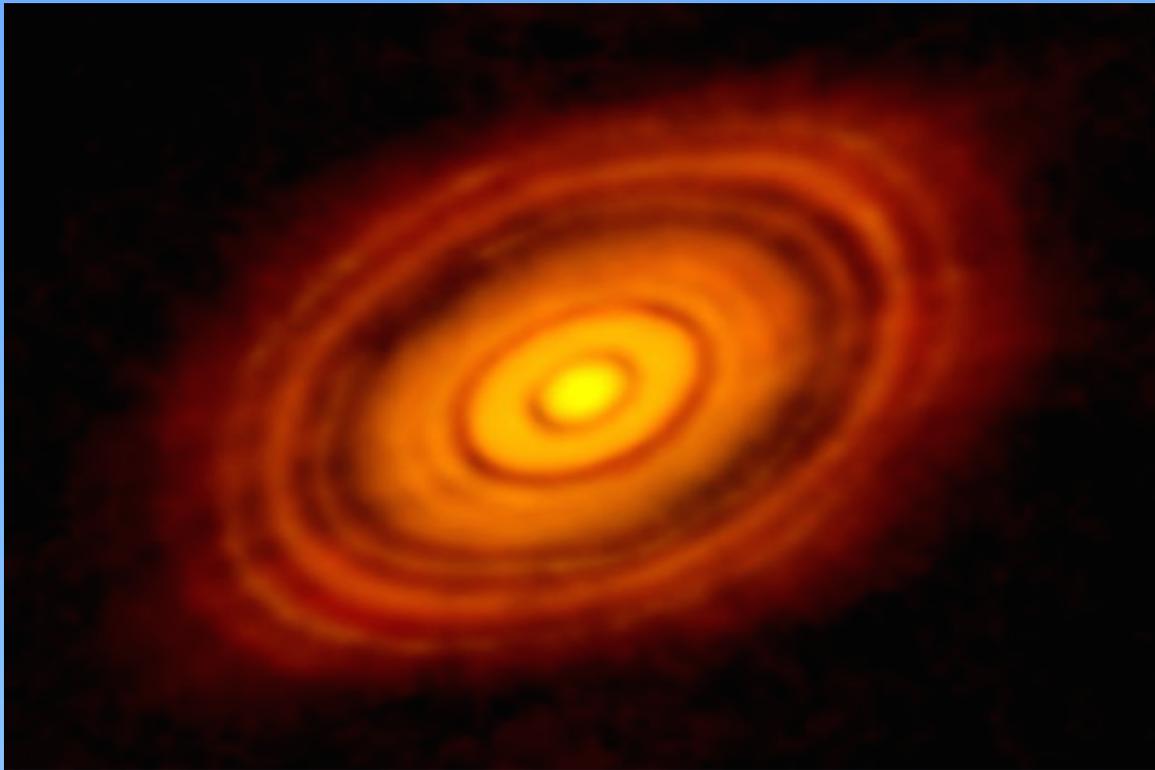


To take the next step towards using UV spectroscopy to characterize the structure and composition of planet-forming disks at $r < 10$ AU, we need **higher sensitivities** combined with **multi-plexed spectroscopy** (e.g., a UV multi-object spectrograph or IFU).

A notional two channel instrument would Combine High-resolution and Imaging Spectroscopy:

- 1) High-resolution (echelle) point source spectrograph
- 2) Multi-object imaging spectrograph, medium- and low-resolution spectral modes.

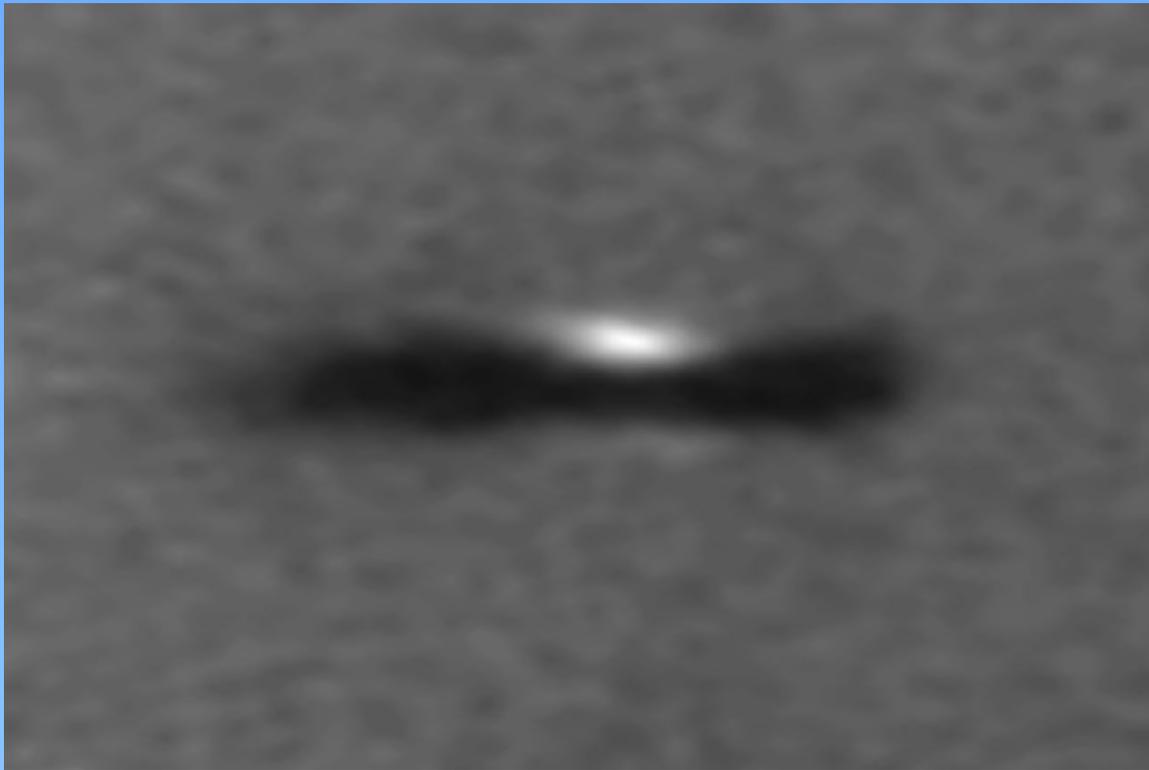
Combined *High-resolution* and Imaging Spectrograph for LUVOIR



LUVOIR: Characterizing the Exoplanet “Circle of Life”

Composition of planet forming region, connection to eventual bulk composition of exoplanets and their atmospheres.

Combined *High-resolution* and Imaging Spectrograph for LUVOIR

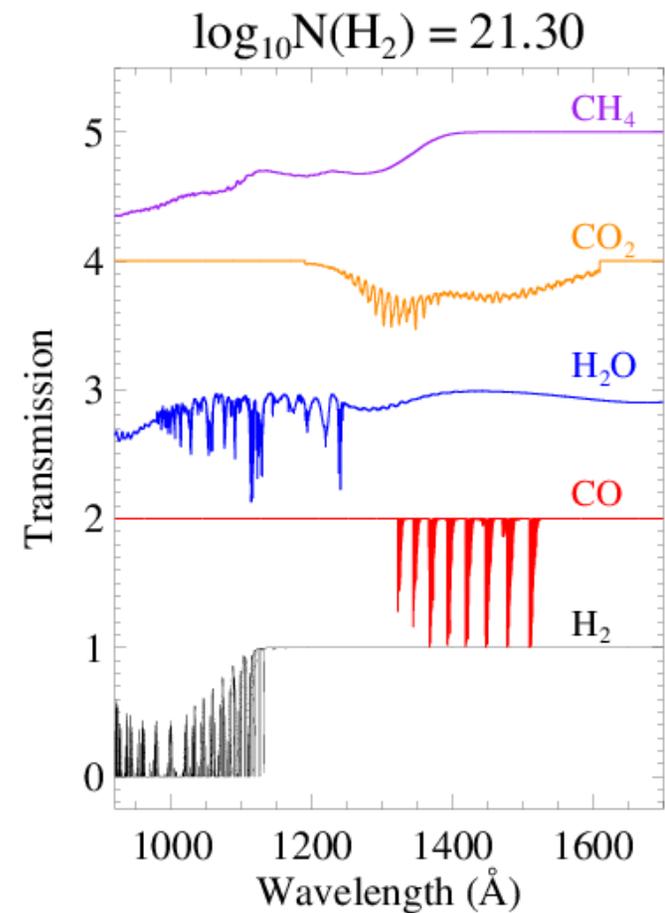
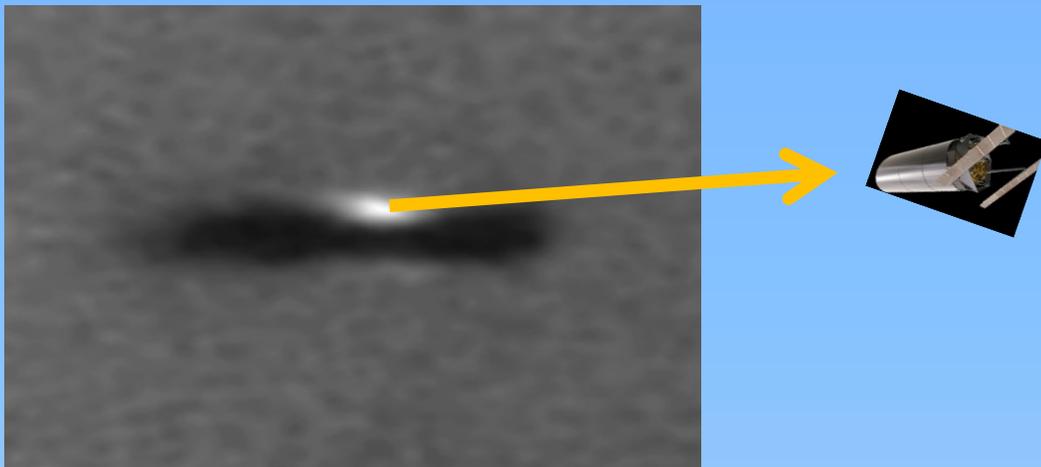


LUVOIR: Characterizing the Exoplanet “Circle of Life”

Composition of planet forming region, connection to eventual bulk composition of exoplanets and their atmospheres.

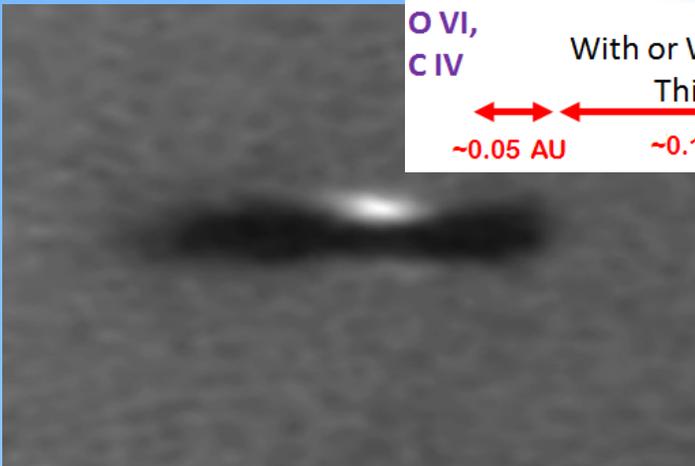
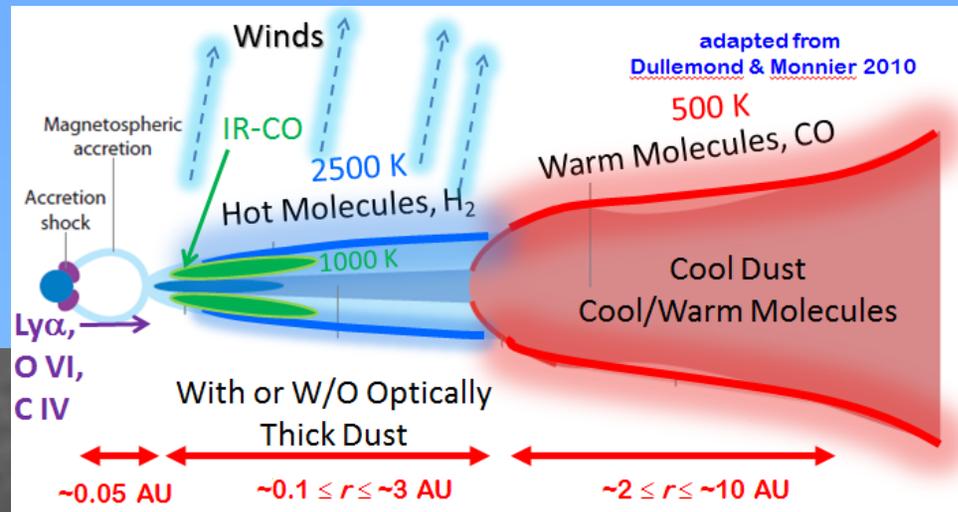
Combined *High-resolution* and Imaging Spectrograph for LUVOIR

- High sensitivity + high-resolution far-UV **absorption line spectroscopy** of CO, H₂, and H₂O enable quantitative compositional analysis of planet-forming disks



Combined *High-resolution* and Imaging Spectrograph for LUVOIR

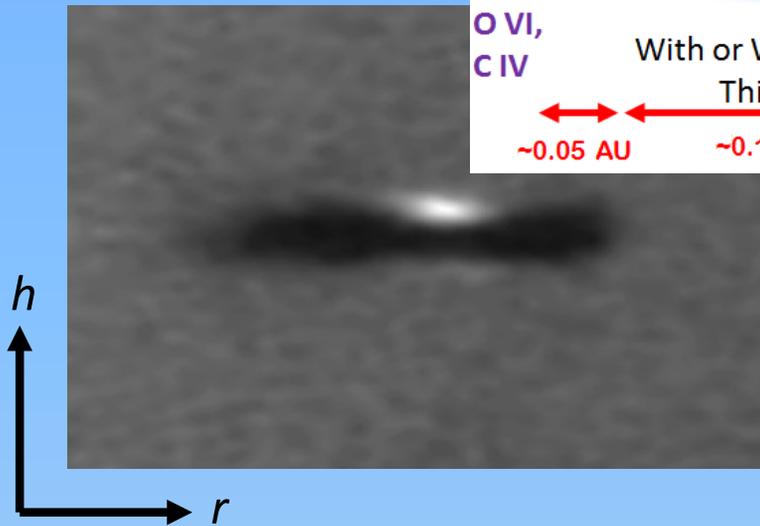
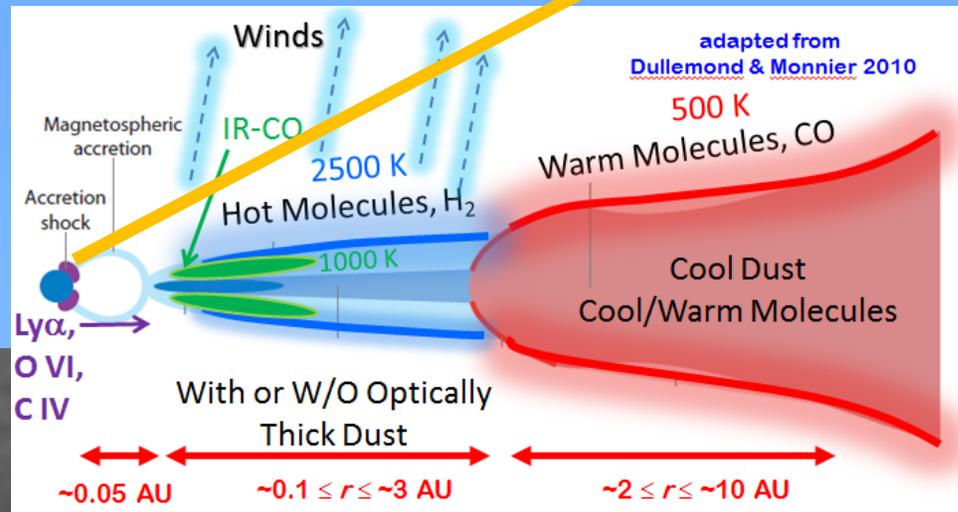
- **Multi-object + high-sensitivity** enables statistical surveys of inclination angle and ages



Combined *High-resolution* and Imaging Spectrograph for LUVOIR



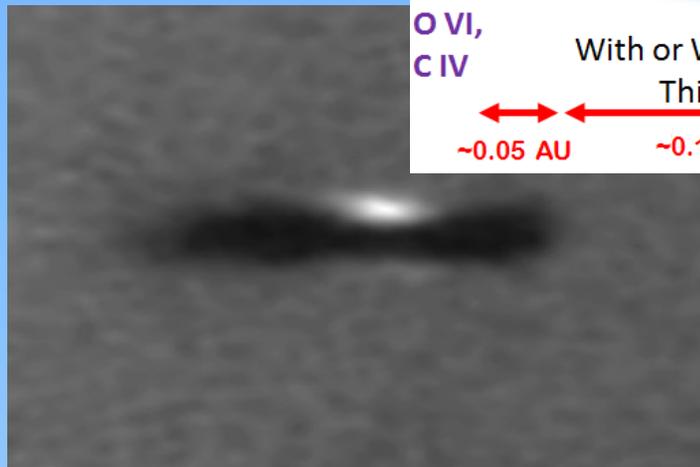
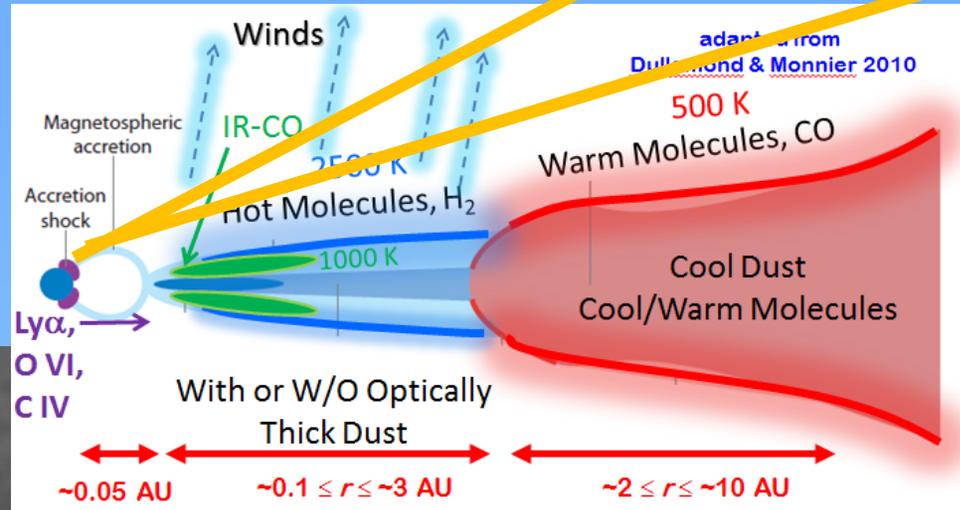
Photoevaporative wind



Combined *High-resolution* and Imaging Spectrograph for LUVOIR

Photoevaporative wind

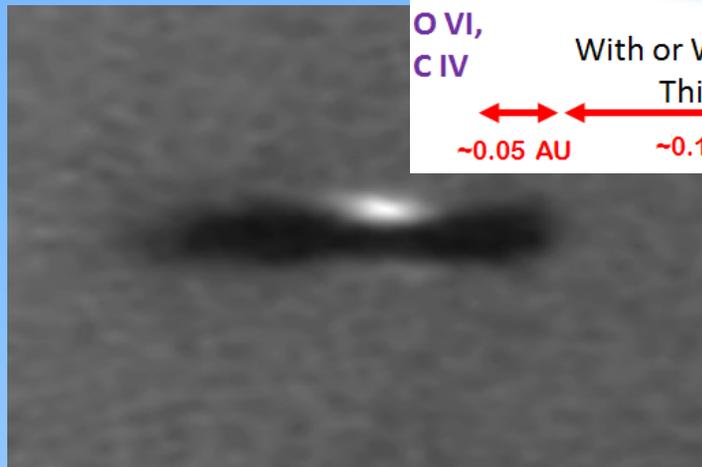
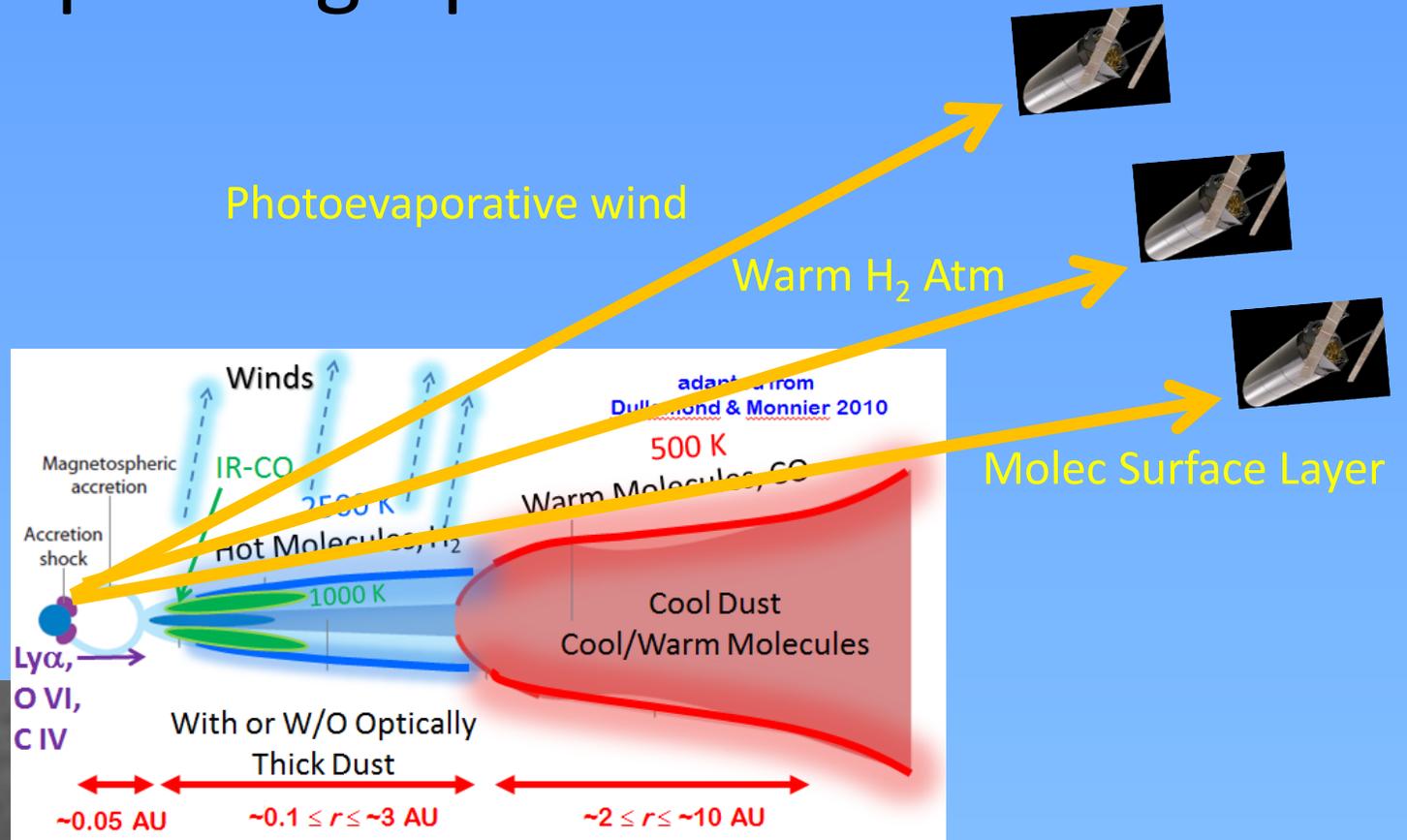
Warm H₂ Atm



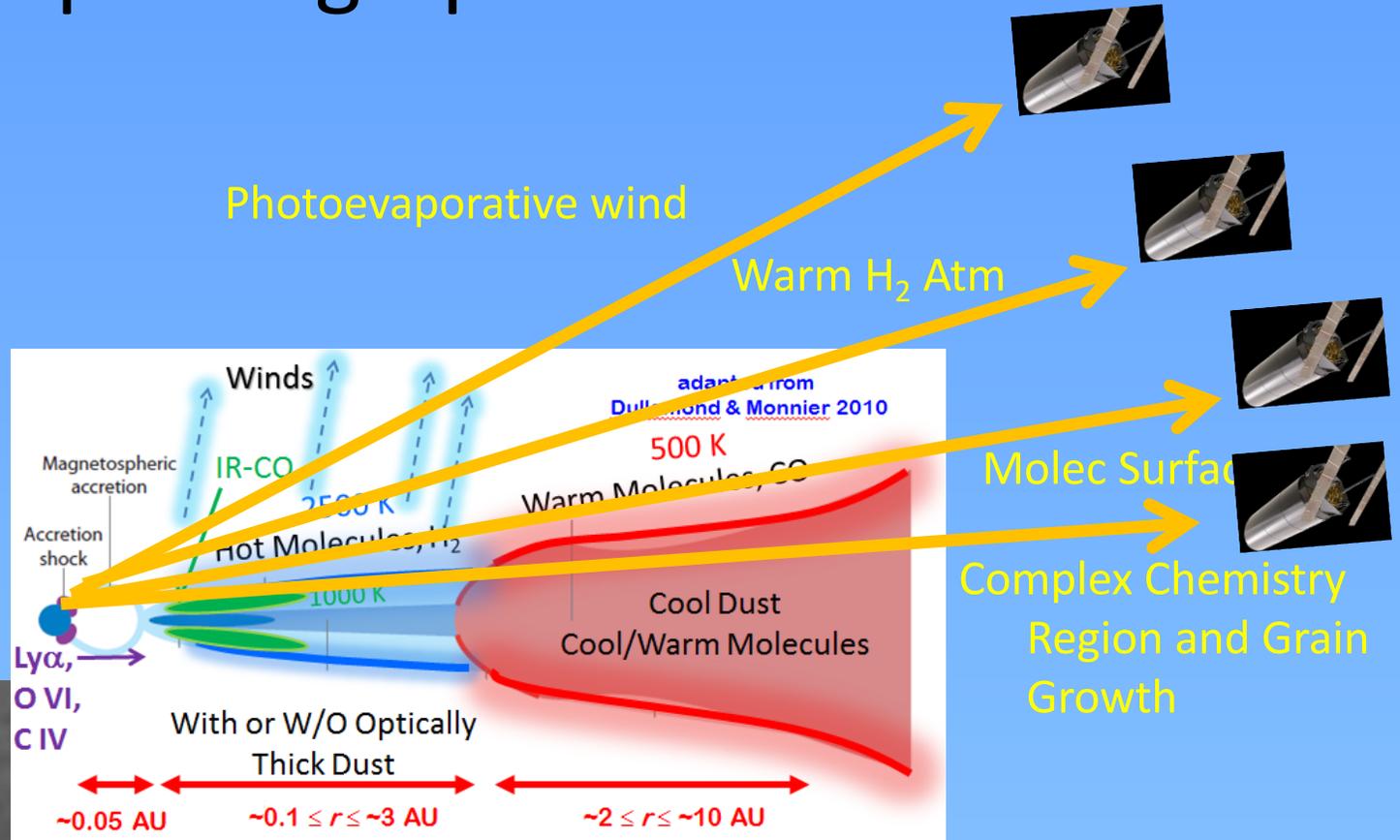
h

r

Combined *High-resolution* and Imaging Spectrograph for LUVOIR



Combined *High-resolution* and Imaging Spectrograph for LUVOIR

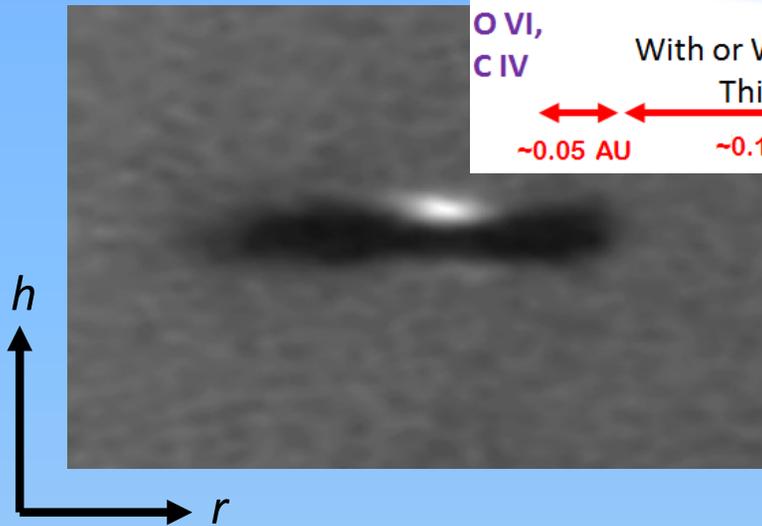


Photoevaporative wind

Warm H₂ Atm

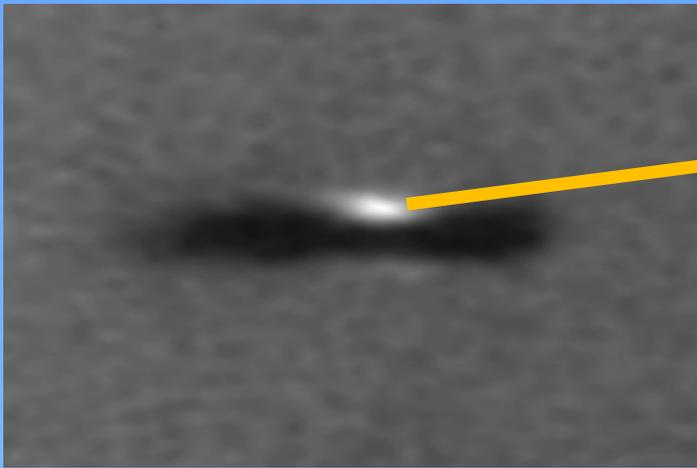
Molec Surface

Complex Chemistry Region and Grain Growth

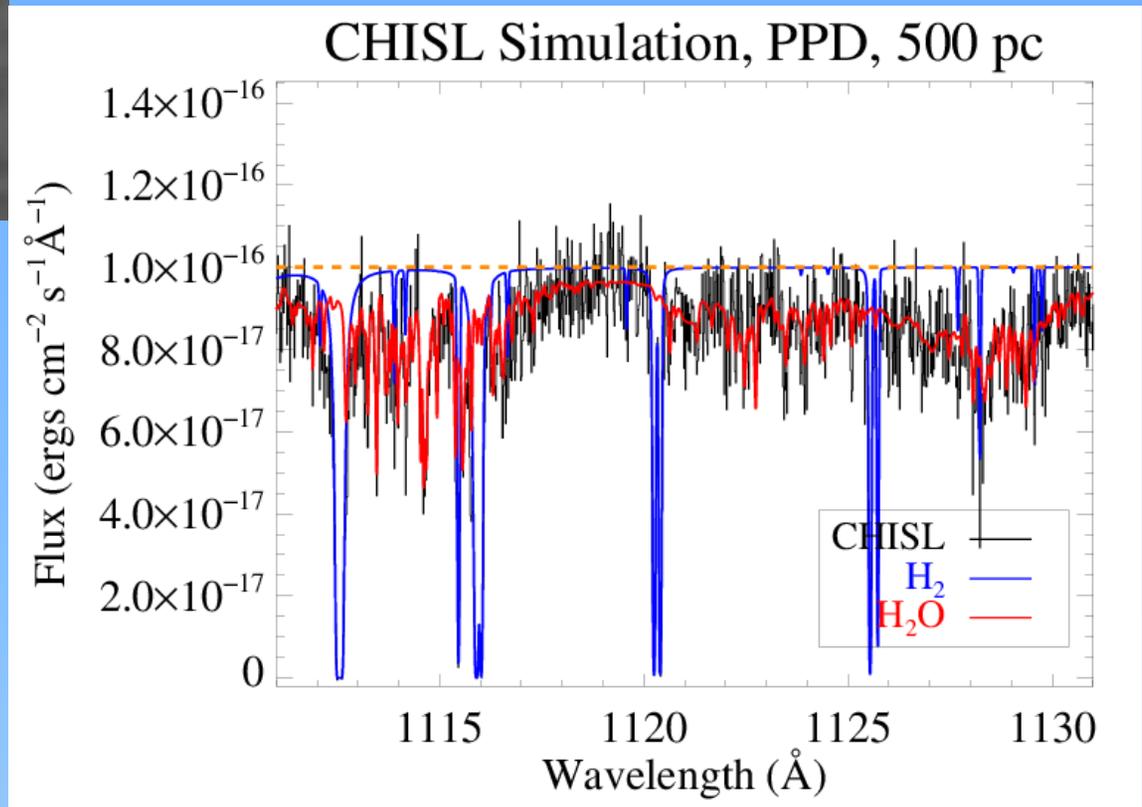


- **Distribution of inclination angle and ages allows 4-D mapping of disks $[r, h, t, \lambda]$**

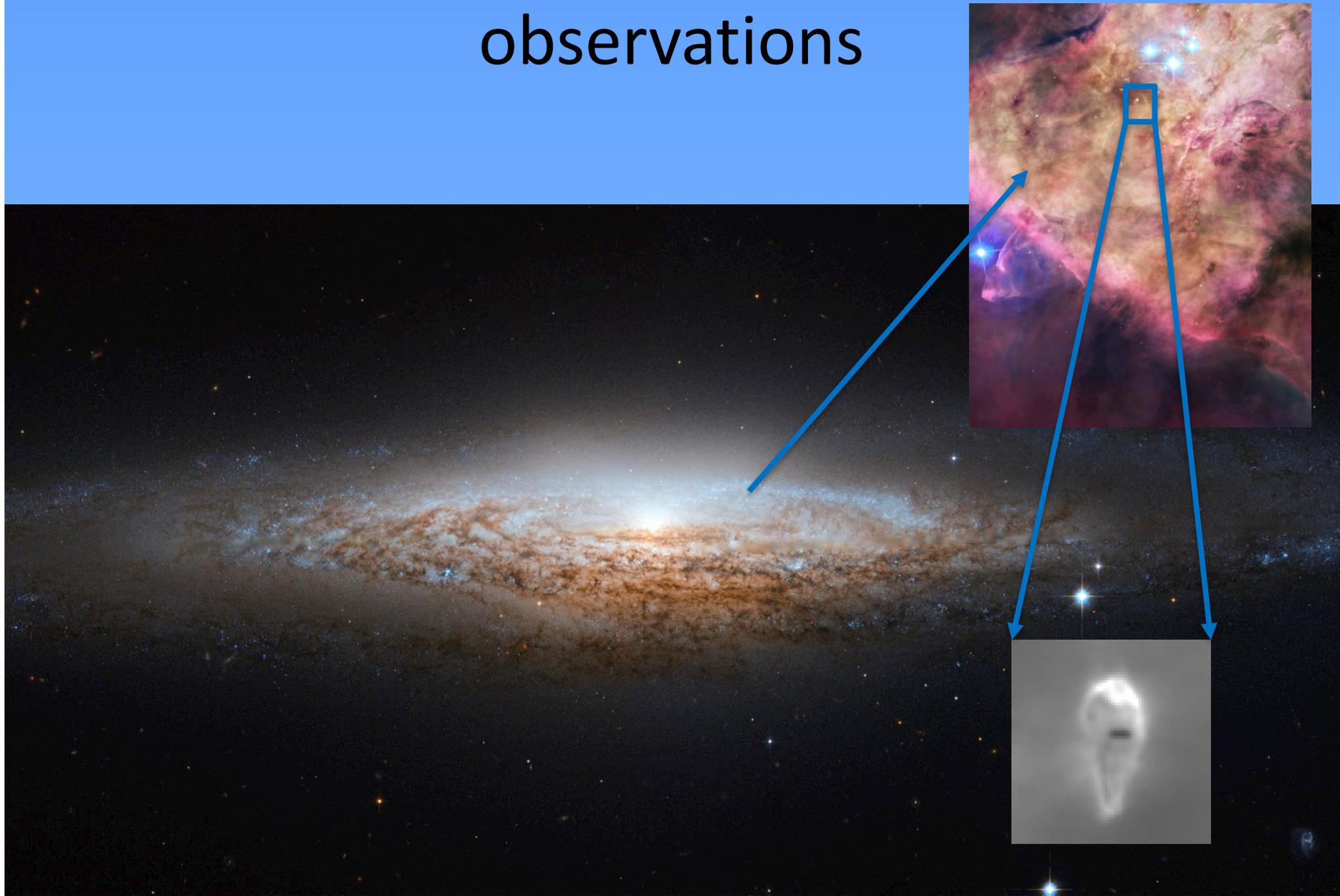
Combined *High-resolution* and Imaging Spectrograph for LUVOIR

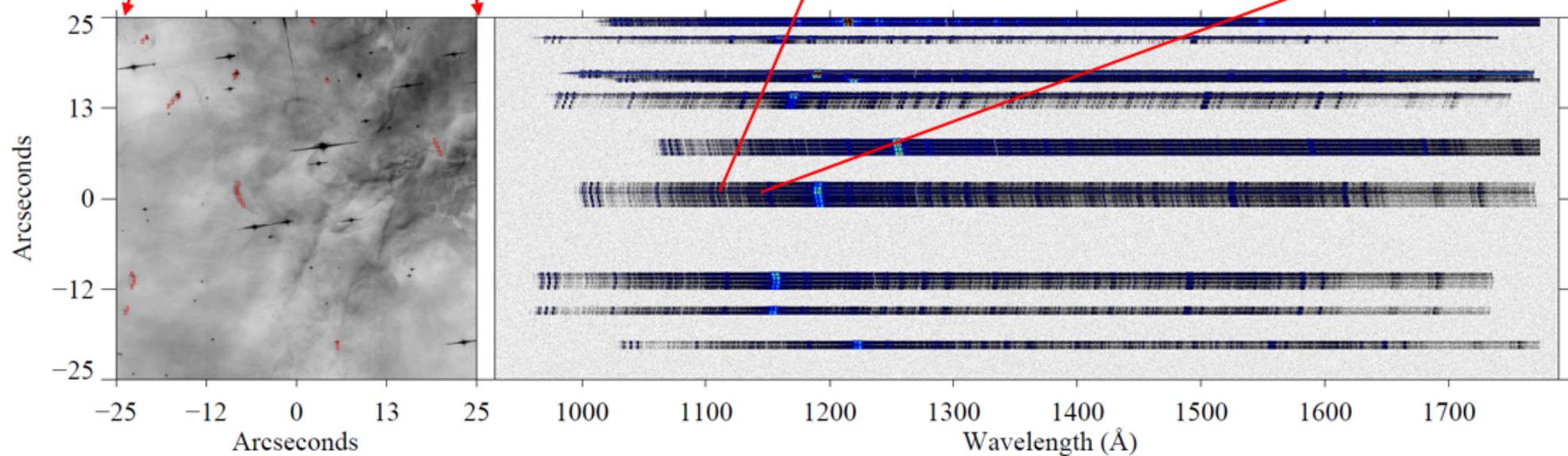
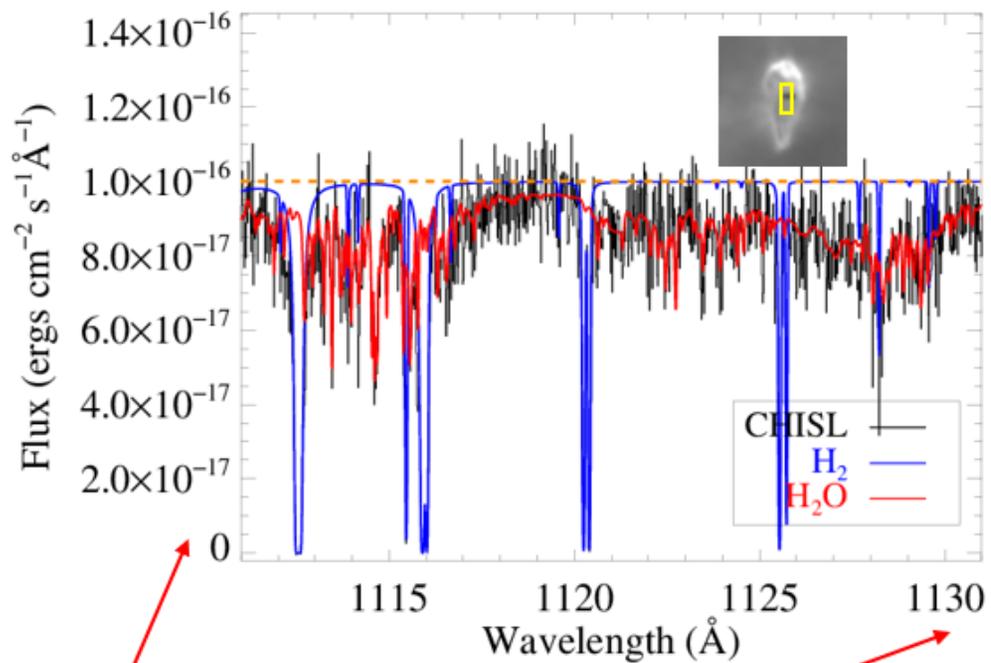
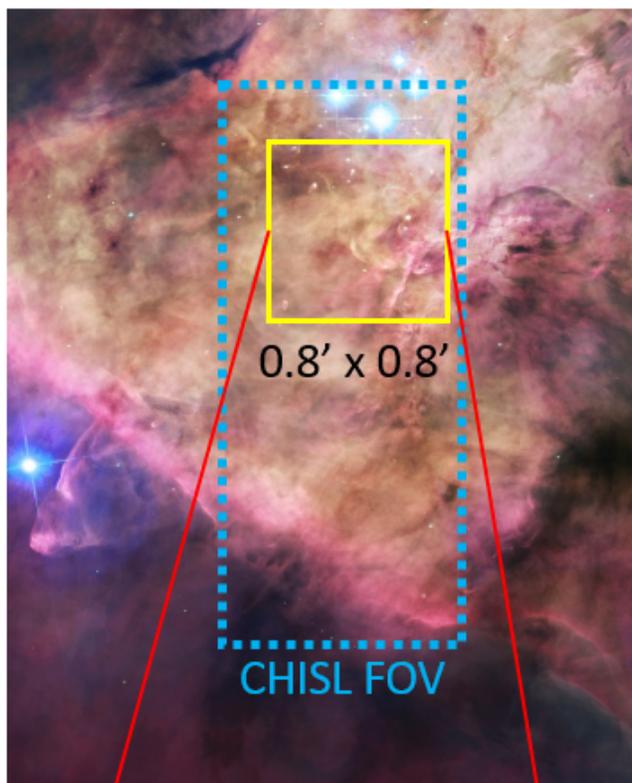


Molecular Surface Layer

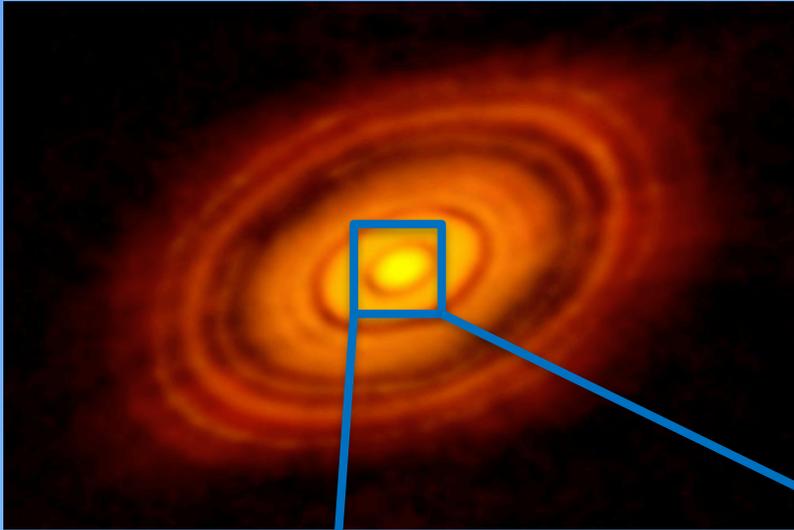


Multi-object observations

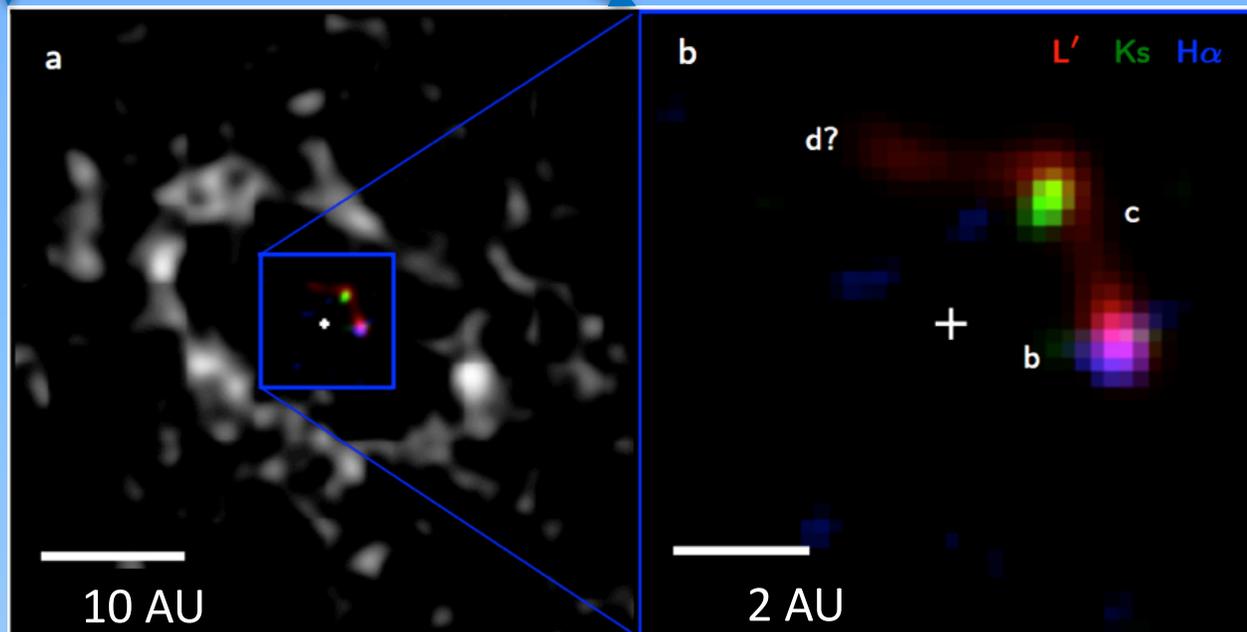




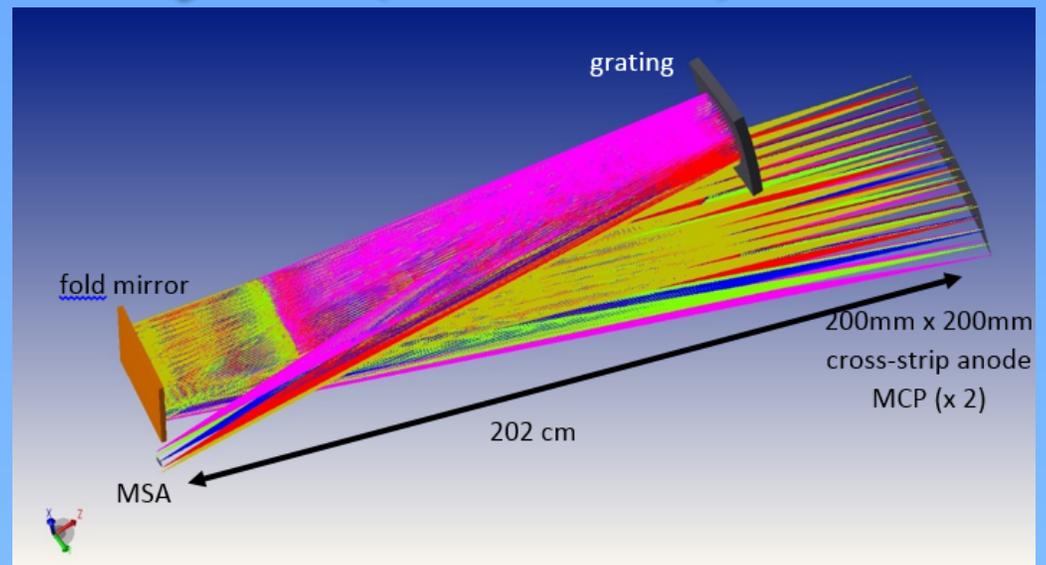
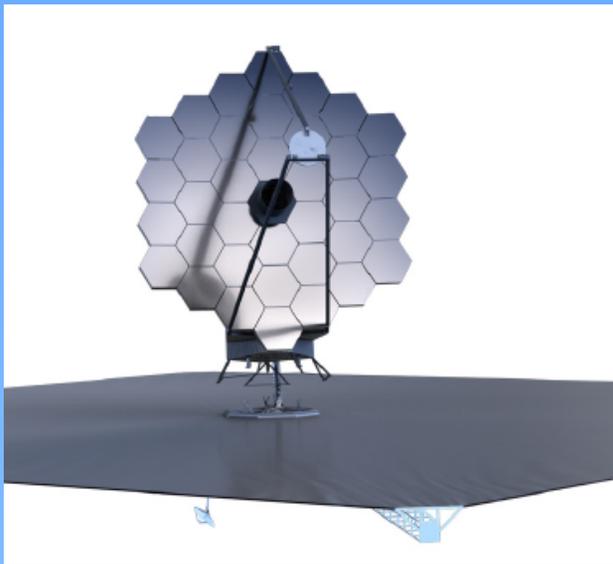
Complementarity with other science instruments for disk and protoplanet science



Composition of planet forming region, connection to bulk composition of protoplanets, exoplanets, and their atmospheres.



The Combined High-resolution and Imaging Spectrograph for the LUVOIR Surveyor (CHISL)



(with Brian Fleming, Keri Hoadley)

NOTIONAL LUVOIR INSTRUMENT SUITE

First Generation Science Instruments (*to be defined by STDTs, strictly my own interpretation):

- 1) Coronagraph to detect and characterize (potentially inhabited) exoplanets (10^{-10} contrast ratio – *discovery*, feeding an $R > 70$ Vis/NIR spectrometer - *characterization*)
- 2) “Wide field” imager ($6' \times 6'$; $V = 32$ in 1 hr)
- 3) UV spectrograph (multi-object over $> 1' \times 1'$ FOV at medium res [$R > 25,000$]; high-res capability [$R \geq 10^5$])

NOTIONAL LUVOIR INSTRUMENT SUITE

First Generation Science Instruments (*to be defined by STDTs, strictly my own interpretation):

- 1) Coronagraph to detect and characterize exoplanets (10^{-10} contrast ratio)
Vis/NIR spectrometer - characterization
- 2) "Wide field" imager (6' x 6', 0.1 arcsec resolution)
- 3) UV spectrograph (multi-object over $> 1' \times 1'$ FOV at medium res [$R > 25,000$]; high-res capability [$R \geq 10^5$])

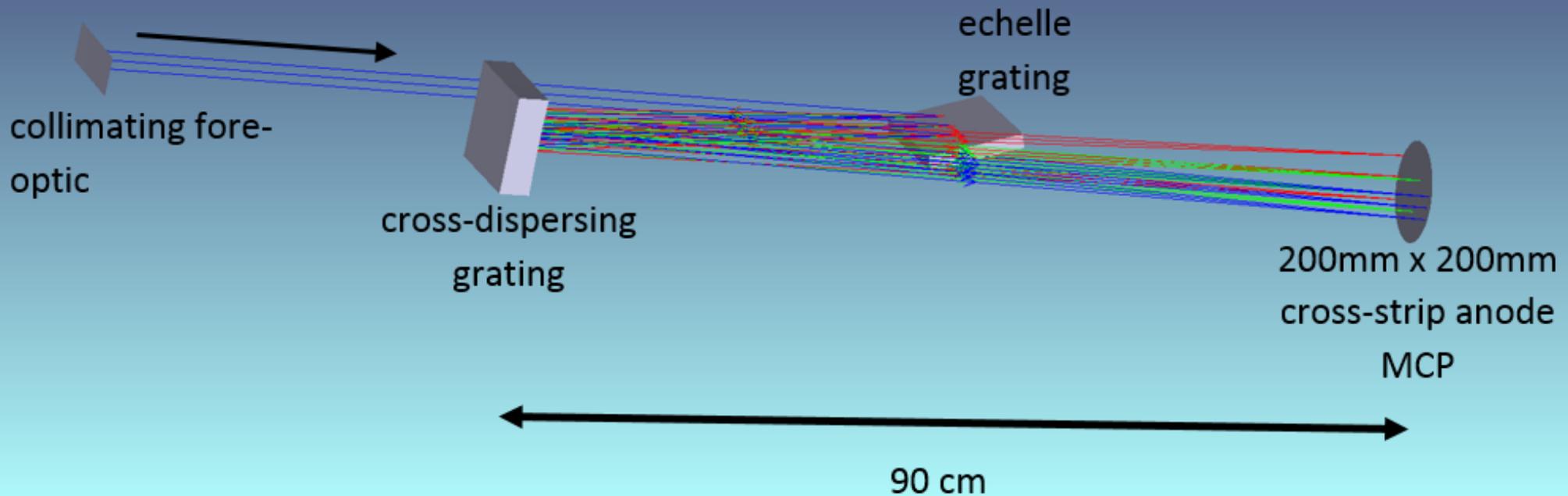
The Combined High-resolution and Imaging Spectrograph for the LUVOIR surveyor (CHISL)

Combined ***High-resolution*** and Imaging Spectrograph for LUVVOIR

A two channel instrument :

- 1) High-resolution (echelle) point source spectrograph
- 2) Multi-object imaging spectrograph, medium- and low-resolution spectral modes.

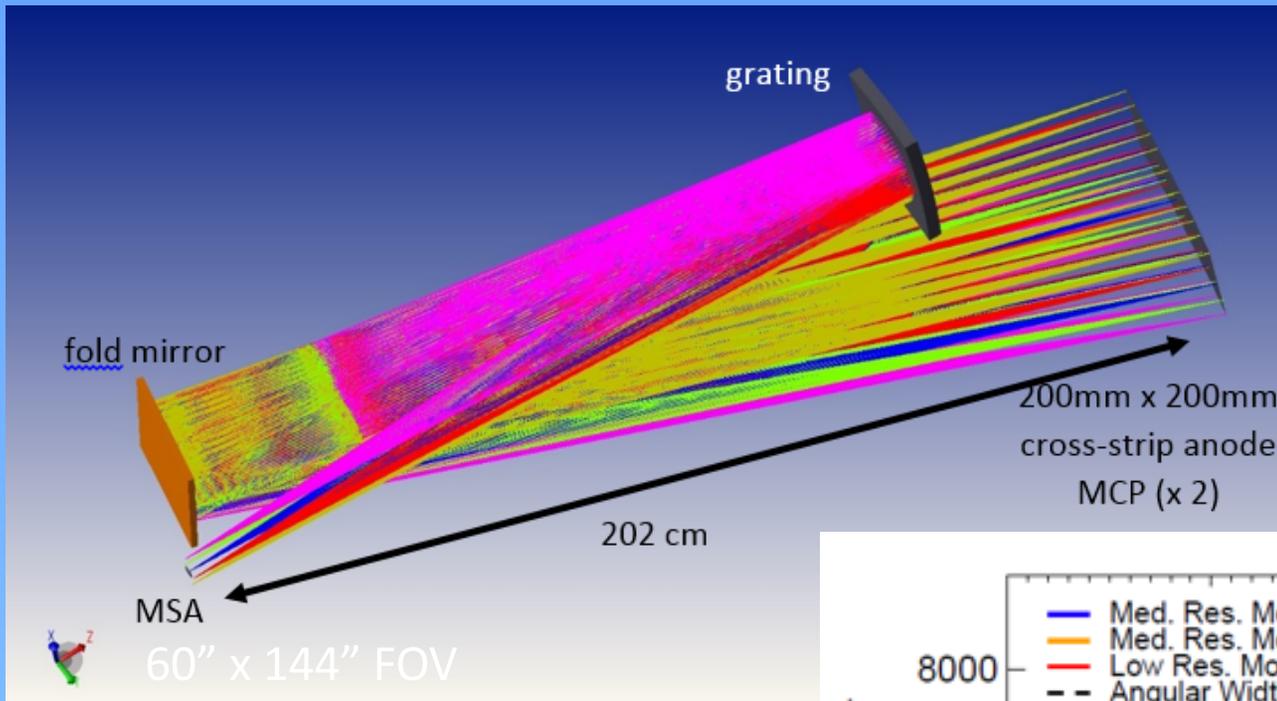
Combined *High-resolution* and Imaging Spectrograph for LUVOIR



- Optical Telescope Assembly → collimator
- TBD -- echelle grating*
- Holographically ruled cross-dispersing/focusing grating

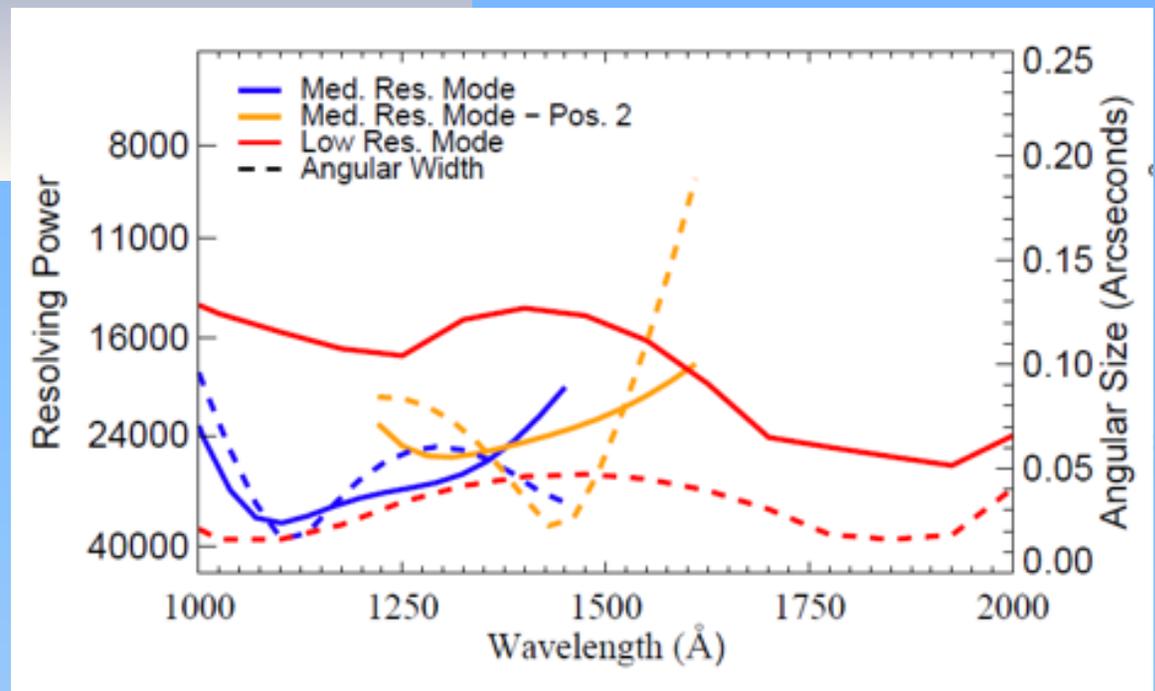
NEED: stable advanced coatings (reflectivity $\geq 85\%$ at $\lambda > 1000 \text{ \AA}$, large-format detector array ($\sim 200\text{mm} \times 200\text{mm}$)

Combined High-resolution and *Imaging Spectrograph* for LUVOIR

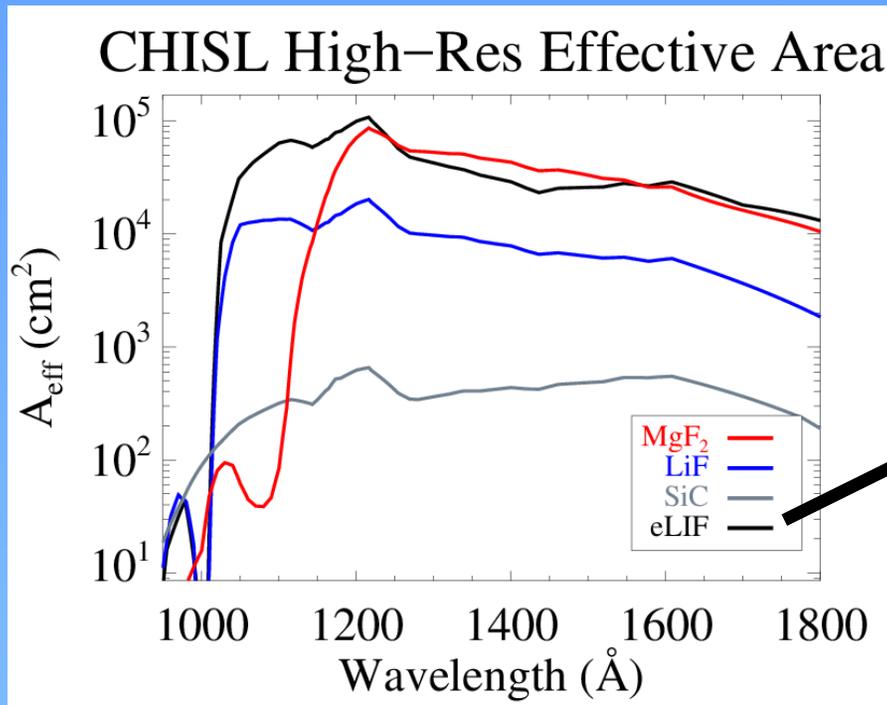


- JWST-like microshutter array (1' x 2.4')
- Holographic grating
- Fold mirror

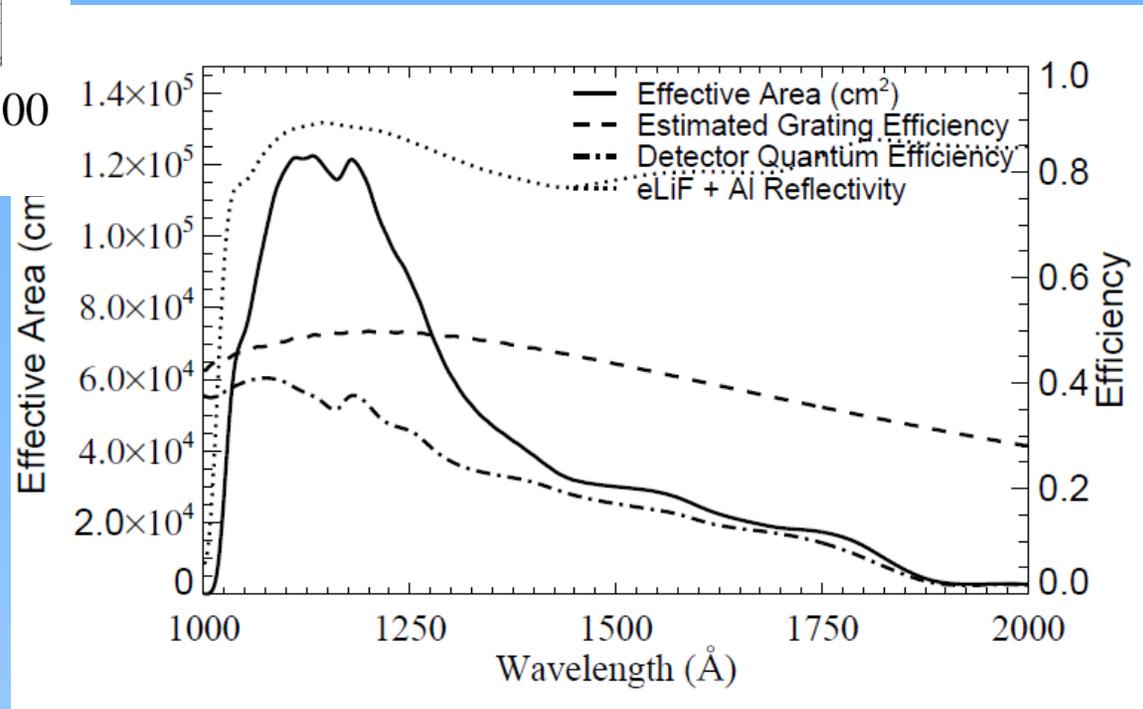
NEED: stable advanced coatings, large-format detector arrays (~200mm x 200mm x 2), UV MSAs



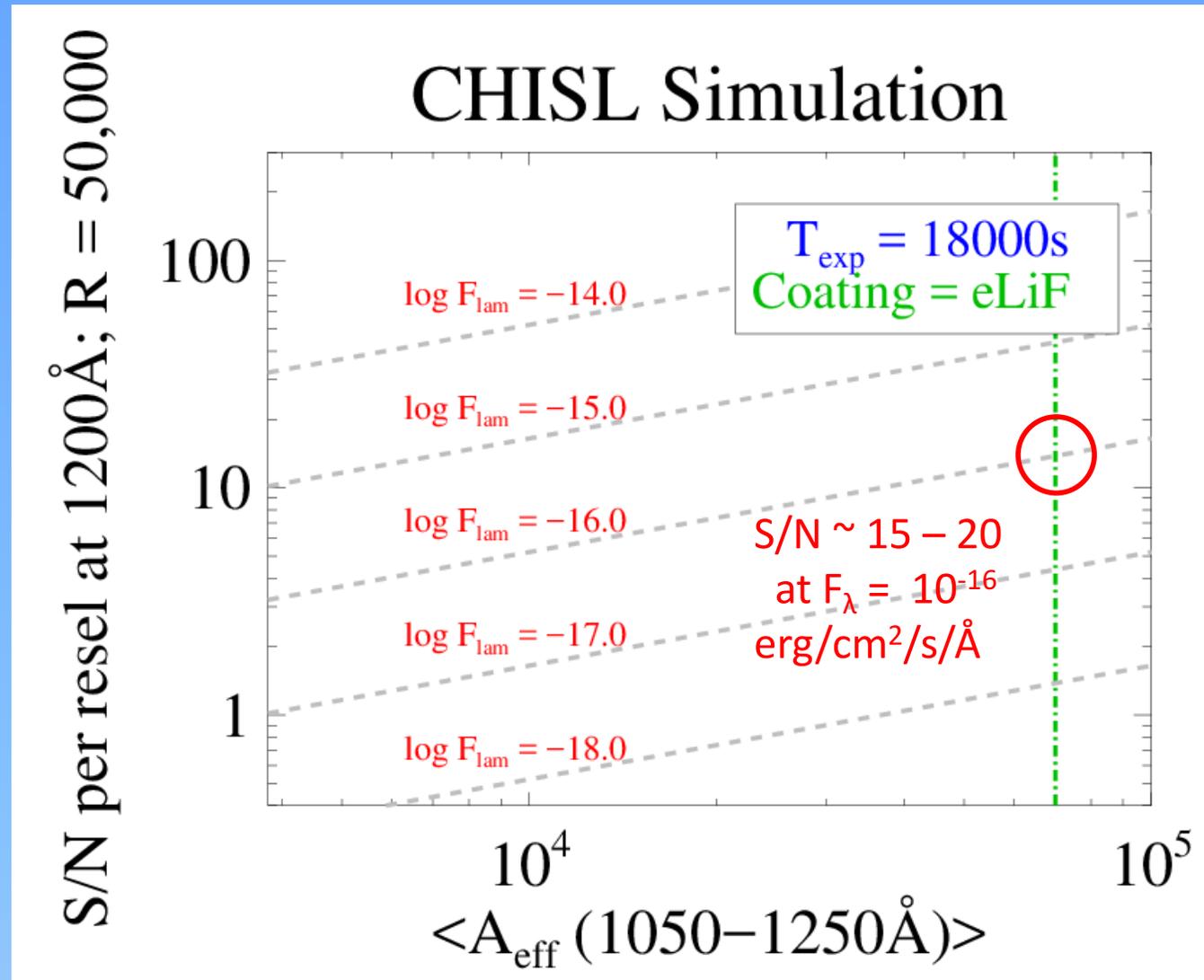
Combined *High-resolution* and *Imaging* Spectrograph for LUVOIR



-Quijada et al. 2014;
-Fleming et al. 2016;
-Hennessey et al. 2016



3. Combined *High-resolution* and Imaging Spectrograph for LUVOIR



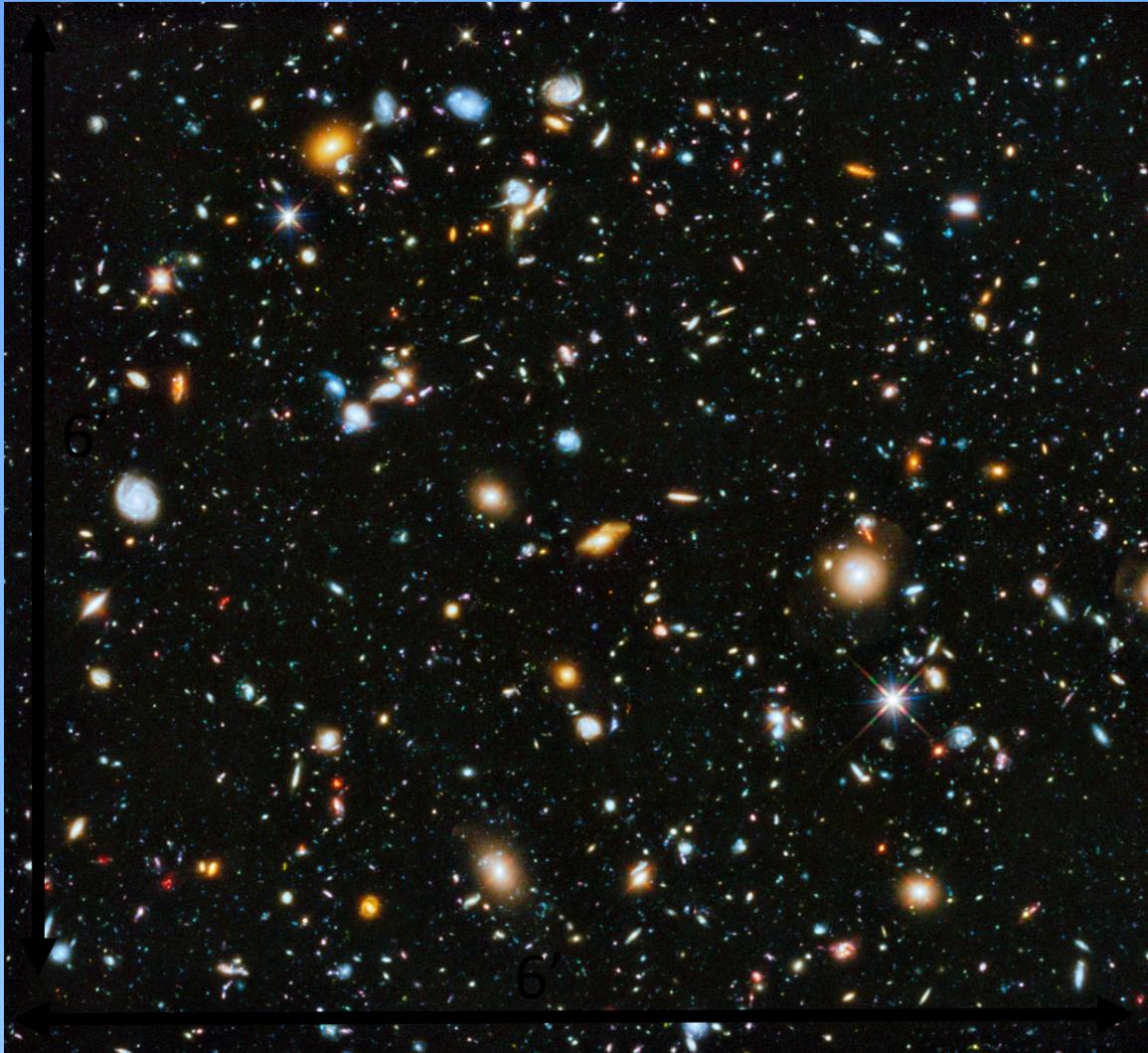
Combined High-resolution and *Imaging Spectrograph* for LUVOIR

Comparison with HST-STIS

Instrument Parameter	STIS G140M	CHISL (Imaging Modes)
Spectral Resolving Power	10,000	16,000 – 40,000
Total Spectral Bandpass	1140 – 1740 Å	1000 – 2000 Å
Spectral Bandpass per Exposure	50 Å	450 – 1000 Å
Number of Exposures to Cover Spectral Bandpass	12	1 (Low Res) 3 (Med Res)
Imaging Field-of-View	0.2" x 28"	60" x 144"
Spectrograph Throughput	1.2%	11.7%

Combined High-resolution and *Imaging Spectrograph* for LUVOIR

What can we do with LUVOIR + CHISL?

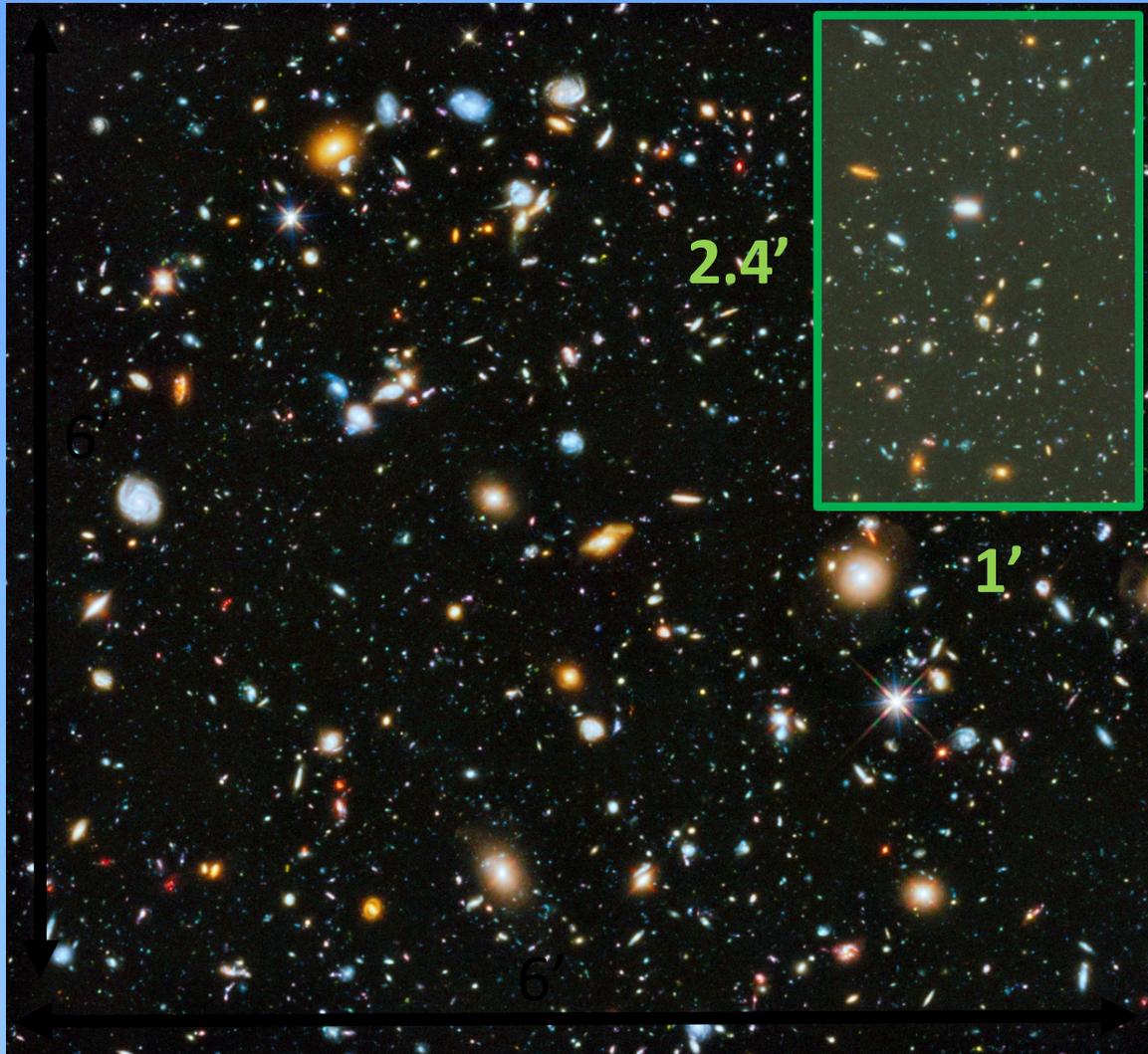


Deep fields will be produced automatic via parallel observations during coronagraphy

Spectroscopic observations of low/intermediate redshift galaxies and CGM/IGM

Combined High-resolution and *Imaging Spectrograph* for LUVOIR

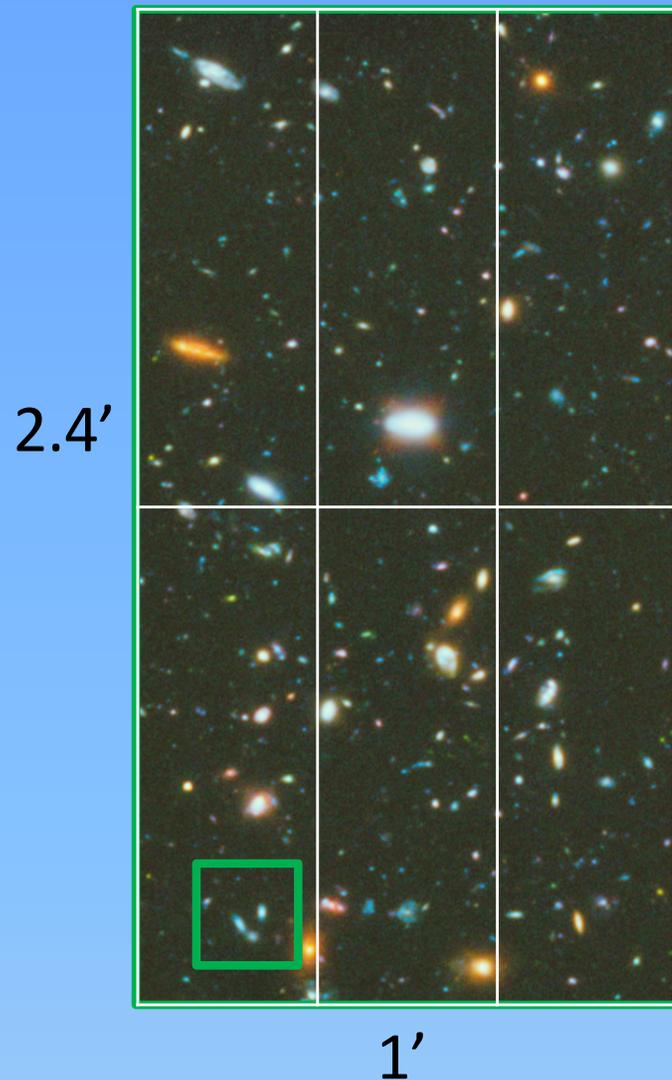
What can we do with LUVOIR + CHISL?



Spectroscopic observations of low/intermediate redshift galaxies and CGM/IGM

Combined High-resolution and *Imaging Spectrograph* for LUVOIR

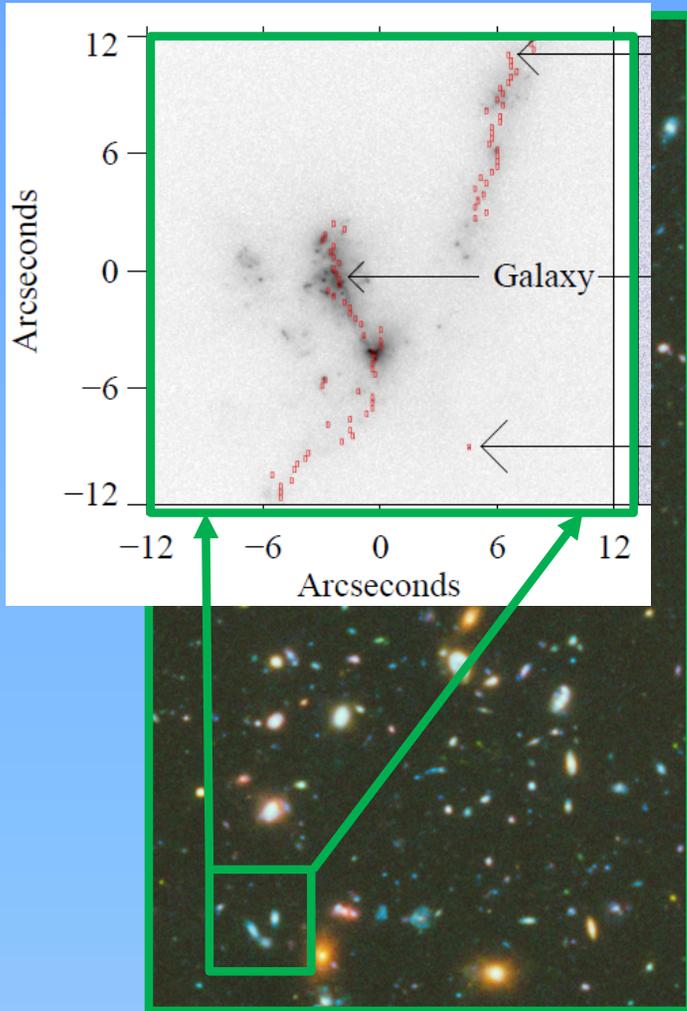
What can we do with LUVOIR + CHISL?



- 3 microshutter arrays, each 20" x 48" FOV
- 100 x 200 micron slits
- < 0.1" spectral imaging across most of FOV
 - (0.03" – 1.0" spectral imaging across full FOV)

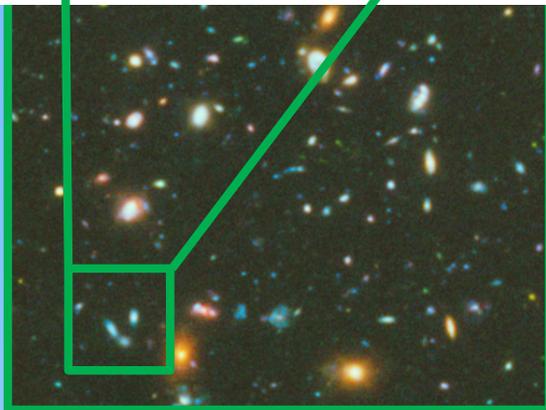
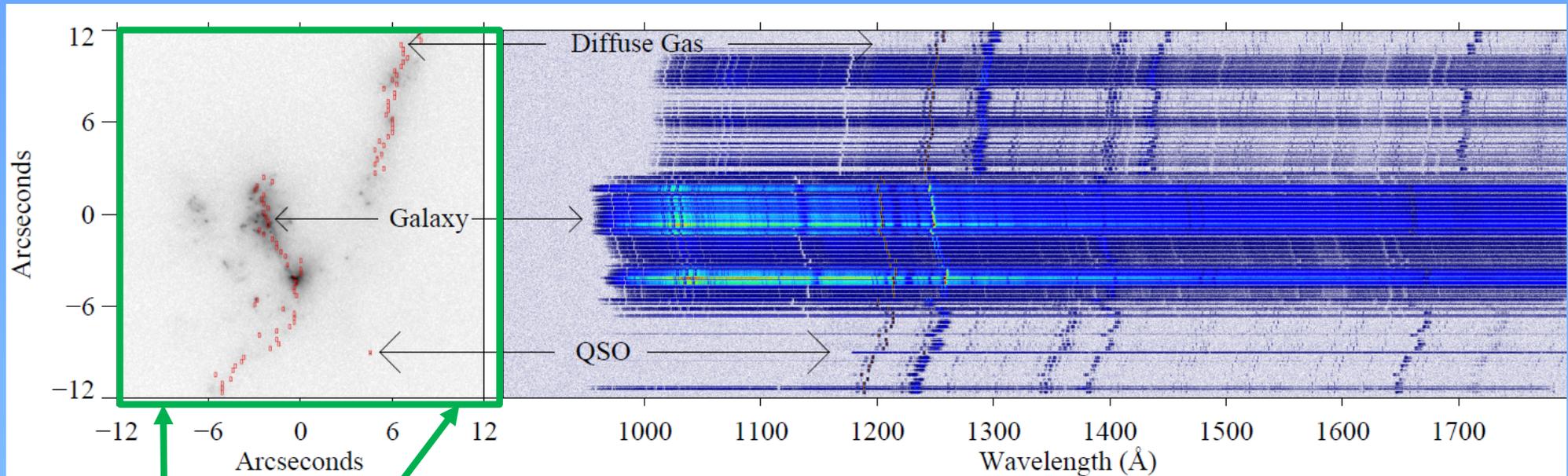
Combined High-resolution and *Imaging Spectrograph* for LUVOIR

What can we do with LUVOIR + CHISL?



Combined High-resolution and *Imaging Spectrograph* for LUVOIR

What can we do with LUVOIR + CHISL?



$R > 15,000$ 1000 – 2000 Å spectroscopy of hundreds of objects *simultaneously*.

Background quasars, numerous galactic regions, circumgalactic halo

CHISL Technology – Current Laboratory and Flight Testing



CHISL Technology – Current Laboratory and Flight Testing

- Colorado UV Rocket Program
 - High-resolution spectroscopy of the local ISM (**CHES**); Imaging spectroscopy of nearby galaxies and exoplanet host stars (**SISTINE**); Ionizing radiation from local OB stars (**DEUCE***)
 - **Hardware Development:**
 1. High-efficiency UV/visible optical coatings
 2. Large format, high dynamic range UV detectors
 3. Diffraction grating technology

PI – K. France

*PI – J. Green

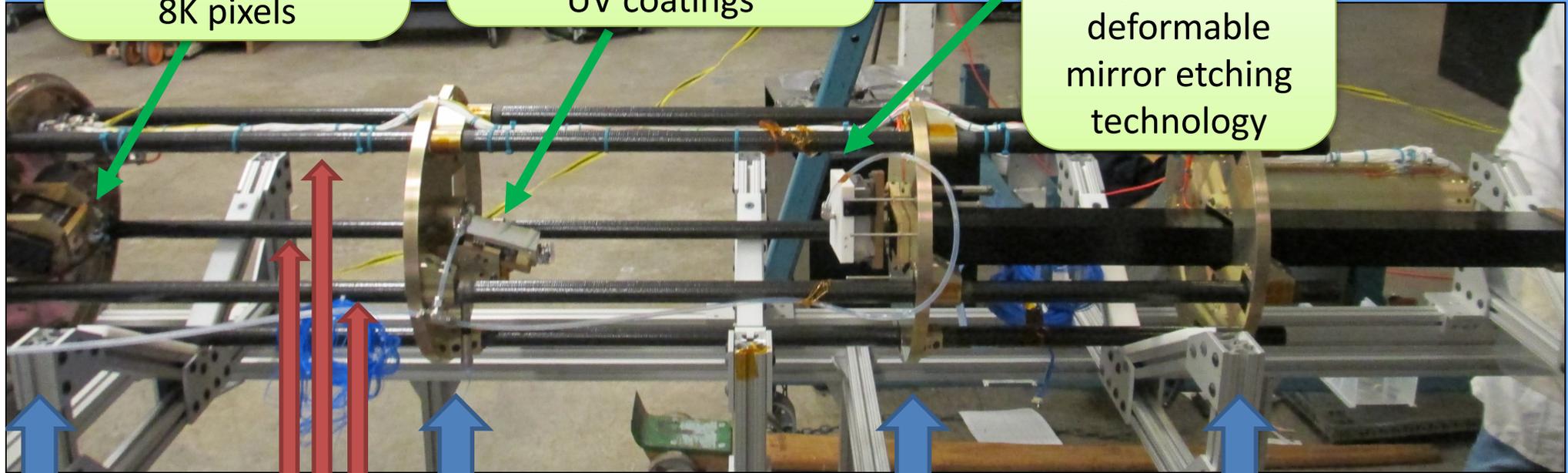
CHES Payload

MCP detector

5 MHz global rate,
photon counting,
40cm diam, 8K x
8K pixels

Echelle grating: 70%
groove efficiency,
testing with advanced
UV coatings

**Cross-disp
grating:**
deformable
mirror etching
technology



**X-strip
MCP**

Echelle

Cross disperser

Mechanical collimator

Carbon fiber mechanical structure

France et al. 2012
Hoadley et al. 2014
France et al. 2016
Hoadley et al. 2016
Fleming et al. 2015, 2016

Summary: The future

- 4) High-res FUV spectroscopy: gas phase abundances at rocky planet radii. Multi-object: statistical analysis of 0.1 – 10 AU gas structure and evolution from 0.5 – 20 Myr.
- 5) CHISL: high-resolution (echelle) point source spectrograph, [$R \geq 10^5$]
- 6) CHISL: Imaging / multi-object spectrograph, medium- and low-resolution spectral modes. multi-object over 1' x 2.4' FOV at medium res [$R = 16,000 - 40,000$]

Questions?

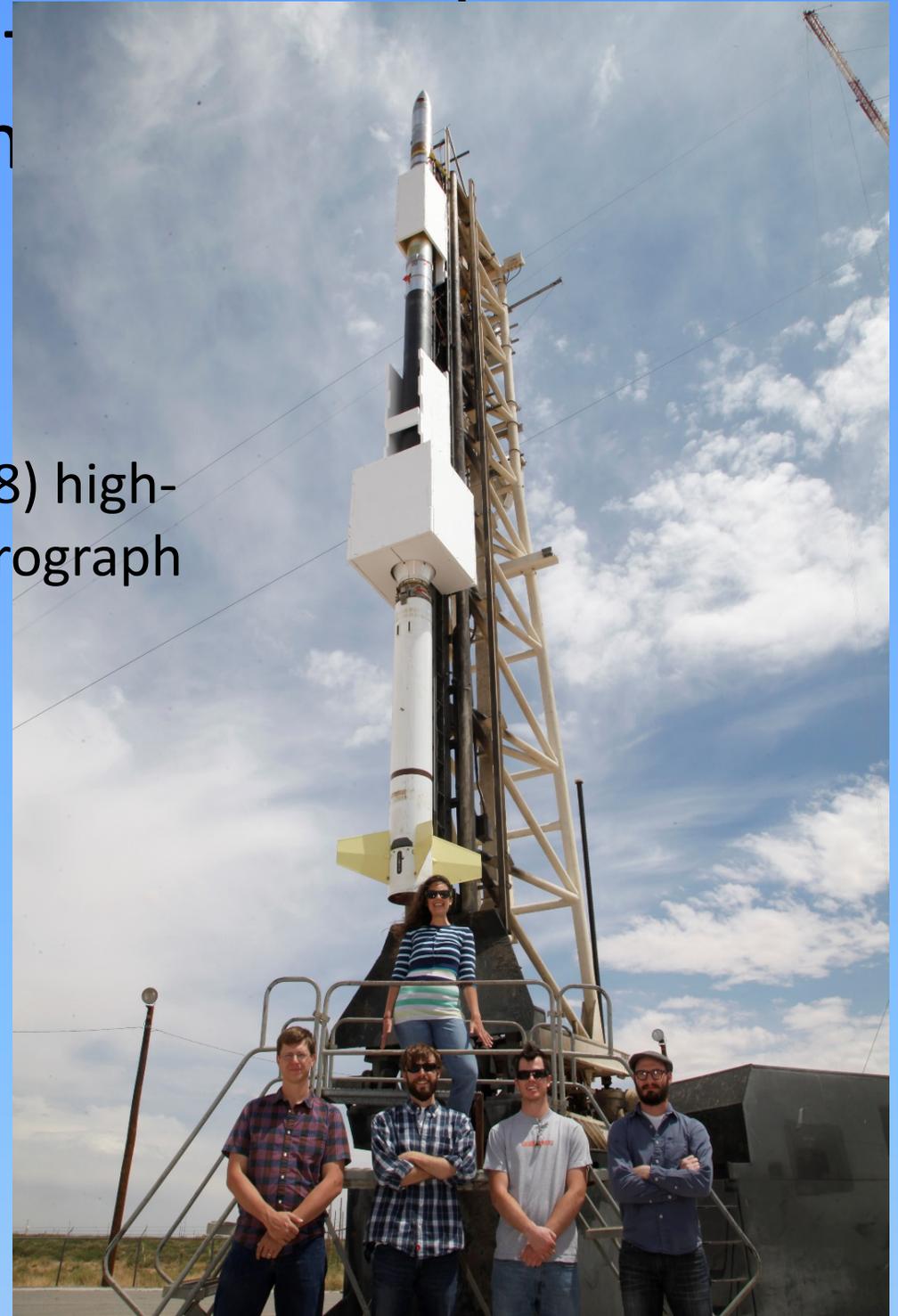
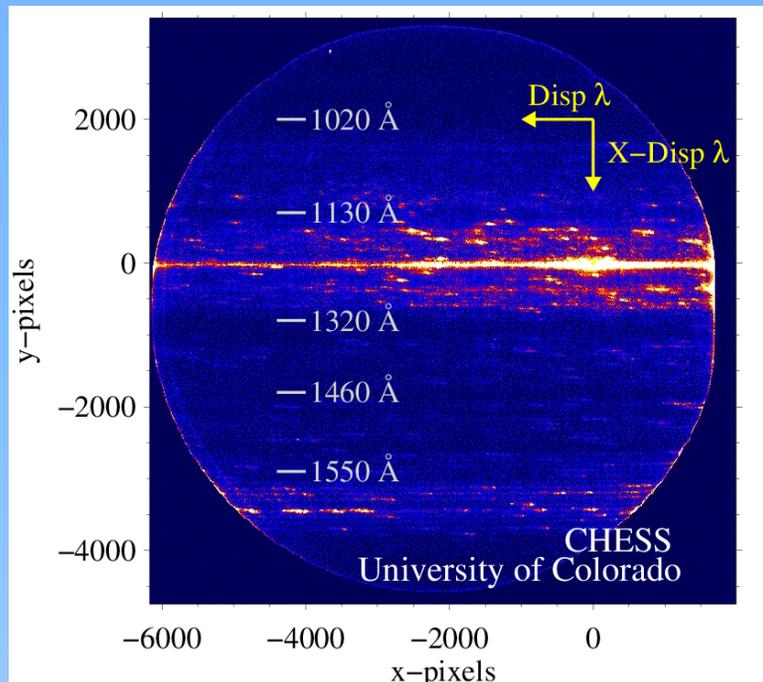


BREAK

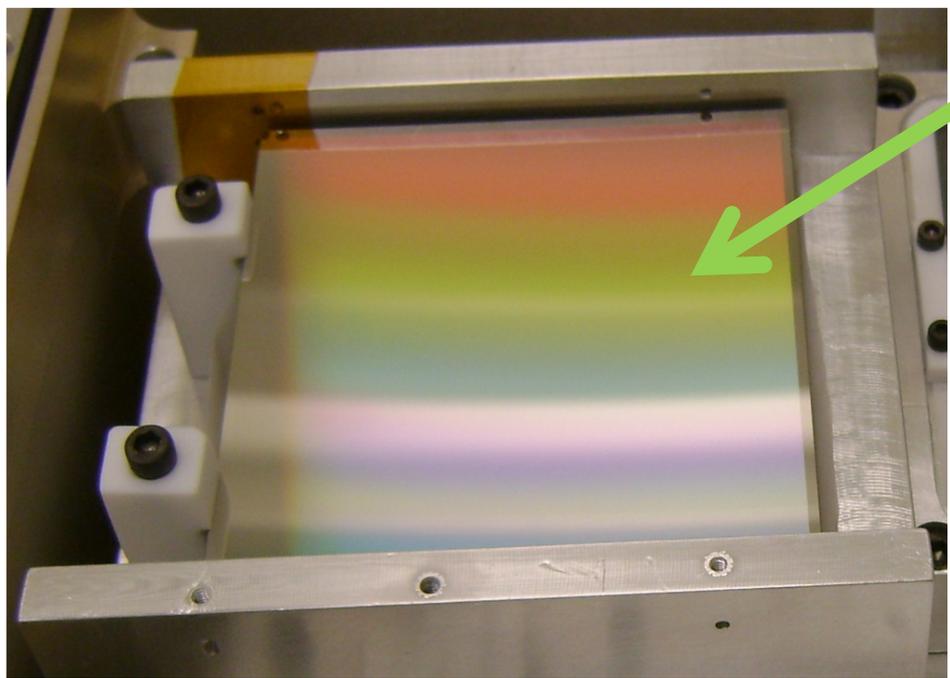
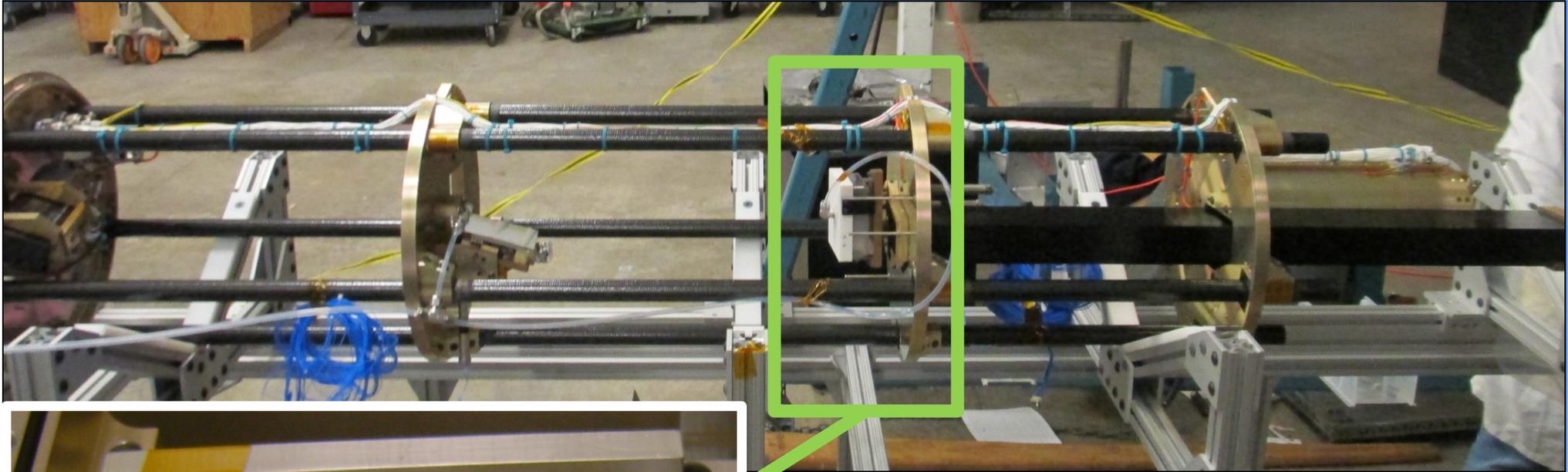
4. CHISL Technology - and Flight

CHISL Technology Development

1) CHES: (see Keri Hoadley's poster today/tomorrow, 9905-138) high-resolution ($R \approx 10^5$) echelle spectrograph



CHES Payload

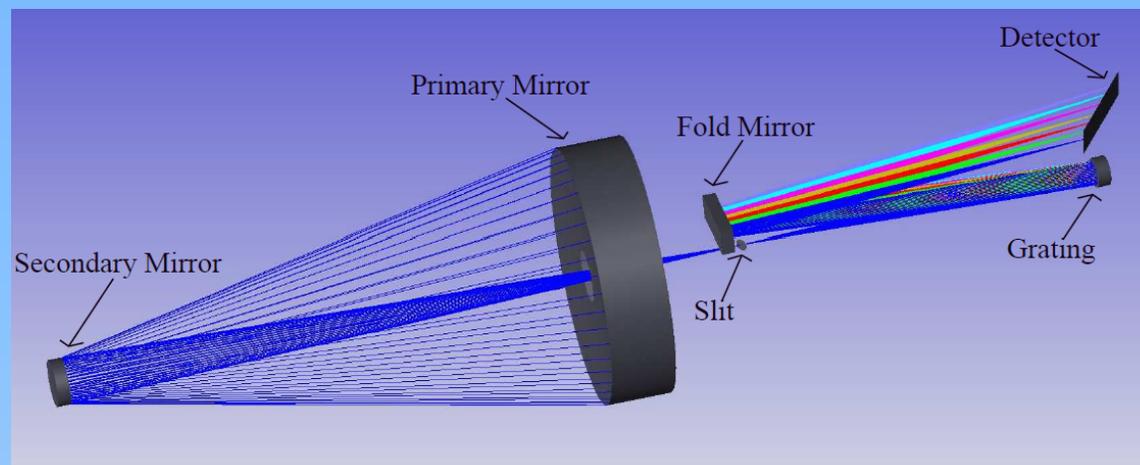


Cross Disperser (HORIBA Jobin-Yvon):
100 x 100 x 30 mm fused silica substrate
Holographically-ruled, 351 grooves/mm

4. CHISL Technology – Current Laboratory and Flight Testing

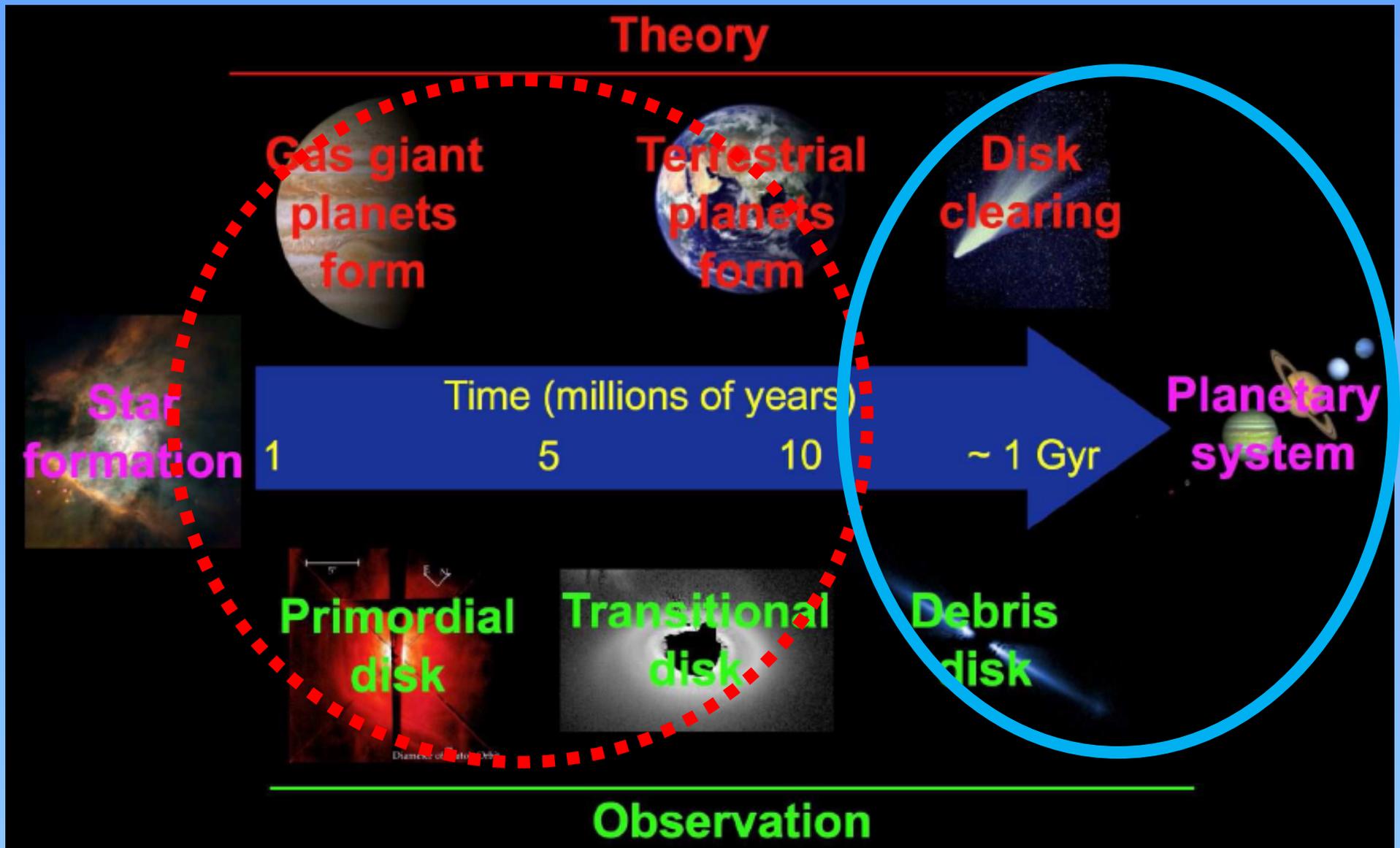
CHISL Technology Development

- 1) CHESS: (see Keri Hoadley's poster today/tomorrow, 9905-138)
- 2) SISTINE: (see Brian Fleming's Talk this afternoon, 9905-9). $R \approx 10,000$, sub-arcsecond imaging spectrograph, 1000 – 1600 Å



Summary – Part 1

- 1) HST-COS observations have enabled statistical studies of **both H₂ and CO** in the warm molecular atmospheres of protoplanetary disks for the first time.
- 2) H₂ fluorescence traces 0.1 – 3 AU (to 10 AU in some transitional disks) while CO fluorescence traces 2 – 10 AU. **H₂ disk inner radii increase with dust dissipation** and declining mass accretion rate.
- 3) CO and H₂ absorption line spectroscopy through inclined disks has revealed **CO/H₂ ratios $\sim 10^{-4}$** , suggesting that little CO chemical processing occurs in the first 2 Myr. **H₂O dissociation at ~ 1 AU** is the most likely explanation for the UV molecular continuum in PPD spectra.



A. Roberge et al. 2009 – Astro2010 White Paper

Outline

1. Circumstellar gas and dust are the building blocks of planetary systems. New molecular disk diagnostics probed using HST-COS
 2. Structure, evolution, and composition of molecular gas at planet-forming radii ($r < 10$ AU) in protoplanetary environments
-
3. High-energy (X-ray through UV) stellar irradiance regulates chemistry and evolution of potentially habitable planets – first panchromatic survey of M and K dwarf host stars (MUSCLES)
 4. Quantifying the high-energy radiation environment, formation of “biosignature” species in Earth-like atmospheres, large UV/X-ray flares on optically inactive stars

The Energetic Radiation Environment in the Habitable Zones Around Low- Mass Exoplanet Host Stars

also starring:

Tom Ayres – CU, Alex Brown – CU, Juan Fontenla - NWRA

Cynthia Froning – Texas, Suzanne Hawley – UW, Lisa Kaltenegger –
Harvard/Cornell, Jim Kasting – Penn State

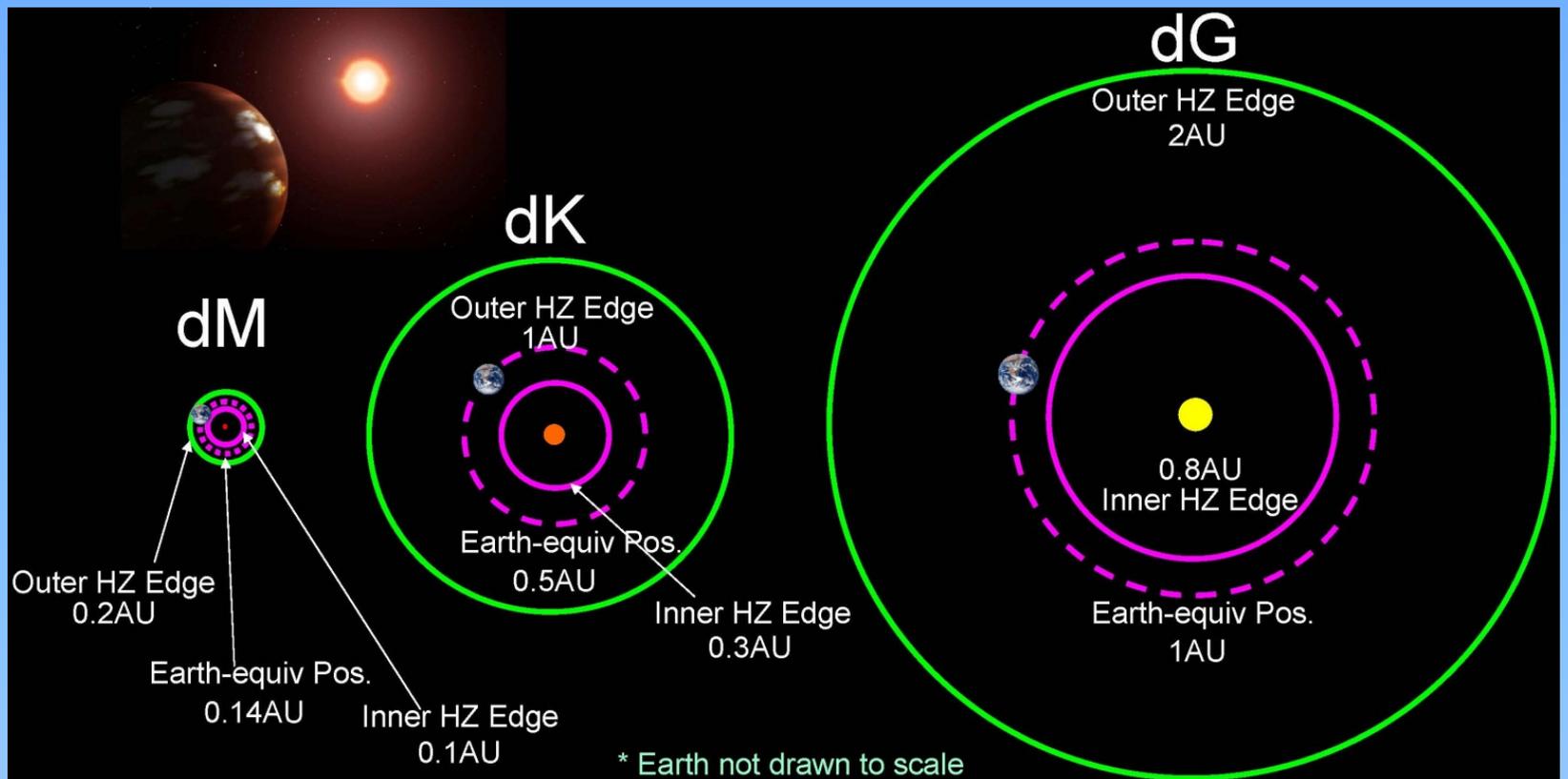
Jeff Linsky- CU, Pablo Mauas – Arg, Yamila Miguel - MPIA

Aki Roberge – NASA/GSFC, Sarah Rugheimer – Harvard/St. Andrews

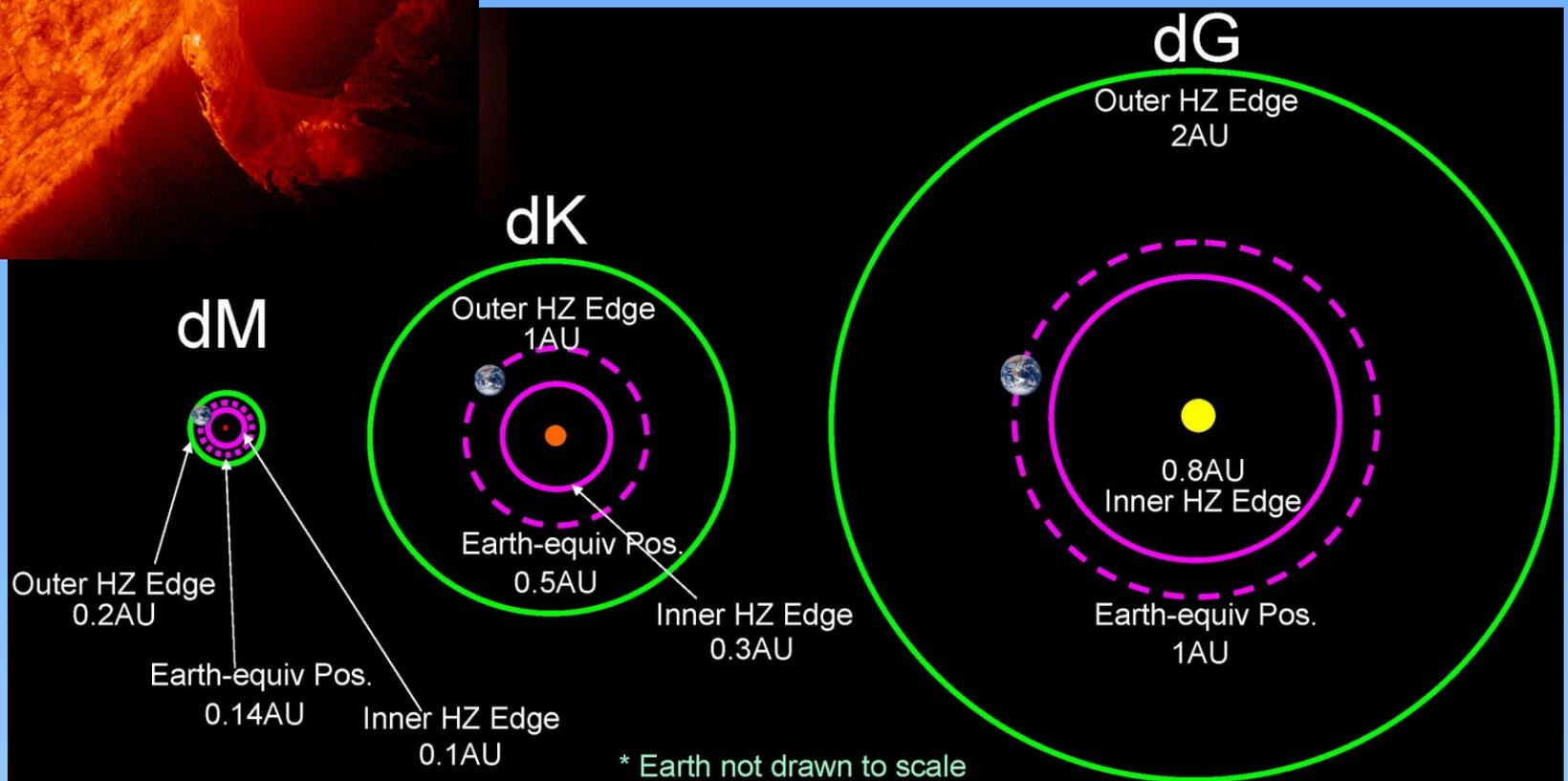
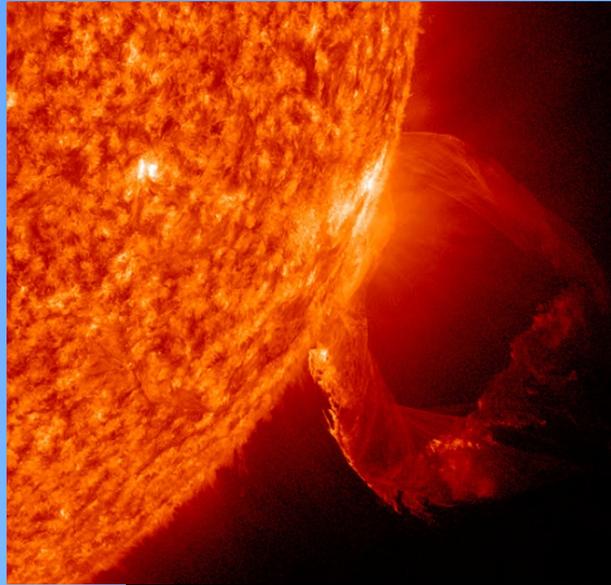
John Stocke – CU, Feng Tian – LASP/Tsinghua, Mariela Vieytes - Arg

Lucianne Walkowicz – Princeton/Adler

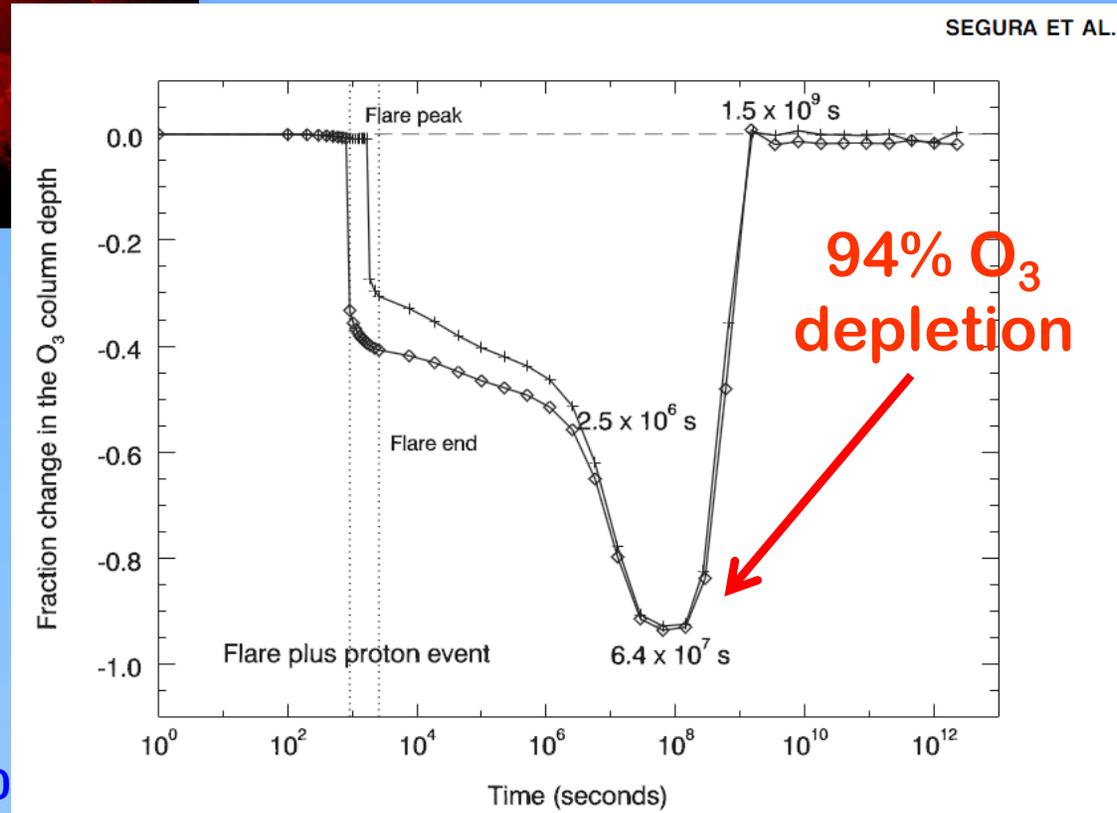
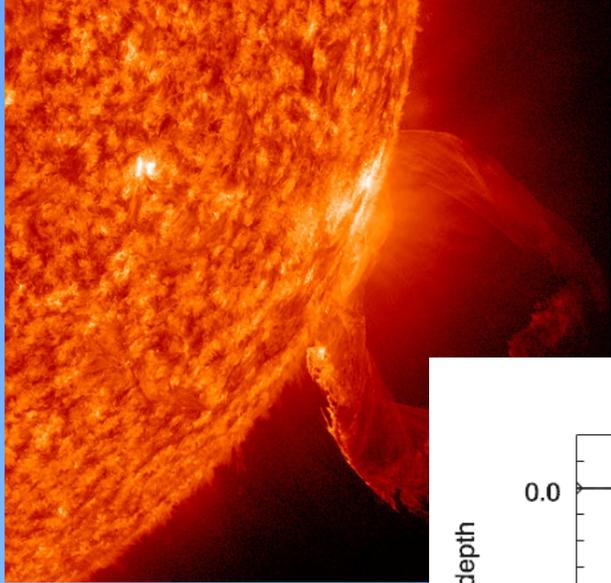
Heating and Chemistry of Planetary Atmospheres



Heating and Chemistry of Planetary Atmospheres



Heating and Chemistry of Planetary Atmospheres

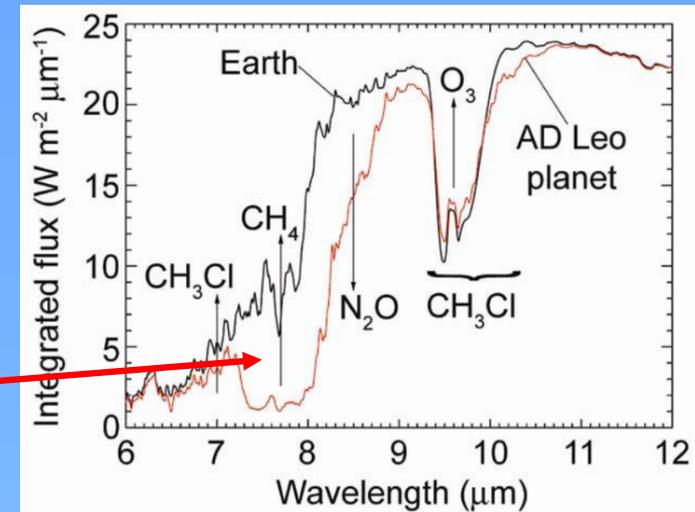


Exoplanet Atmospheres: Exo-Earths

- Habitable planet candidates exist today

Segura+, AsBio, 2005

- The EUV+FUV+NUV radiation fields of their host stars control the atmospheric heating/stability and photochemical structure of their atmospheres – including formation of biomarkers (e.g., O₂, O₃, CO₂, CH₄)



- However, we have few constraints on the

high-energy irradiance from “typical” (optically inactive) M and K dwarf planet hosts, neither observational nor theoretical

- **Modeling and interpretation of biomarkers require realistic inputs**

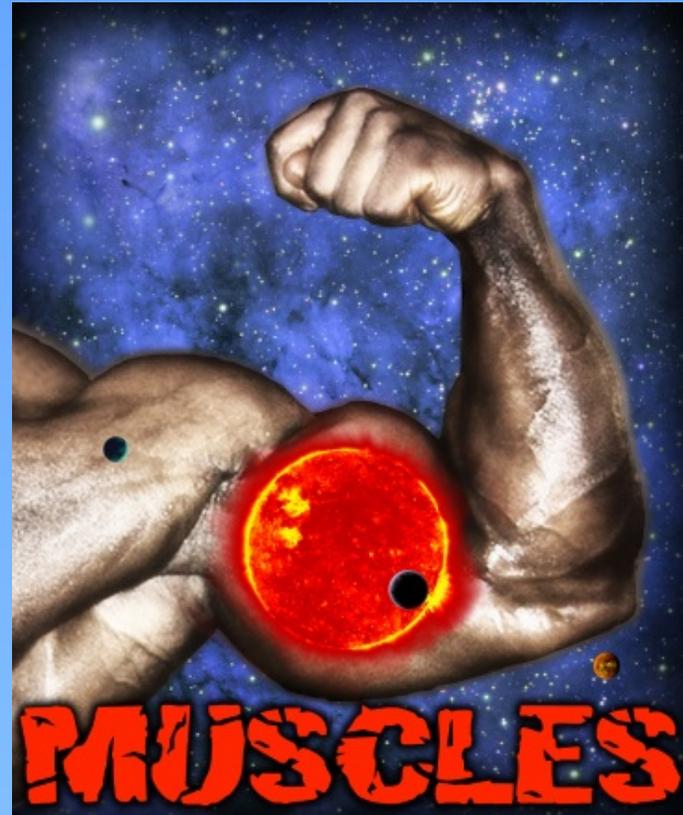


GJ 832 c -

(Wittenmyer et al. 2014)

Observational Program

Measurements of the
Ultraviolet
Spectral
Characteristics of
Low-mass
Exoplanetary
Systems



(CU student Sarah LeVine)



PI – France. CU graduate students Allison Youngblood and Parke Loyd

Observational & Modeling Program

- **Optical & NIR** -

- North: APO, LCOGT, South: El Leoncito, VLT

- **FUV (w/ Ly α) & NUV**

- *Hubble Space Telescope, Cycle 22 Treasury*

- **LUV**

- *Far-Ultraviolet Spectroscopic Explorer + models*

- **EUV**

- Calculation based on new solar/stellar models and observed FUV line emission + *EUVE*

- **X-ray**

- *Chandra, XMM-Newton, Swift*

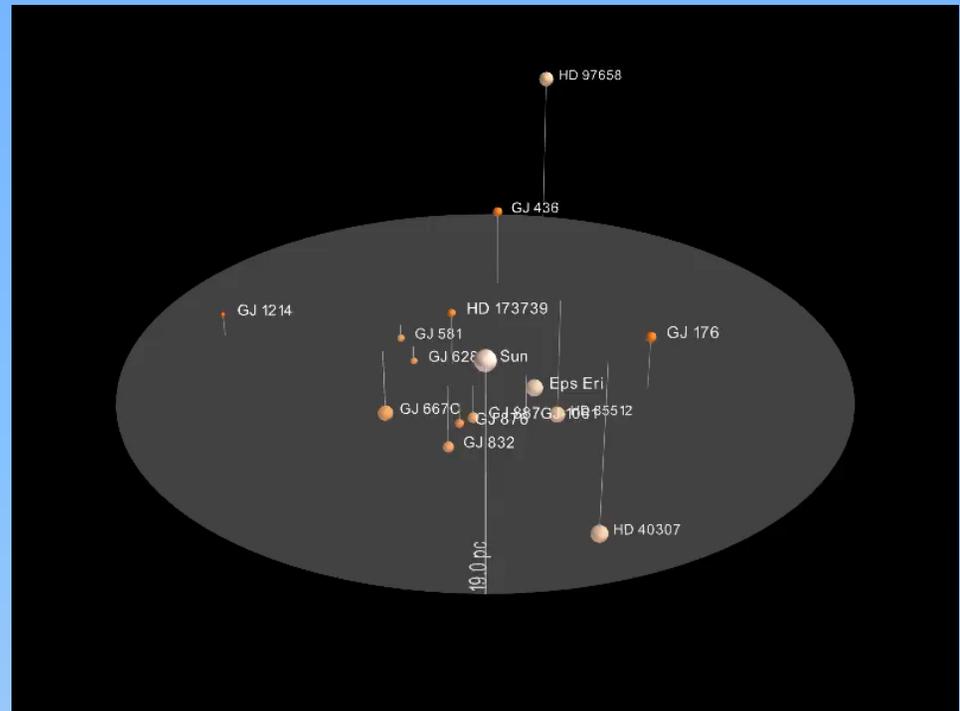
X-ray = 0.5 - 10 nm
EUV = 10 - 90 nm
LUV = 91 - 116 nm
FUV = 117 - 170 nm
NUV = 171 - 310 nm



MUSCLES

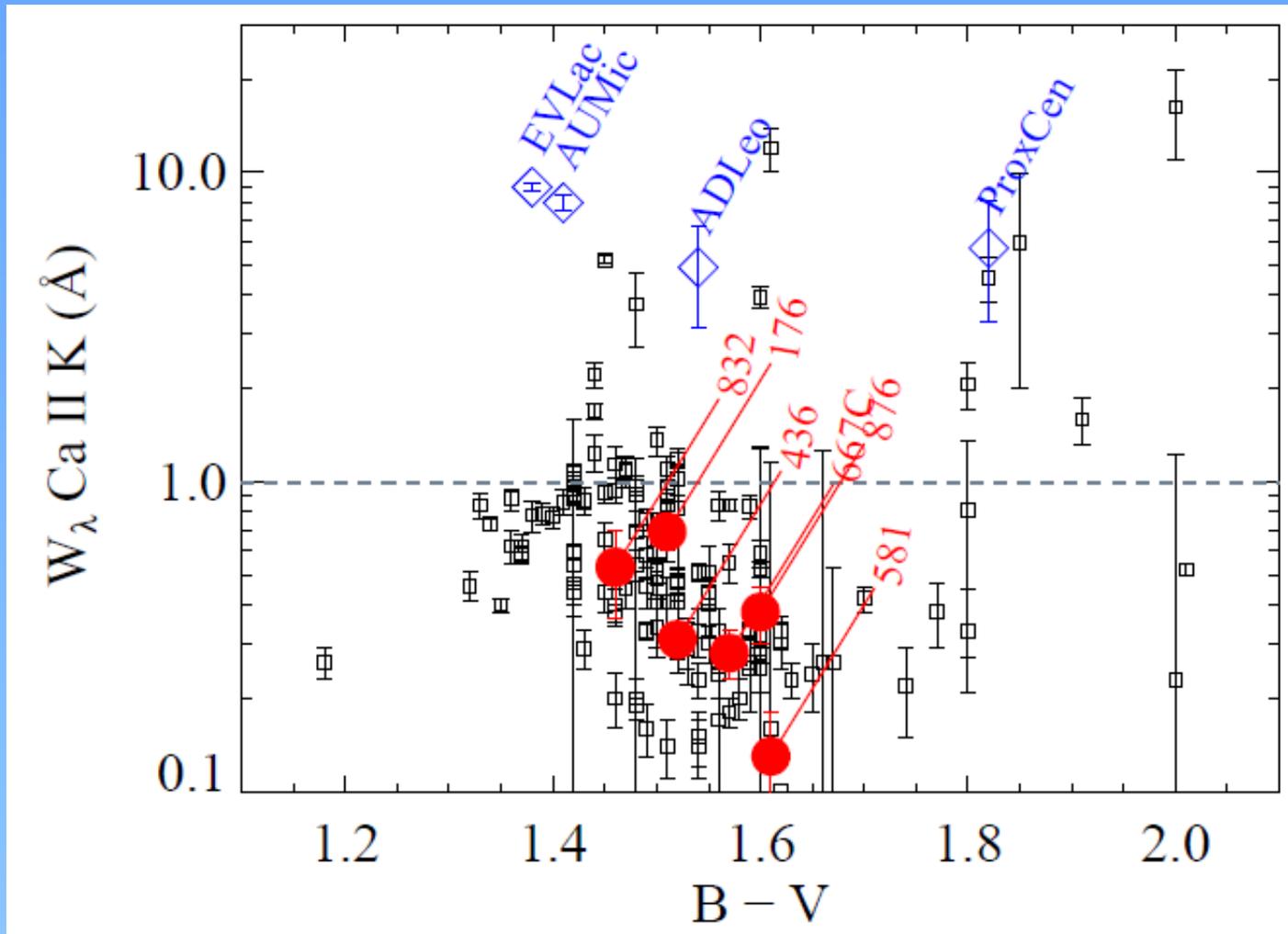
MUSCLES Treasury Survey: 60% of K and M dwarf exoplanet hosts at $d < 15$ pc.

- What is the energetic radiation environment in the habitable zones of low-mass exoplanetary systems?
- Flares and activity on typical ('inactive') K & M dwarfs host stars
- Impact on atmospheric photochemistry and the production of molecular tracers





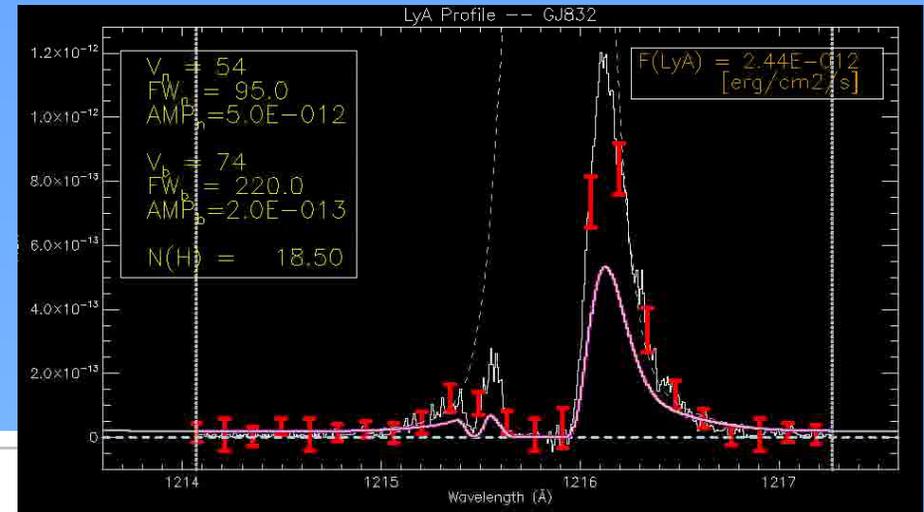
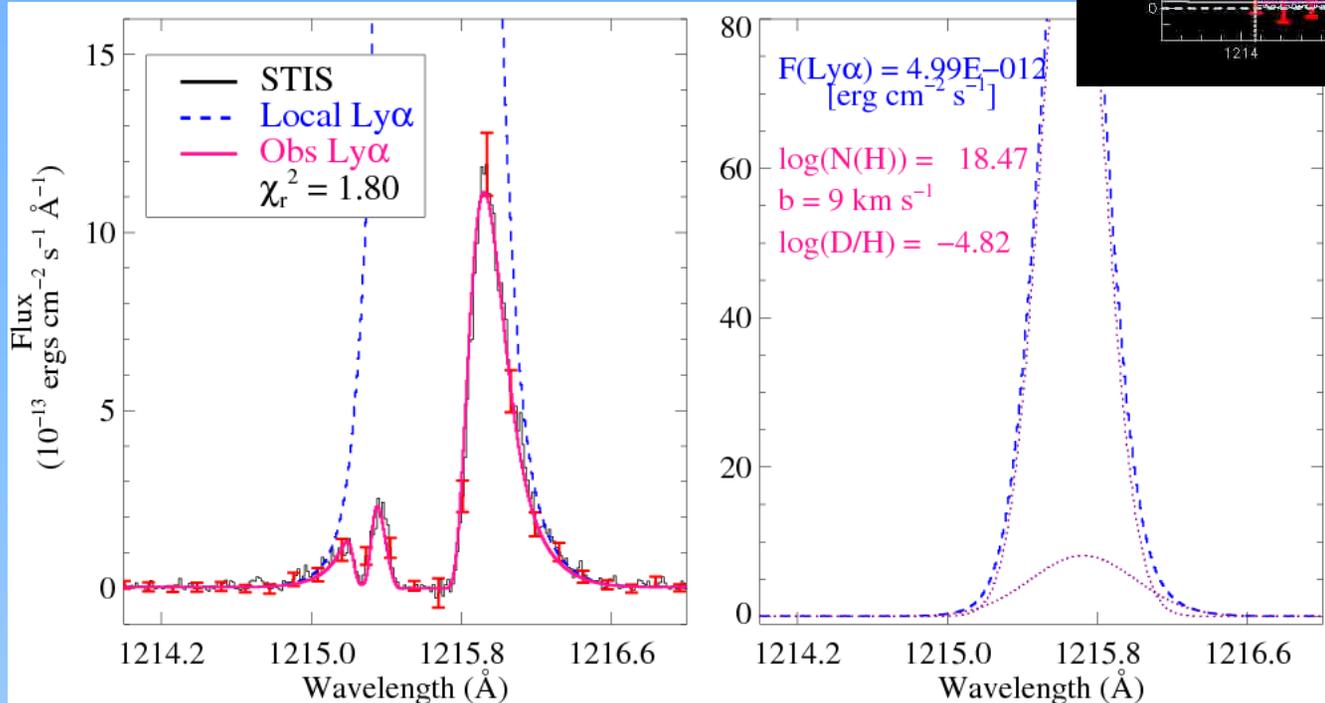
MUSCLES: “typical” M dwarfs



Compiling X-ray → NIR Stellar Irradiances

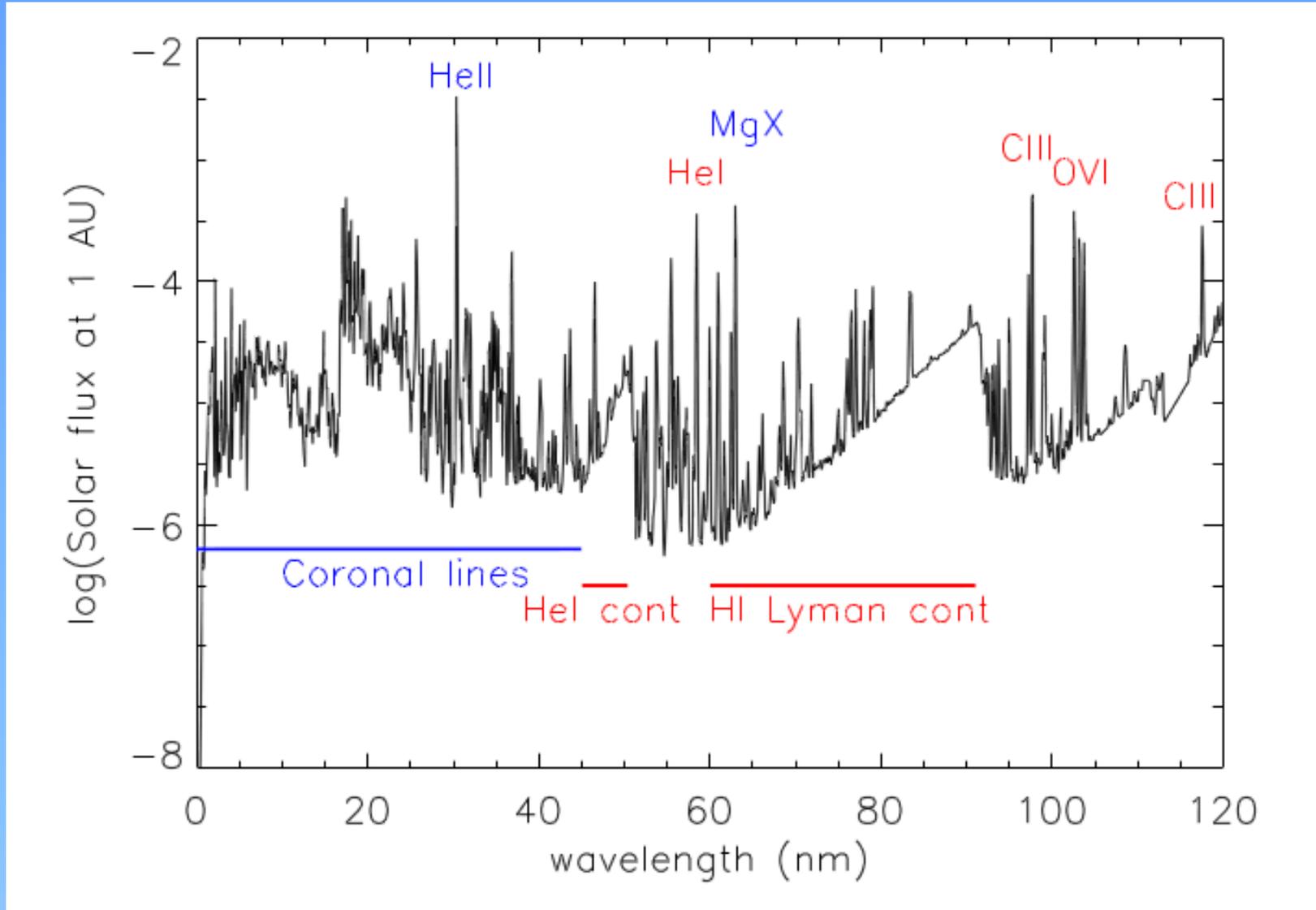
M dwarf Ly α

- Project MUSCLES: Ly α Reconstruction

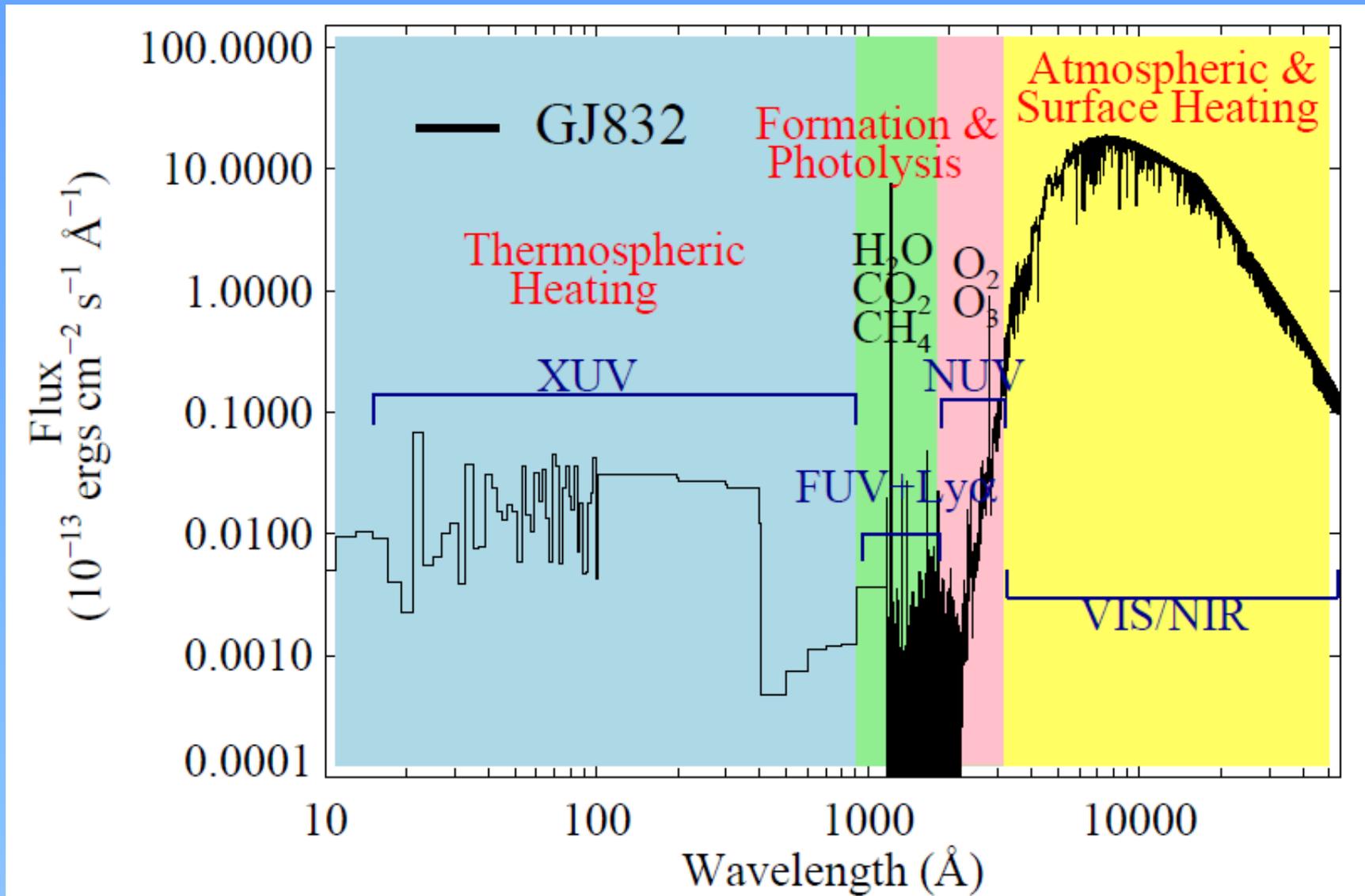


Youngblood et al. 2016
see also
France et al. (ApJ-2013)
Wood et al. (ApJ – 2005)

EUV Estimates: $F(\text{EUV}) / F(\text{Ly}\alpha)$

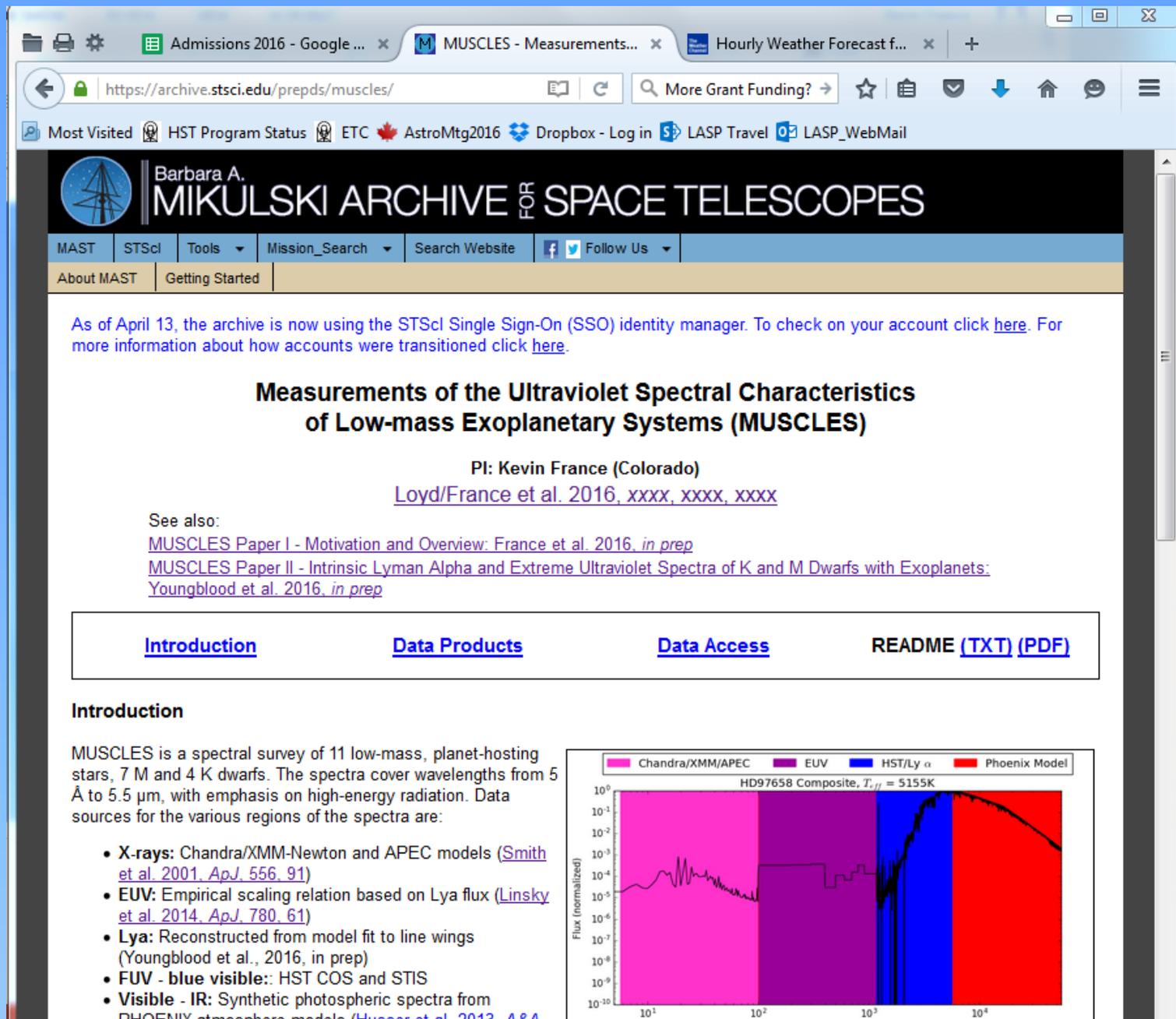


5 Å – 5 μm Spectral Irradiance Database



France et al. (ApJ-2016)
Loyd et al. (ApJ-2016 submitted)
Youngblood et al. (ApJ-2016 astro-ph)

<https://archive.stsci.edu/prepds/muscles/>



The screenshot shows a web browser window displaying the MUSCLES website. The browser's address bar shows the URL <https://archive.stsci.edu/prepds/muscles/>. The website header features the logo of Barbara A. Mikulski Archive of Space Telescopes (MAST) and navigation links for MAST, STScI, Tools, Mission Search, Search Website, and social media. A notice states that as of April 13, the archive is using the STScI Single Sign-On (SSO) identity manager. The main heading is "Measurements of the Ultraviolet Spectral Characteristics of Low-mass Exoplanetary Systems (MUSCLES)", with PI Kevin France (Colorado) and a reference to Loyd/France et al. 2016. Below this, there are links to "See also:" for MUSCLES Paper I and Paper II. A navigation bar contains links for Introduction, Data Products, Data Access, and README (TXT) (PDF). The Introduction section describes the MUSCLES survey of 11 low-mass stars and includes a list of data sources: X-rays (Chandra/XMM-Newton and APEC models), EUV (empirical scaling relation based on Ly α flux), Ly α (reconstructed from model fit to line wings), FUV - blue visible (HST COS and STIS), and Visible - IR (Synthetic photospheric spectra from PHOENIX atmosphere models).

Barbara A. MIKULSKI ARCHIVE OF SPACE TELESCOPES

MAST STScI Tools Mission Search Search Website Follow Us

About MAST Getting Started

As of April 13, the archive is now using the STScI Single Sign-On (SSO) identity manager. To check on your account click [here](#). For more information about how accounts were transitioned click [here](#).

Measurements of the Ultraviolet Spectral Characteristics of Low-mass Exoplanetary Systems (MUSCLES)

PI: Kevin France (Colorado)
[Loyd/France et al. 2016, xxxx, xxxx, xxxx](#)

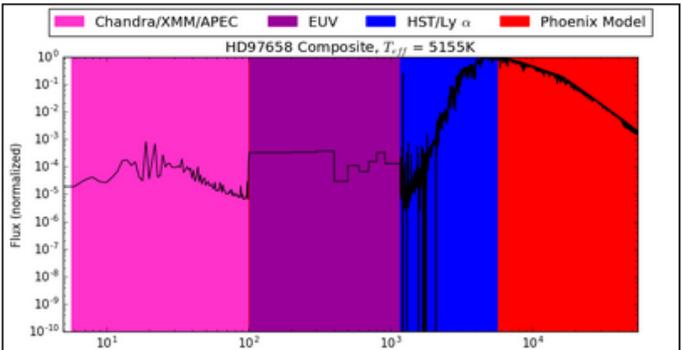
See also:
[MUSCLES Paper I - Motivation and Overview: France et al. 2016, in prep](#)
[MUSCLES Paper II - Intrinsic Lyman Alpha and Extreme Ultraviolet Spectra of K and M Dwarfs with Exoplanets: Youngblood et al. 2016, in prep](#)

[Introduction](#) [Data Products](#) [Data Access](#) [README \(TXT\) \(PDF\)](#)

Introduction

MUSCLES is a spectral survey of 11 low-mass, planet-hosting stars, 7 M and 4 K dwarfs. The spectra cover wavelengths from 5 Å to 5.5 μm , with emphasis on high-energy radiation. Data sources for the various regions of the spectra are:

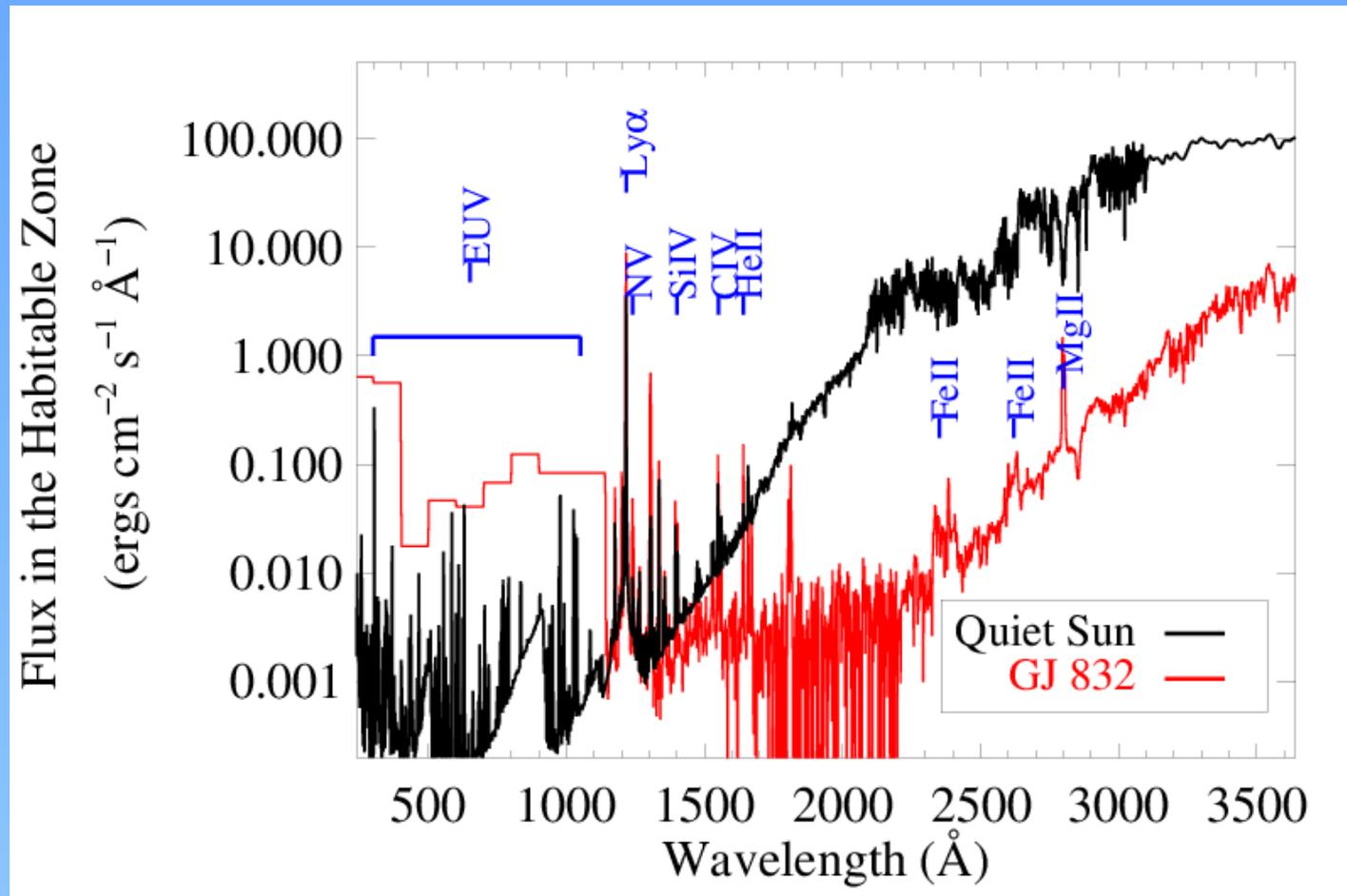
- **X-rays:** Chandra/XMM-Newton and APEC models ([Smith et al. 2001, ApJ, 556, 91](#))
- **EUV:** Empirical scaling relation based on Ly α flux ([Linsky et al. 2014, ApJ, 780, 61](#))
- **Ly α :** Reconstructed from model fit to line wings (Youngblood et al., 2016, in prep)
- **FUV - blue visible:** HST COS and STIS
- **Visible - IR:** Synthetic photospheric spectra from PHOENIX atmosphere models ([Husser et al. 2013, A&A](#)



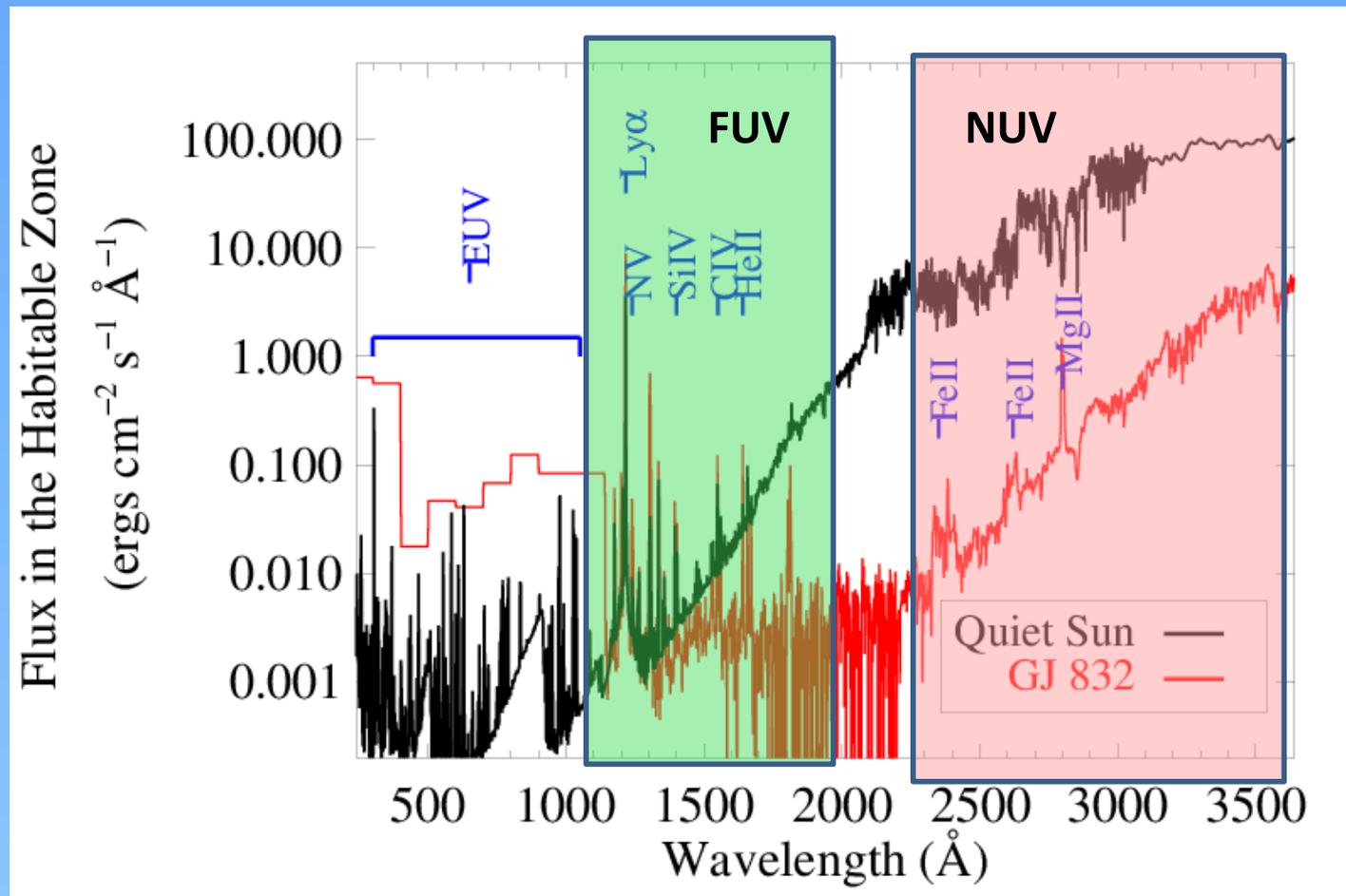
The figure is a spectral plot for HD97658 Composite, with $T_{\text{eff}} = 5155\text{K}$. The y-axis is Flux (normalized) on a logarithmic scale from 10^{-10} to 10^0 . The x-axis is wavelength in Angstroms on a logarithmic scale from 10^1 to 10^4 . The plot is divided into four color-coded regions: Chandra/XMM/APEC (pink, 10^1 to 10^2 Å), EUV (purple, 10^2 to 10^3 Å), HST/Ly α (blue, 10^3 to 10^4 Å), and Phoenix Model (red, 10^4 to 10^5 Å). The plot shows a black line representing the observed spectrum with various absorption features, and a red line representing the Phoenix Model fit.

M dwarf FUV and NUV vs. Solar

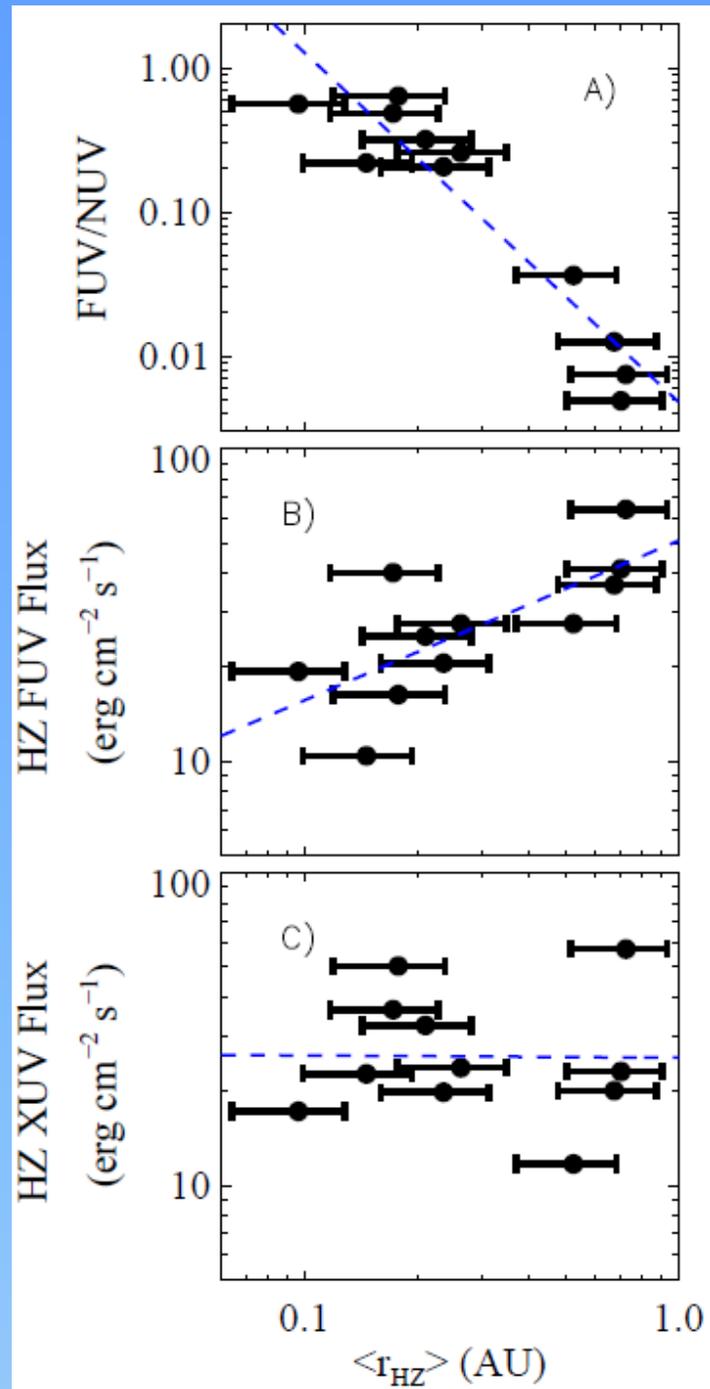
- Project MUSCLES: GJ 832, UV Spectrum



FUV/NUV ratio



FUV/NUV – Atmospheric Oxygen Chemistry
Segura+, AsBio, 2010
Tian+, E&PSL, 2014



M -- FUV/NUV $\sim 0.2 - 1$

Sun -- FUV/NUV $\sim 10^{-3}$

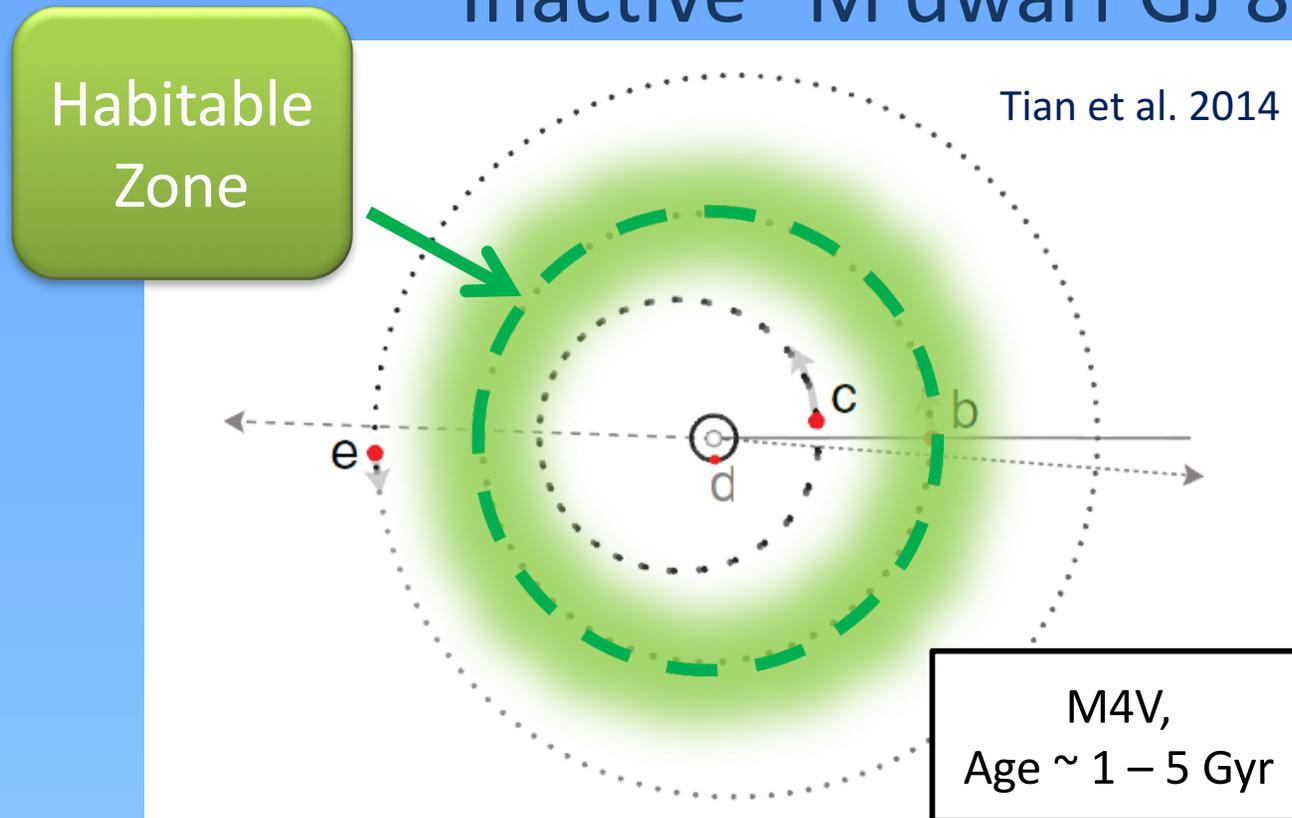
• Potential abiotic production of O_2 and O_3 leading to “biosignatures imposters”

**F(FUV), F(XUV) in HZ
 $\approx 10 - 70 \text{ erg cm}^{-2} \text{ s}^{-1}$**

False Positive Biosignatures around M dwarfs
 Segura+, AsBio, 2005; Hu et al. 2012
 Tian+, E&PSL, 2014; Gao et al. 2015
 Domagal-Goldman+ 2014, Harman+ 2015

Potential Biomarkers on Exo-Earths

- Project MUSCLES: Initial Modeling Results, “Inactive” M dwarf GJ 876

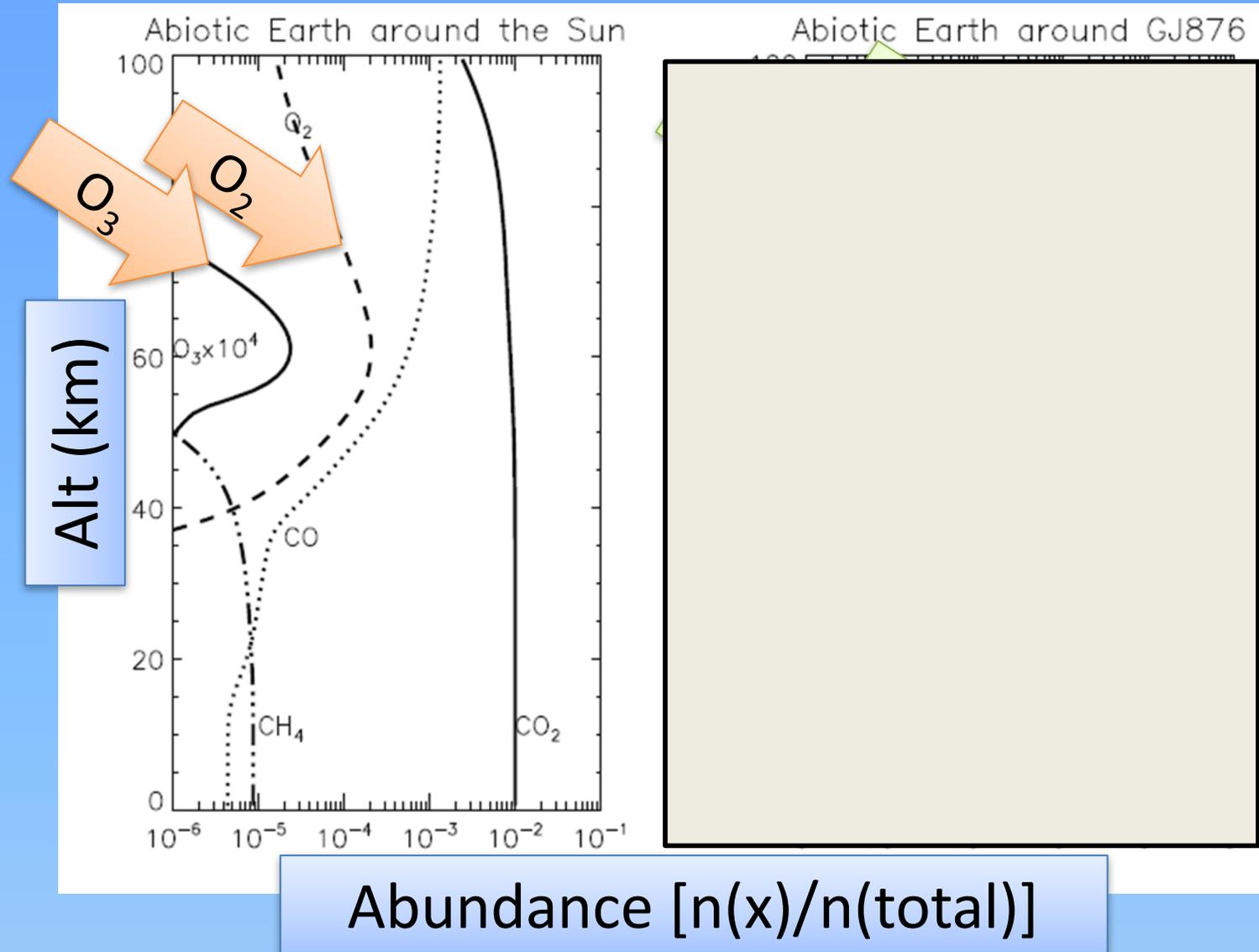


Rivera et al. 2010

Tian et al. 2014

Harman et al. 2015

Potential Biomarkers on Exo-Earths

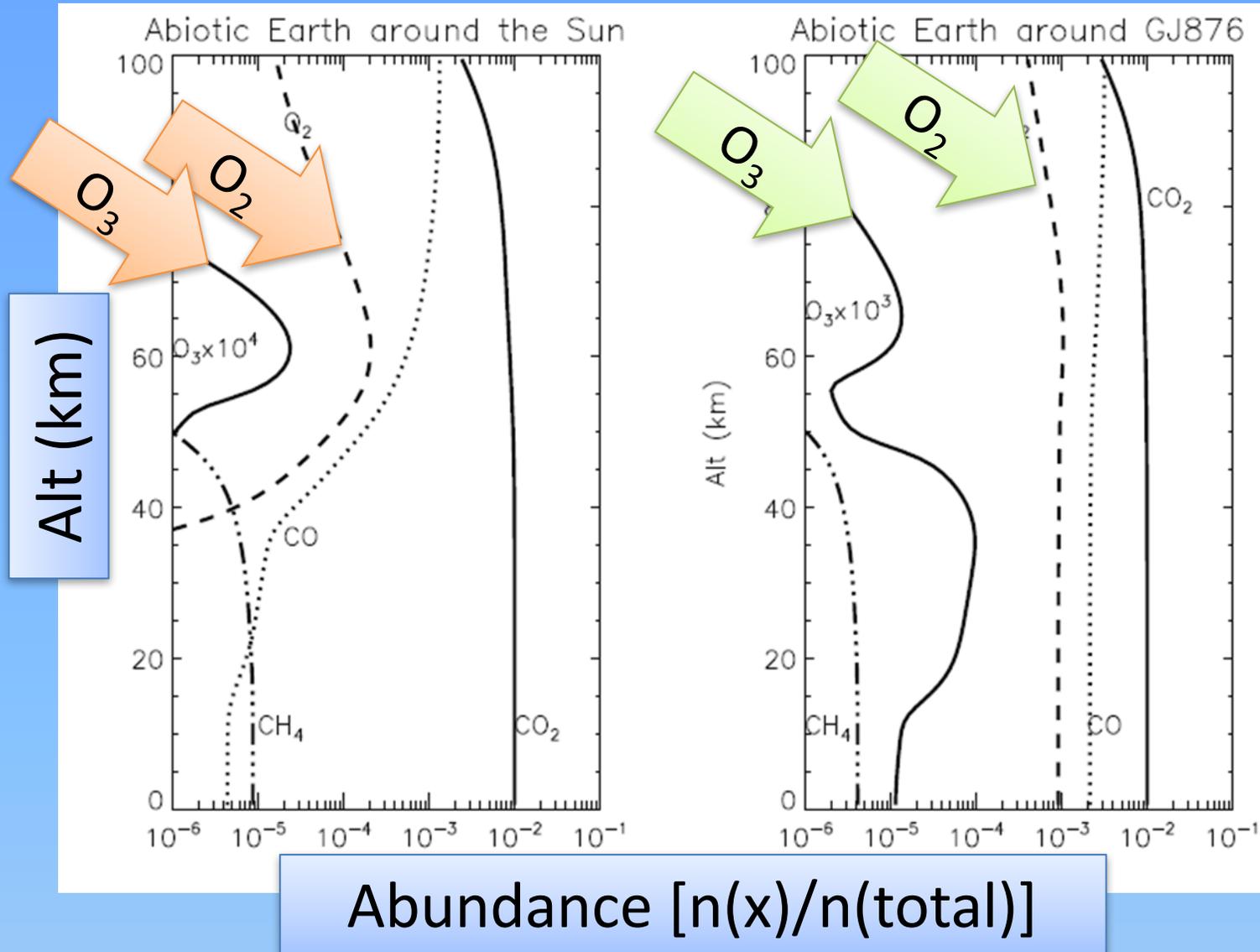


Tian, France et al. – 2014
Domagal-Goldman et al. 2014

(Kasting & Catling 2003;
Segura et al. 2007)

Potential Biomarkers on Exo-Earths

Detectable Levels of O_2 and O_3
without an active biosphere



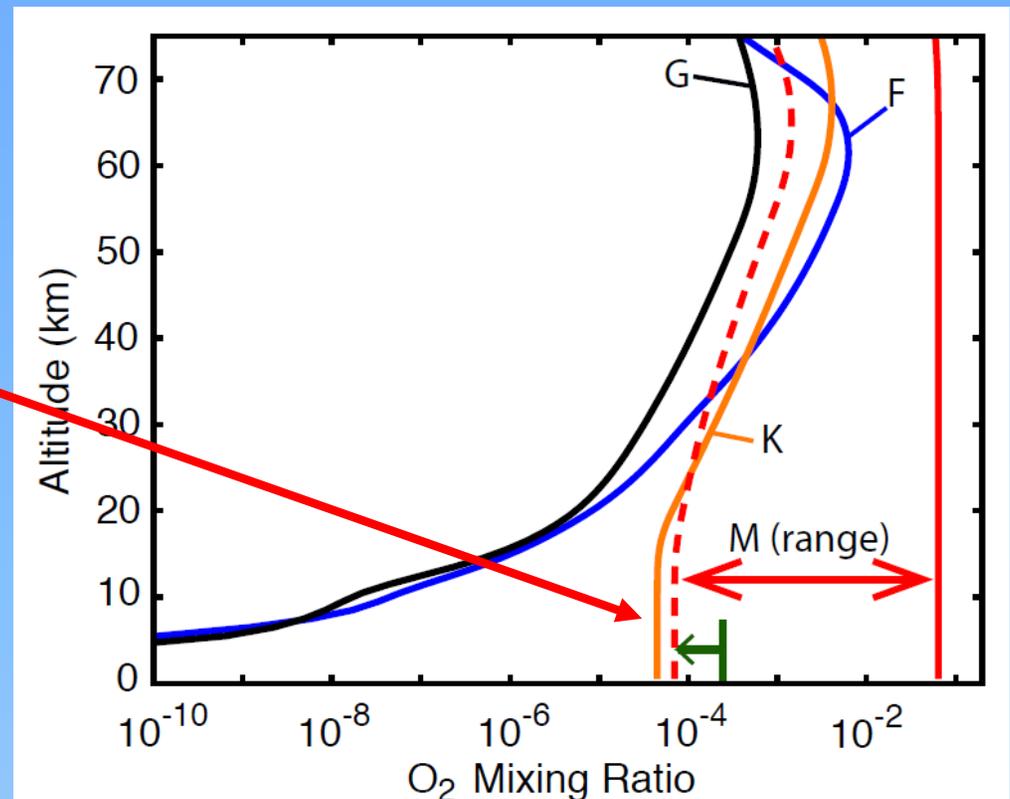
Tian, France et al. – 2014
Domagal-Goldman et al. 2014

(Kasting & Catling 2003;
Segura et al. 2007)

Atmospheric Impacts and Potential Biomarkers

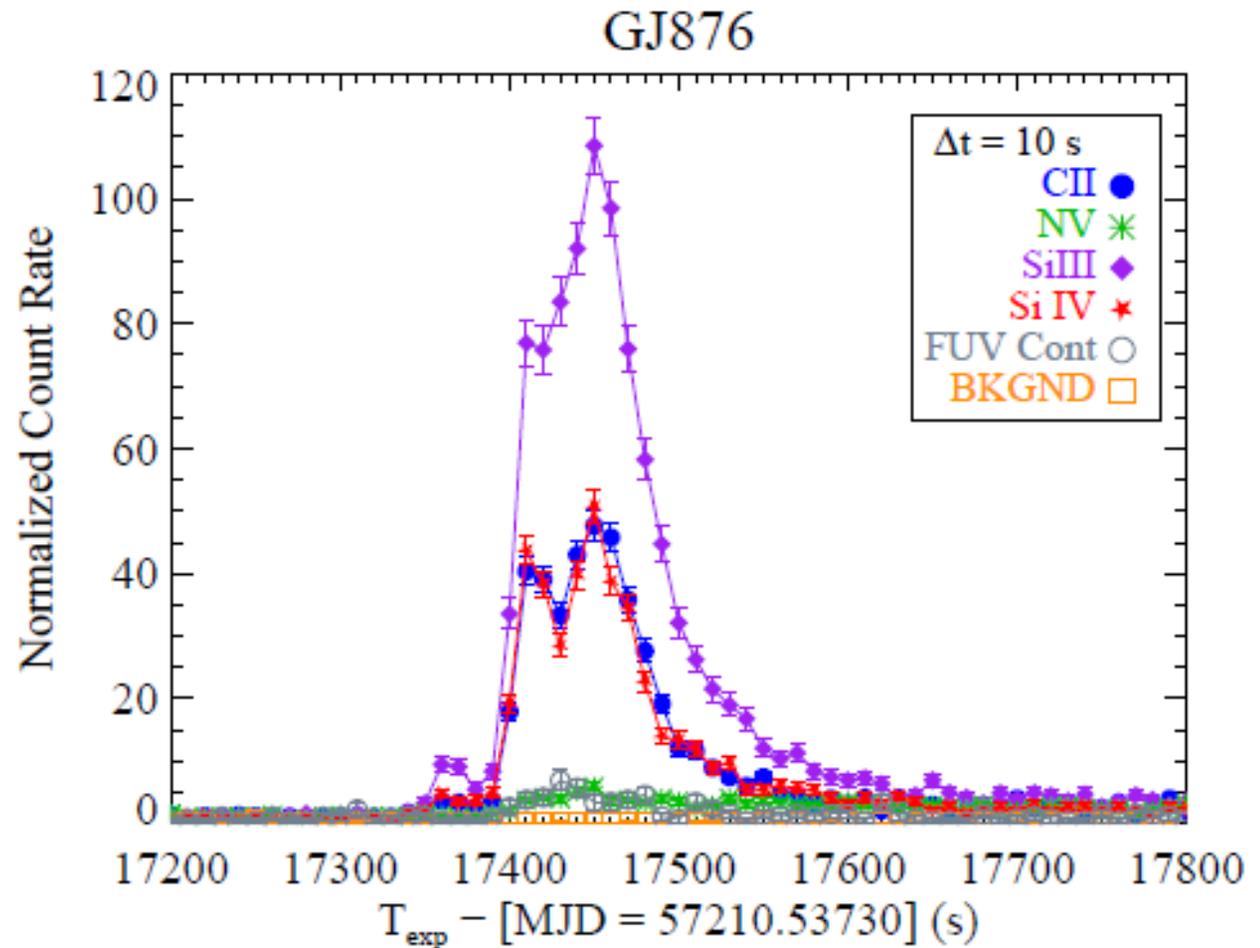
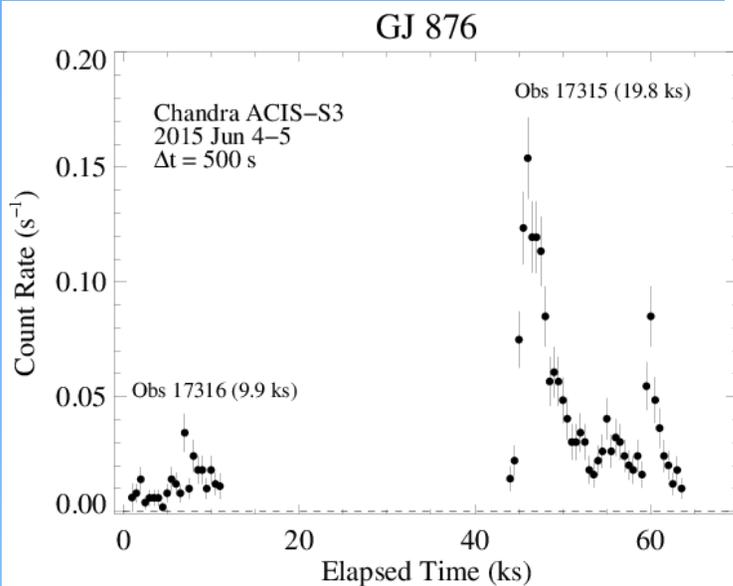
- Older work: Segura et al. 2005, 2010; Hu et al. 2012
- Domagal-Goldman et al. (2014); possible abiotic O_3
- Rugheimer et al. (2015); FUV/ NUV irradiances from active/inactive stars vs. stellar models and Earth-like planet spectra
- Harman et al. (2015); prediction of abiotic O_2 and O_3

More to come!

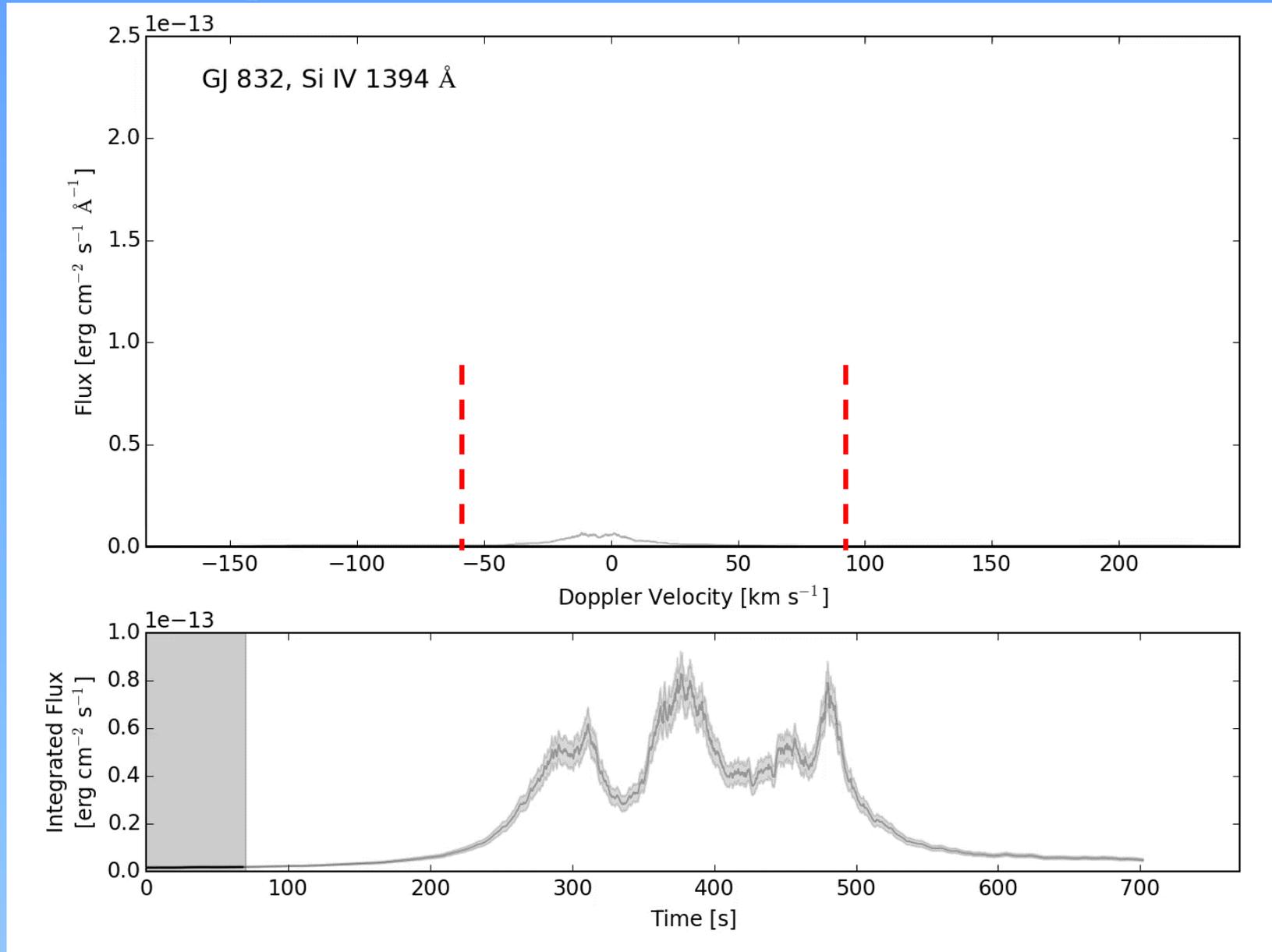


UV variability in “inactive” M dwarf exoplanet host stars

- Optically Inactive dM4/5 GJ 876.

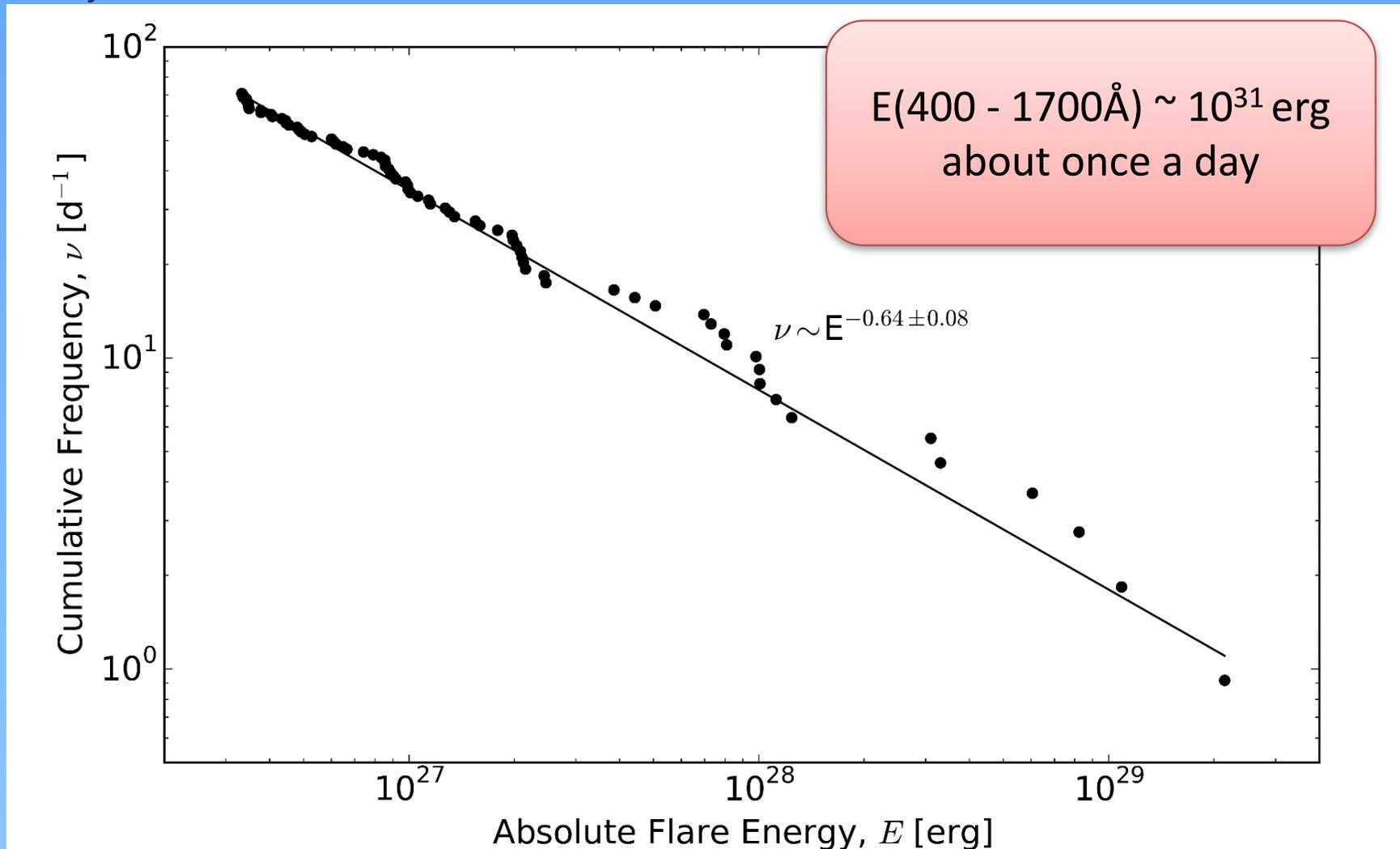


Velocity Profiles in M dwarf Flares

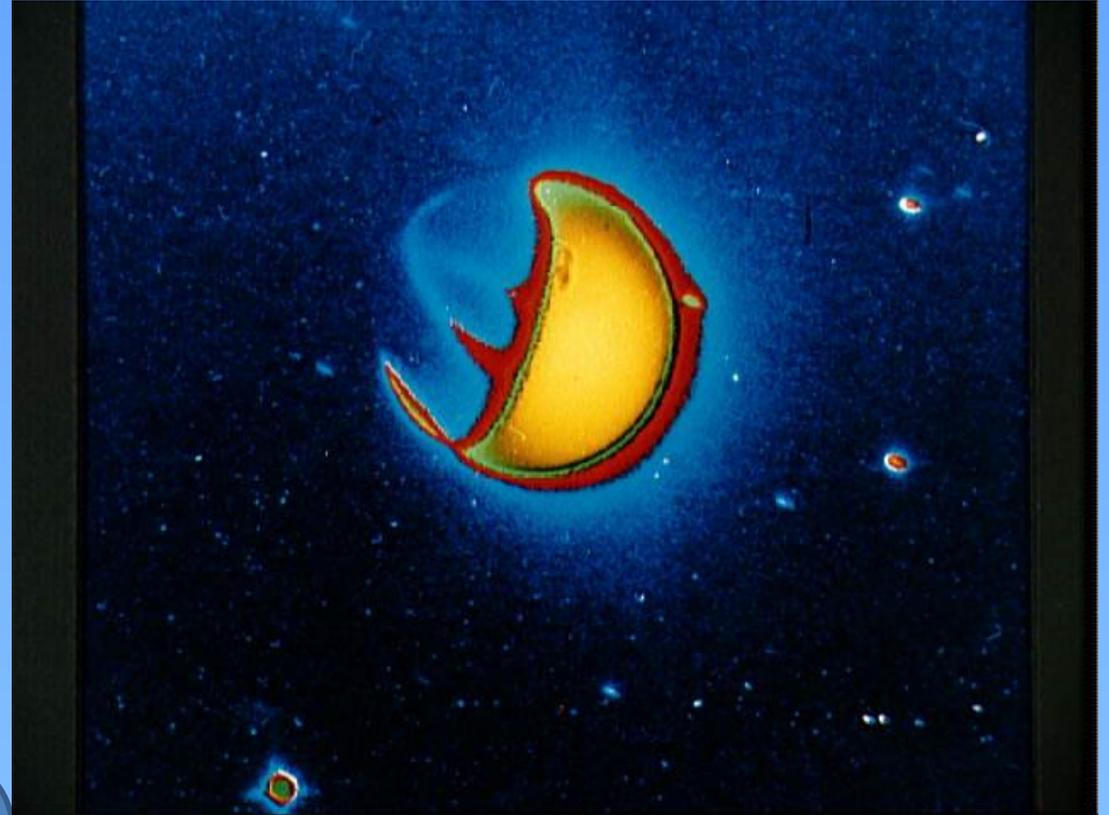


UV flare energy/duration distribution

- SiIV ($T_{form} \approx 40,000 - 70,000$ K) Flare distribution



Earth-mass Planets around M and K dwarfs: The Production of (and eventual detection of) “Biomarker” Gases

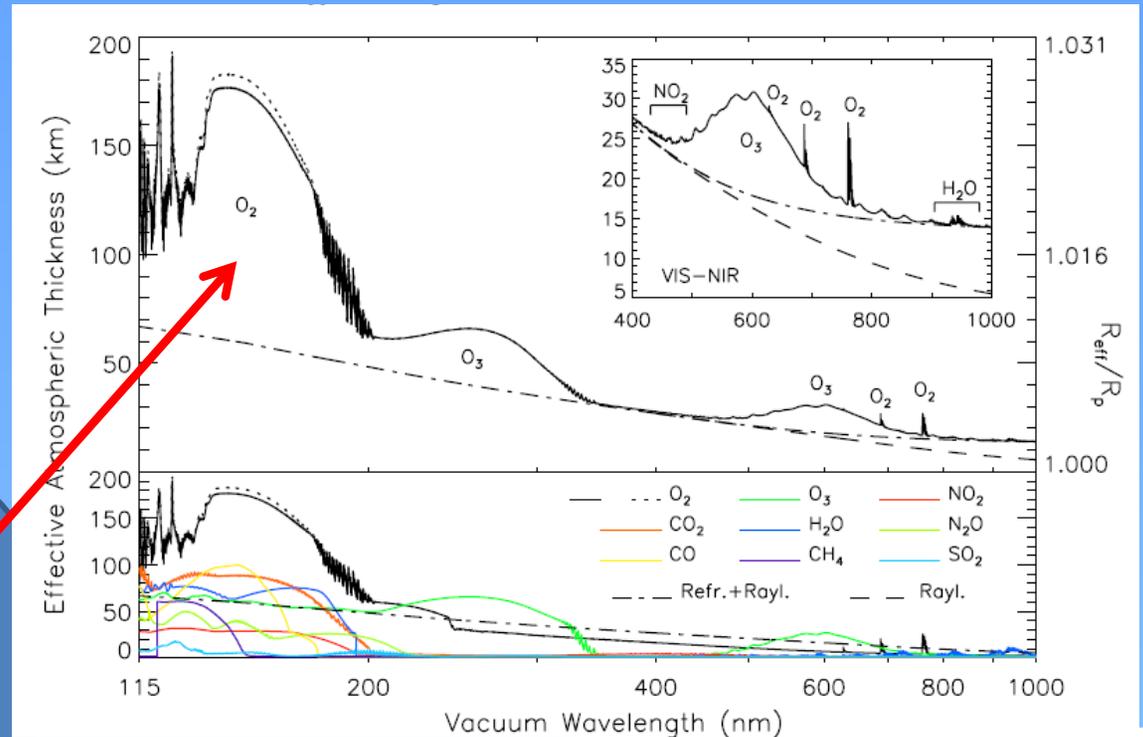
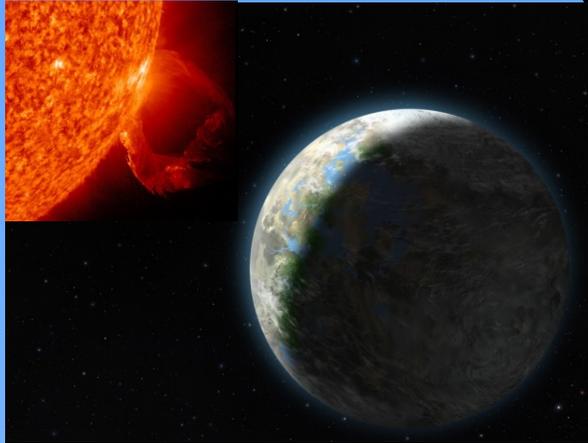


- Exo-Earth transits: they have extended atomic atmospheres too...

Kevin France

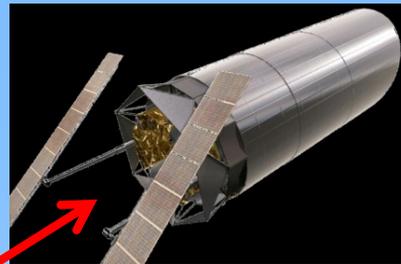
University of Colorado at Boulder

Earth-mass Planets around M and K dwarfs: The Production of (and eventual detection of) “Biomarker” Gases



BÉTRÉMIEUX & KALTENEGGER 2013

- (R_{planet}/R_*) for O_2 , O_3 , CO , CO_2 , H_2 , and H all peak in the UV, 100 - 400 nm.
- O_3 peak at 250 nm, O_2 peak at 160nm: habitable planets around F and A stars. (start discovery now)
- NEED: 8+ m primary, facility-class UV spectrograph, large photon-counting UV detectors



Kevin France

University of Colorado at Boulder

Summary

Summary – Part 2

- 1) **MUSCLES**: First panchromatic survey of the energetic radiation environment around M dwarf exoplanet host stars. High-level data products available on MAST for modeling community.
- 2) FUV/NUV $\sim 0.2 - 1$ for M dwarfs, important for atmospheric chemistry and the production of possible **false-positive “biomarkers”**
- 3) **FUV and X-ray flares** (50 – 10000% increases on $10^2 - 10^3$ second timescales) are present on \geq half of **optically inactive** M dwarf exoplanet host stars observed to date. Impacts on atmospheres is work in progress.

Thank you



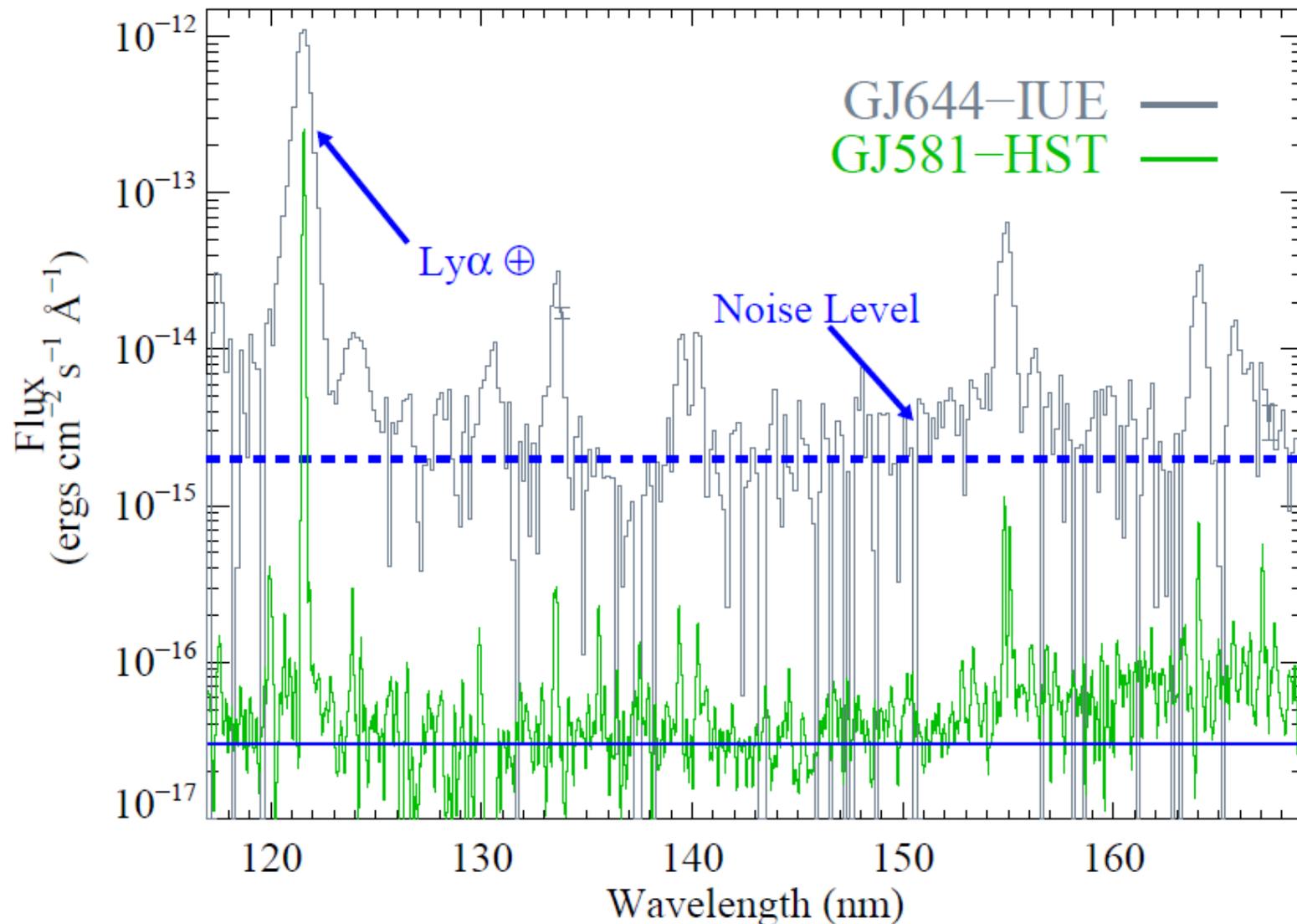


MUSCLES

Table 1: MUSCLES Treasury Survey – Target List

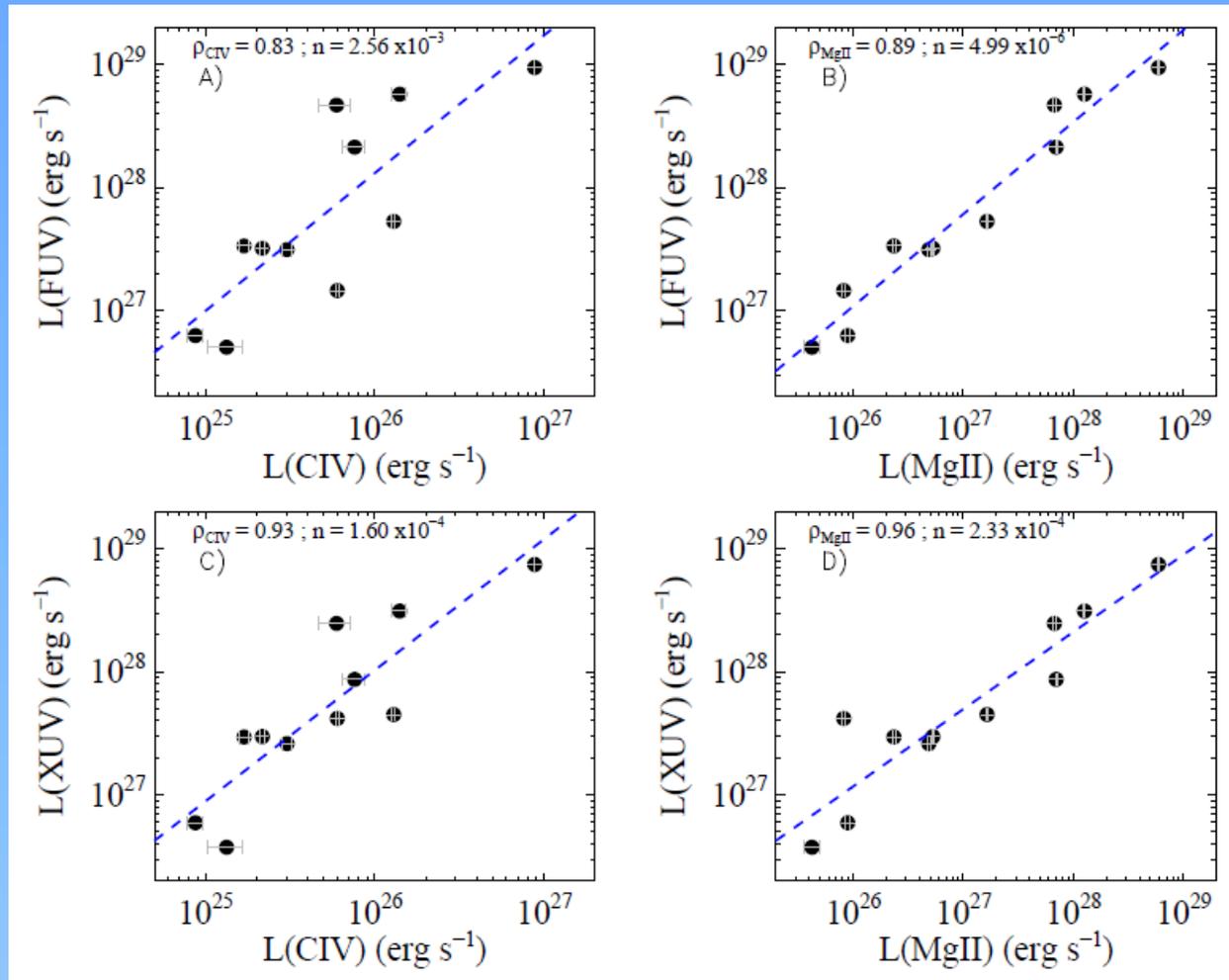
Star	Distance (pc)	Type	Exoplanet Mass $M \sin i$ (M_{Jup})	Semi-major Axis (AU)	<i>HST</i> T_{exp} (orbits)	X-ray Mode	X-ray T_{exp} (ks)
GJ 1214	13.0	M6	0.020	0.0143	15	<i>CXO-GO15</i>	[30]
GJ 876	4.7	M4	1.935, 0.61, 0.018 , 0.039	0.208, 0.130, 0.0208, 0.0208	10	<i>Chandra</i>	20 + 10
GJ 581	6.3	M3	0.050, 0.017 , 0.019 , 0.006	0.041, 0.073, 0.218, 0.029	11	<i>CXO-GO15</i>	[50]
GJ 436	10.3	M2.5	0.073	0.0287	13	<i>Chandra</i>	20 + 10
GJ 176	9.4	M2.5	0.026	0.066	14	<i>Chandra</i>	20 + 10
GJ 667C	6.9	M1.5	0.018 , 0.014	0.049, 0.123	11	<i>Chandra</i>	20 + 10
GJ 832	4.9	M1	0.64	3.4	10	<i>XMM</i>	10
HD 85512	11.2	K6	0.011	0.26	8	<i>CXO-GO</i>	[40]
HD 40307	12.9	K2.5	0.013 , 0.021 , 0.030, 0.011 , 0.016 , 0.022	0.047, 0.080, 0.132, 0.189, 0.247, 0.600	8	<i>CXO-GO</i>	[50]
ϵ Eri	3.2	K2	1.1 – 1.55	3.4	8	<i>XMM</i>	10
HD 97658	21.1	K1	0.020	0.080	9	<i>CXO-GO</i>	[50]
GJ 1061	3.7	M5	2		
GJ 628	4.3	M4	2		
HD 173739	3.6	M3	2		
GJ 887	3.3	M2	2		

M dwarf radiation fields: IUE is insufficient



No MUSCLES? No problem.

- Correlations between broadband FUV and XUV fluxes and observed line fluxes provide first order irradiance estimates in the absence of complete observations



EUVE M dwarfs: $F(\text{EUV}) / F(\text{Ly}\alpha)$

M-dwarf EUV calculations:

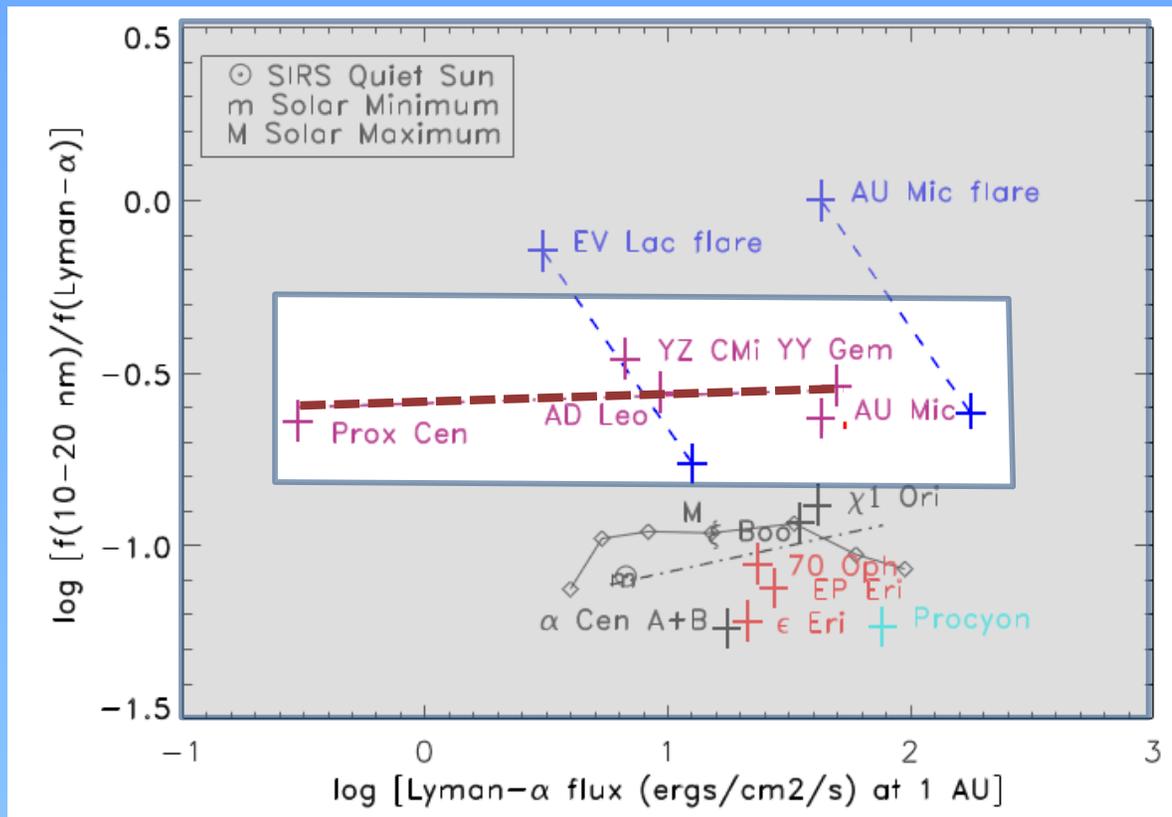
Based on *EUVE* data, M dwarf $F(\text{EUV})/F(\text{Ly}\alpha)$ ratios agree with solar model, modulo an empirically constrained offset, e.g.,

$$\log (F(\text{EUV})/F(\text{Ly}\alpha))_M = \log (F(\text{EUV})/F(\text{Ly}\alpha))_{\odot} + \Delta F$$

$$\Delta F(10 - 20 \text{ nm}) = +0.37 \text{ [16\%]}$$

$$\Delta F(20 - 30 \text{ nm}) = -0.01 \text{ [24\%]}$$

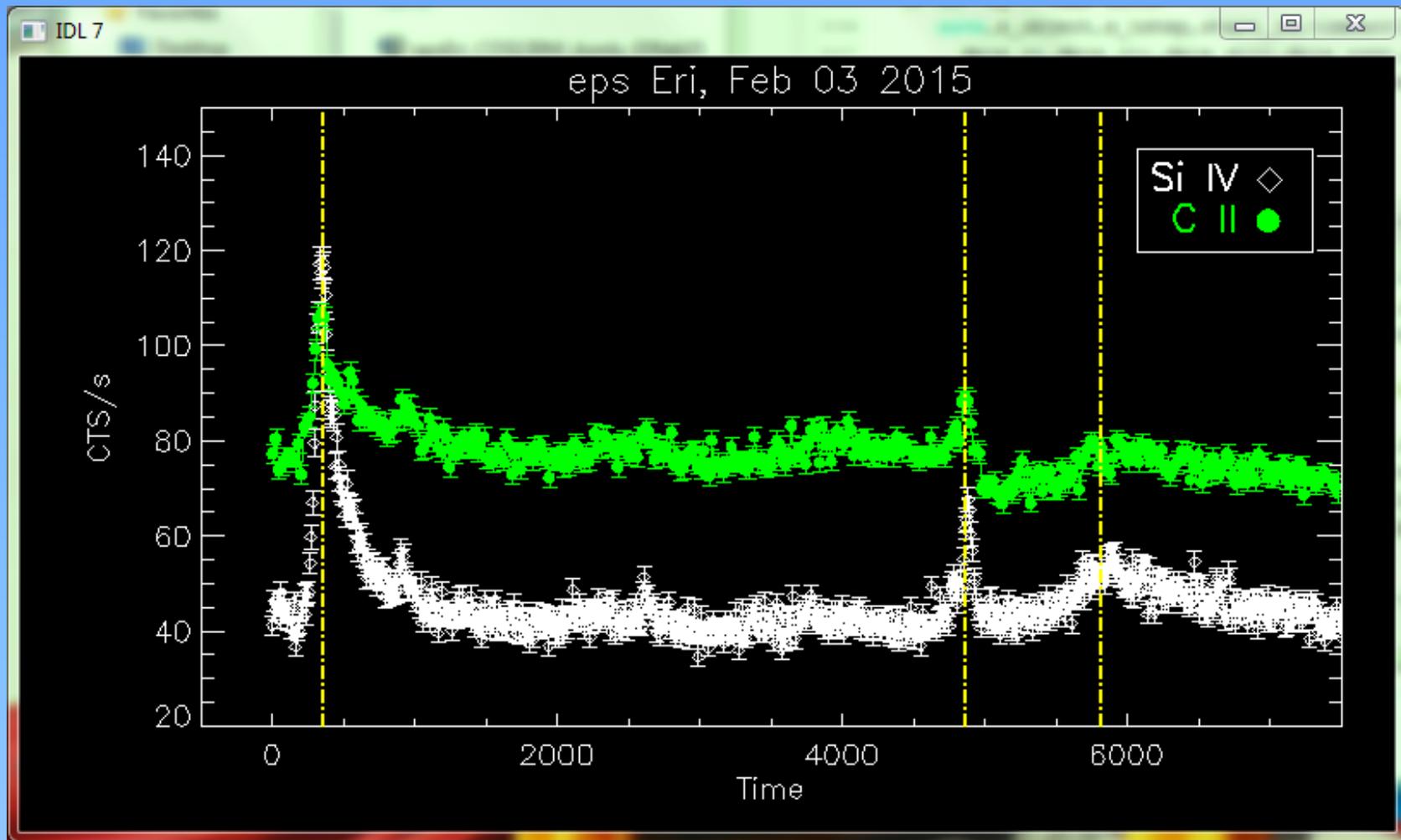
$$\Delta F(30 - 40 \text{ nm}) = -0.03 \text{ [18\%]}$$



Youngblood et al. 2016
Linsky et al. 2014

UV variability in other exoplanet host stars

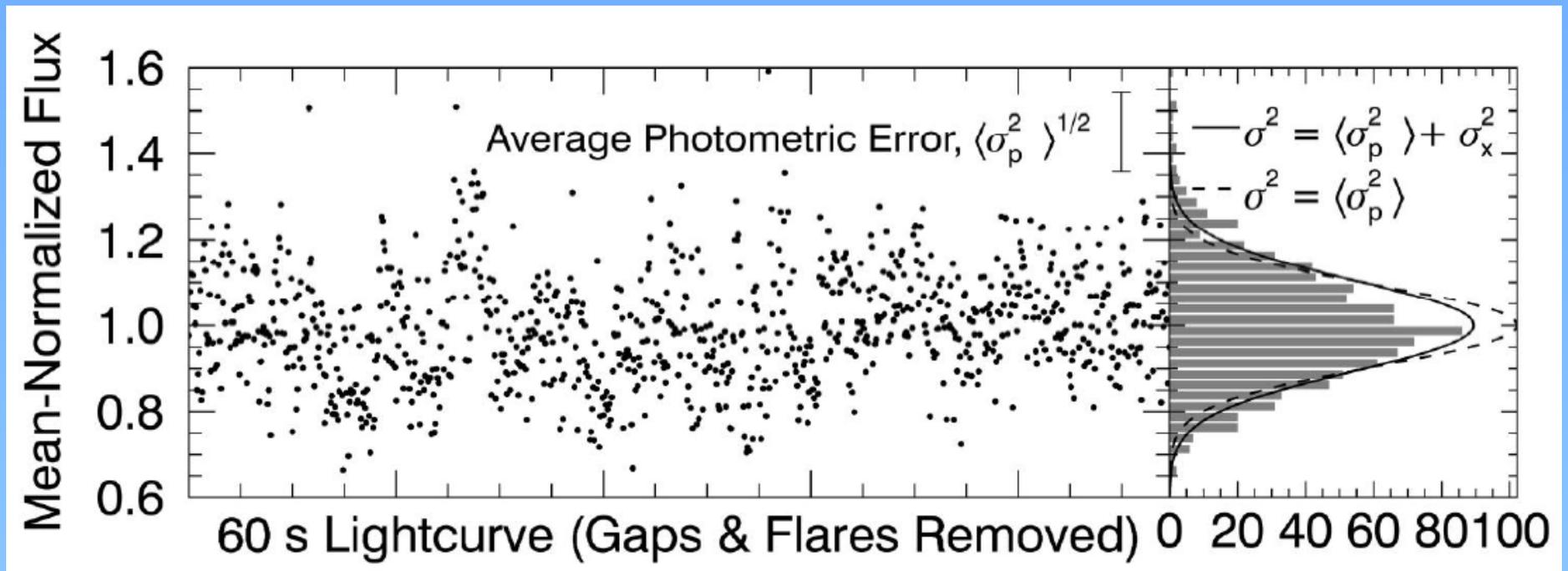
- K-dwarf ϵ Eri, FUV Flare. L_{FUV} increase ~ 3



HST-COS, Feb 02 2015

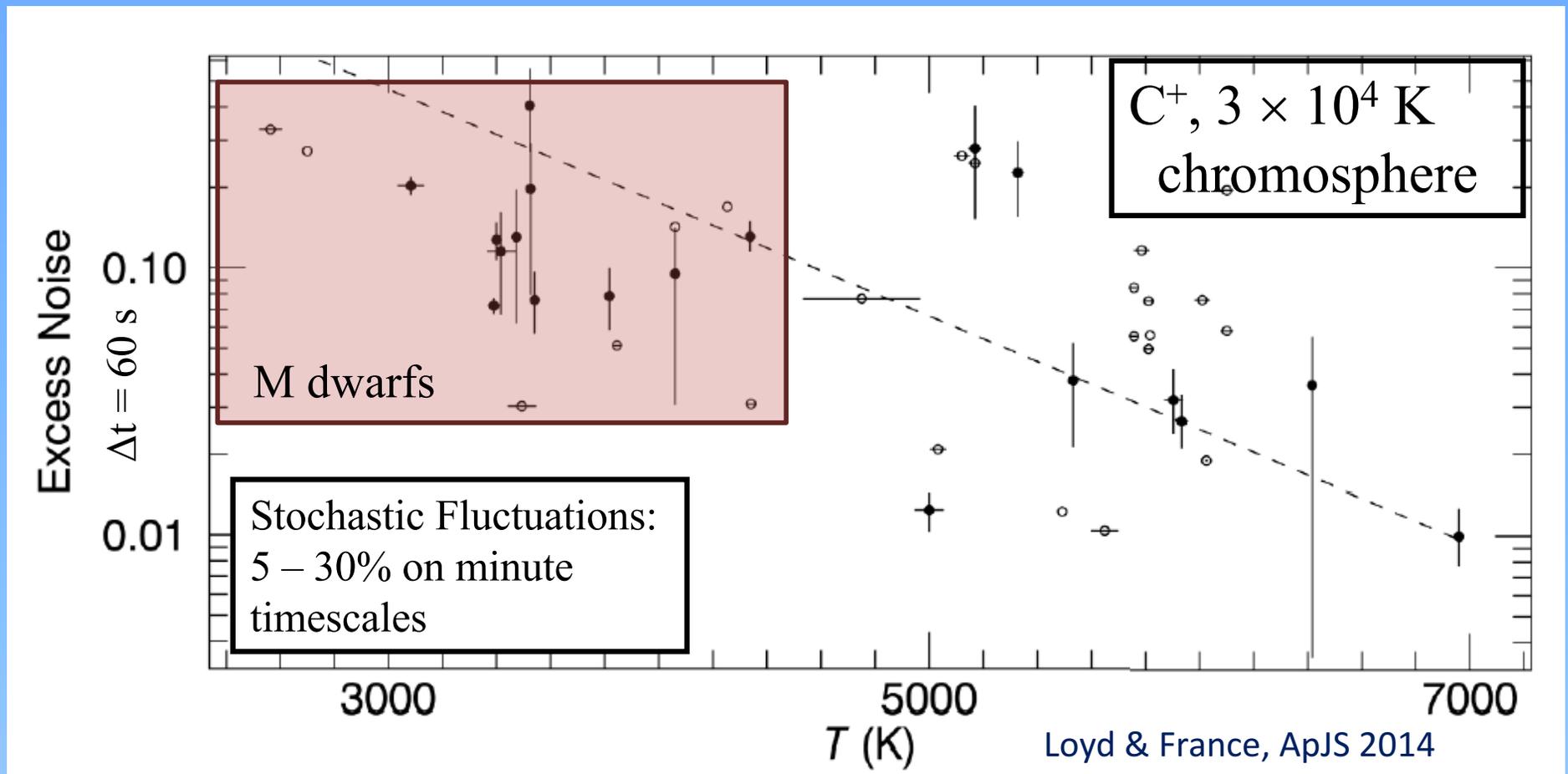
UV variability in G, K, and M stars

- Stochastic Fluctuations = “excess” noise beyond photometric uncertainties, after removing flares.
- Likely microflares or smaller reconnection events



UV variability in G, K, and M stars

- Stochastic Fluctuations = “excess” noise beyond photometric uncertainties, likely microflaring events



Summary

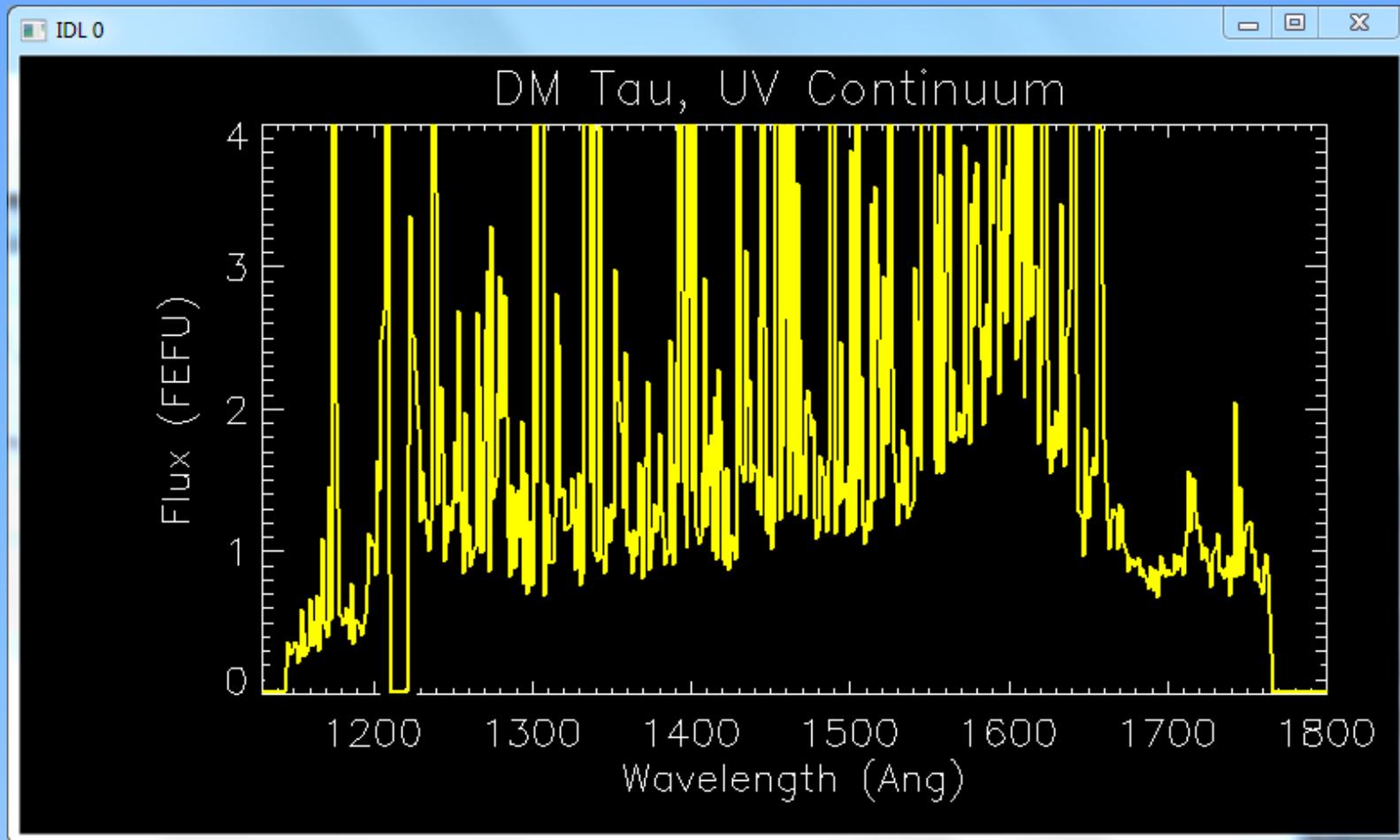
- 1) Despite 2 – 3 Myr timescale for the end of accretion, many 1 – 10 Myr protoplanetary disks (CTTS + Herbig Stars) display a rich molecular layer at planet-forming radii (0.1 – 10 AU)
- 2) Fundamental band CO studies ($\lambda \sim 4.7 \mu\text{m}$) provide the most detailed constraints on the temperature and kinematics of the 0.1 – 1.0 AU inner disk, including disk winds
- 3) H_2O , OH, and organic molecules are common inside 3 AU
- 4) UV spectroscopy is a promising technique for the characterization of the photoexcitation of the inner disk surface (0.1 – 10 AU). Simultaneous coverage of CO and H_2 set a basis for gas-phase abundance and disk structure studies.

Molecular Gas in the 0.1 – 10 AU Circumstellar Environments Around Young Stars

Mahalo

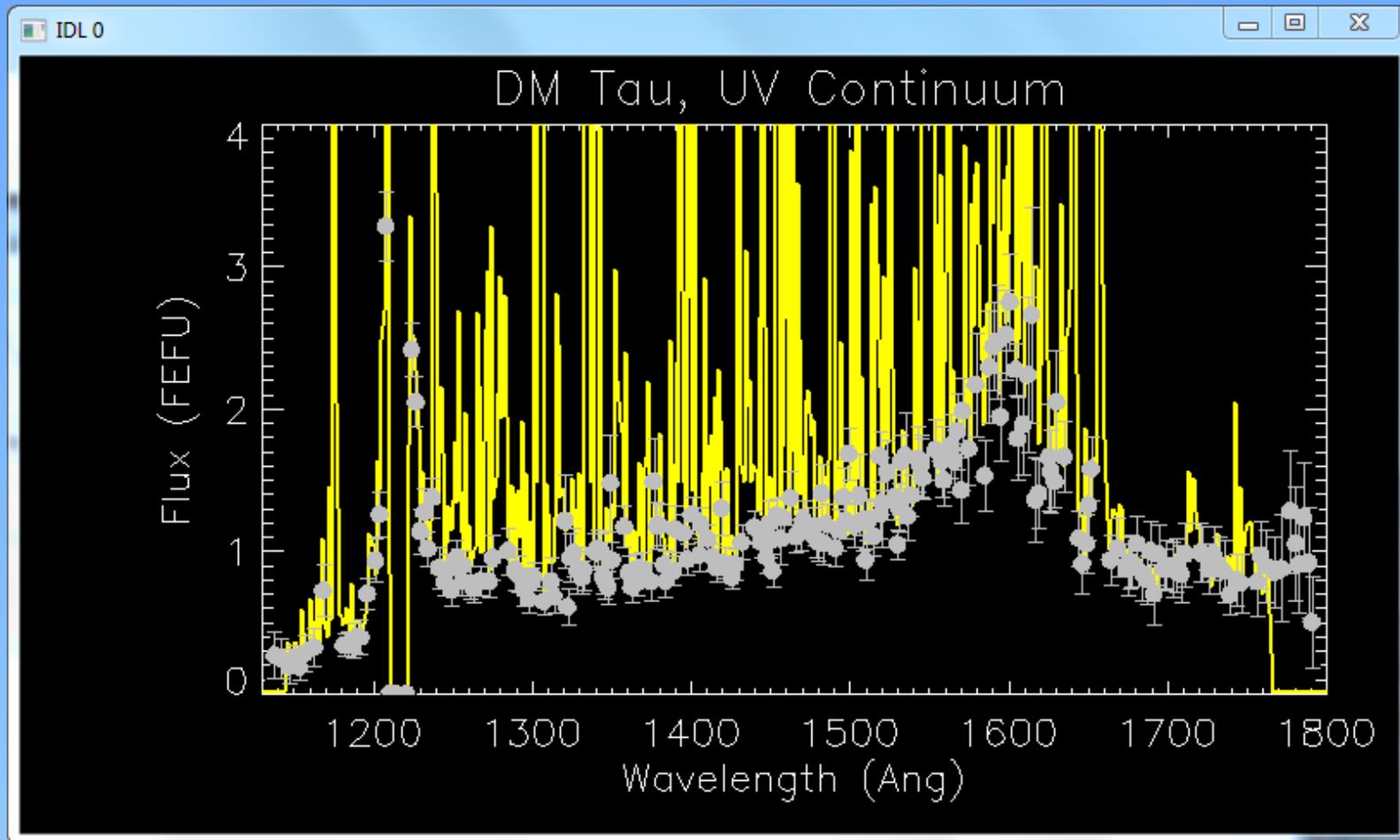
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

- COS enables robust FUV continuum characterization for the first time



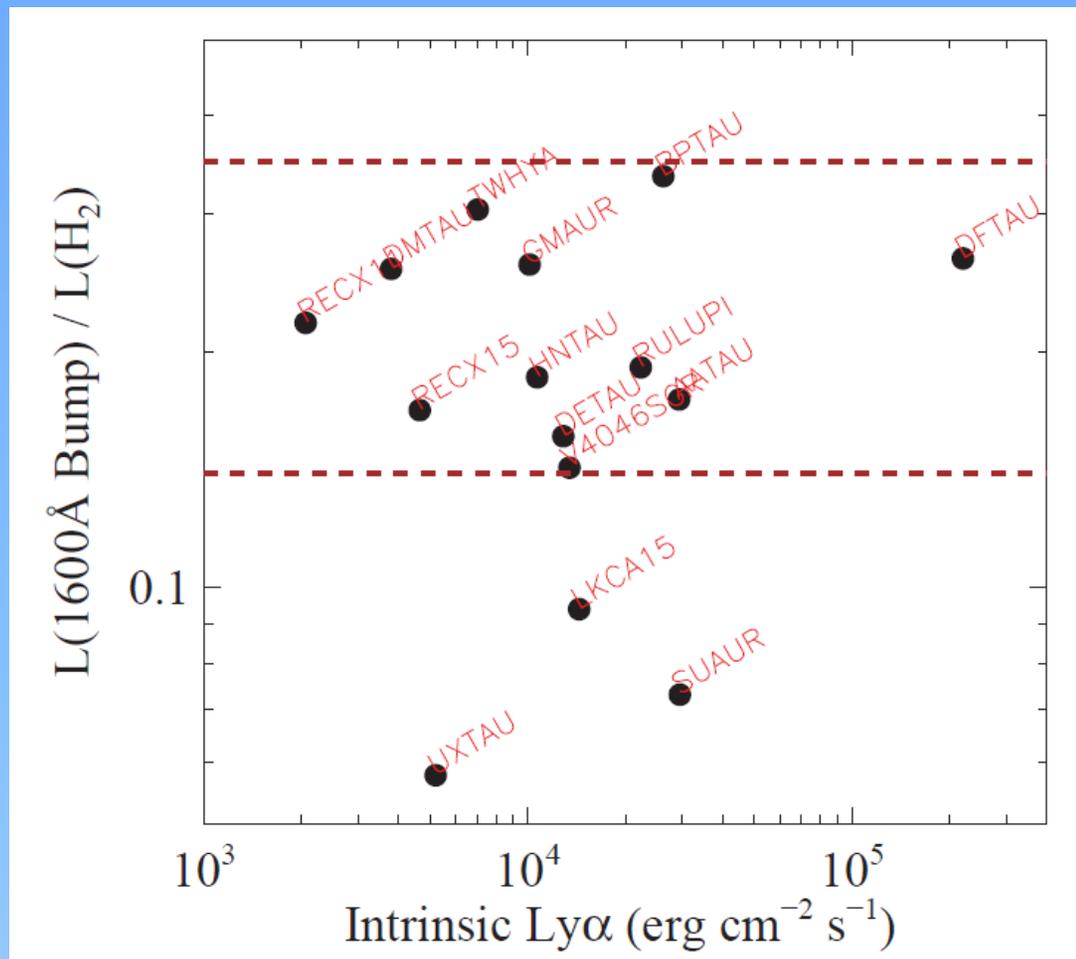
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

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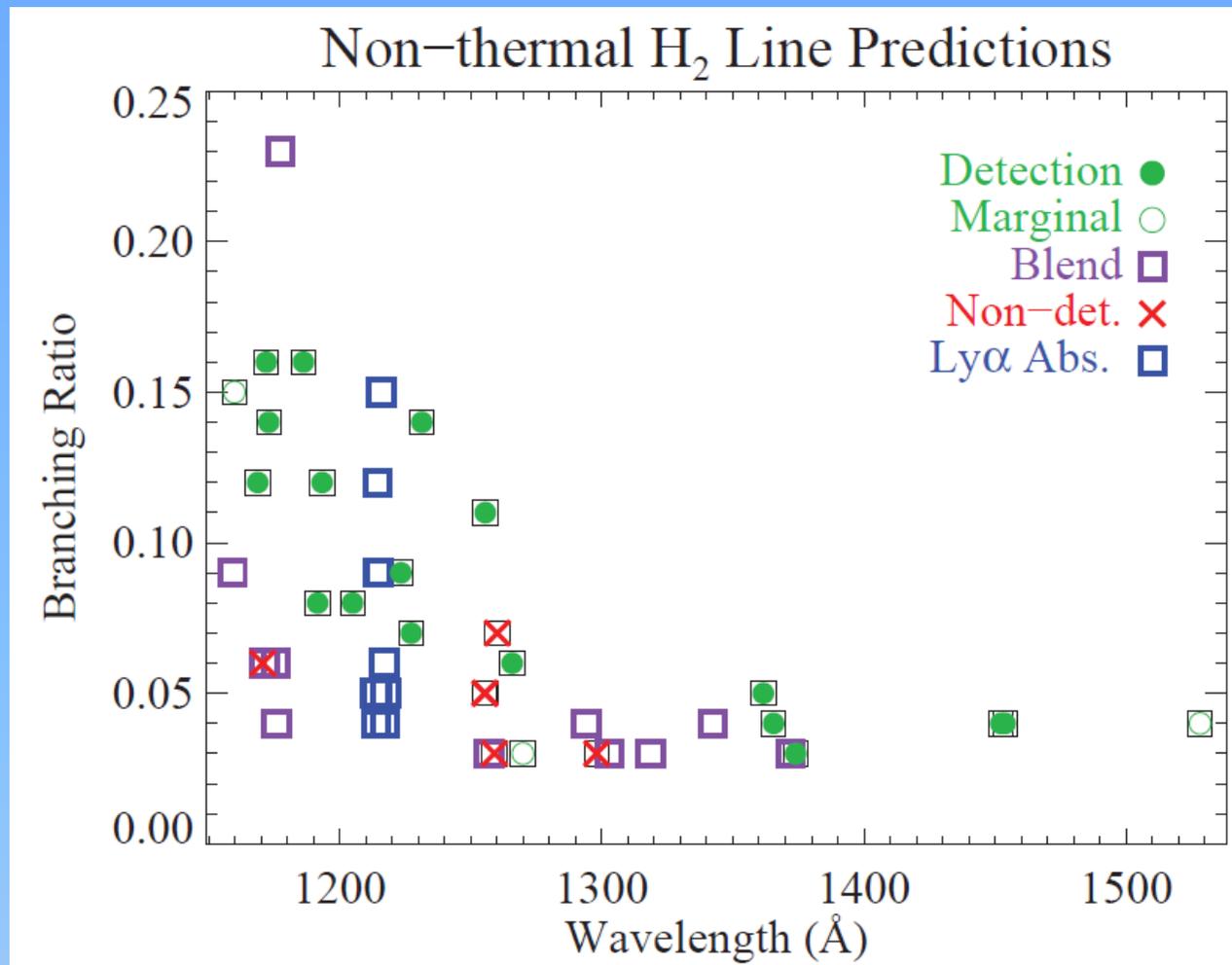
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

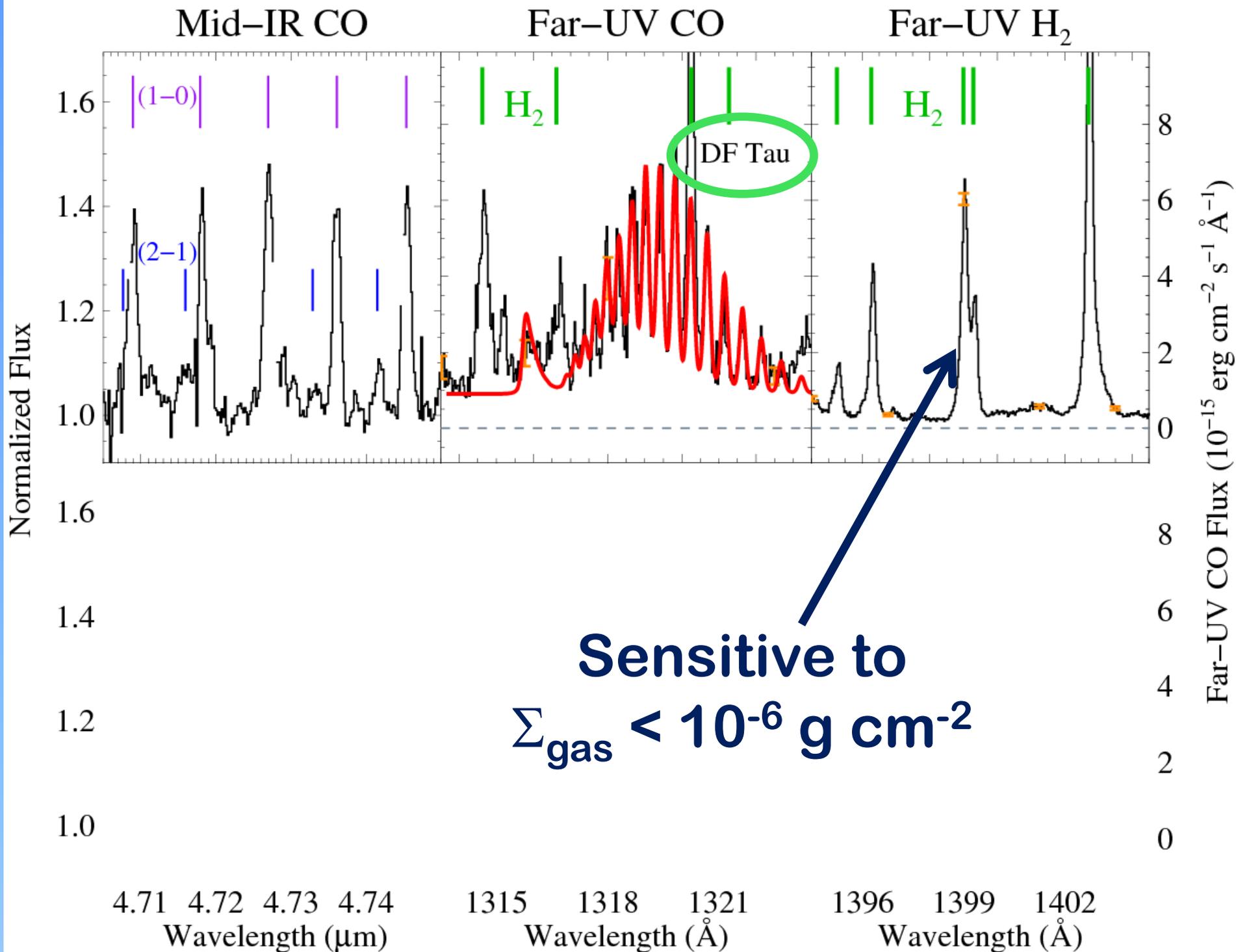
- “1600Å Bump” Measurement and Correlations
- 10 – 30% of Ly α pumped H₂ luminosity(!), must tie in to Ly α

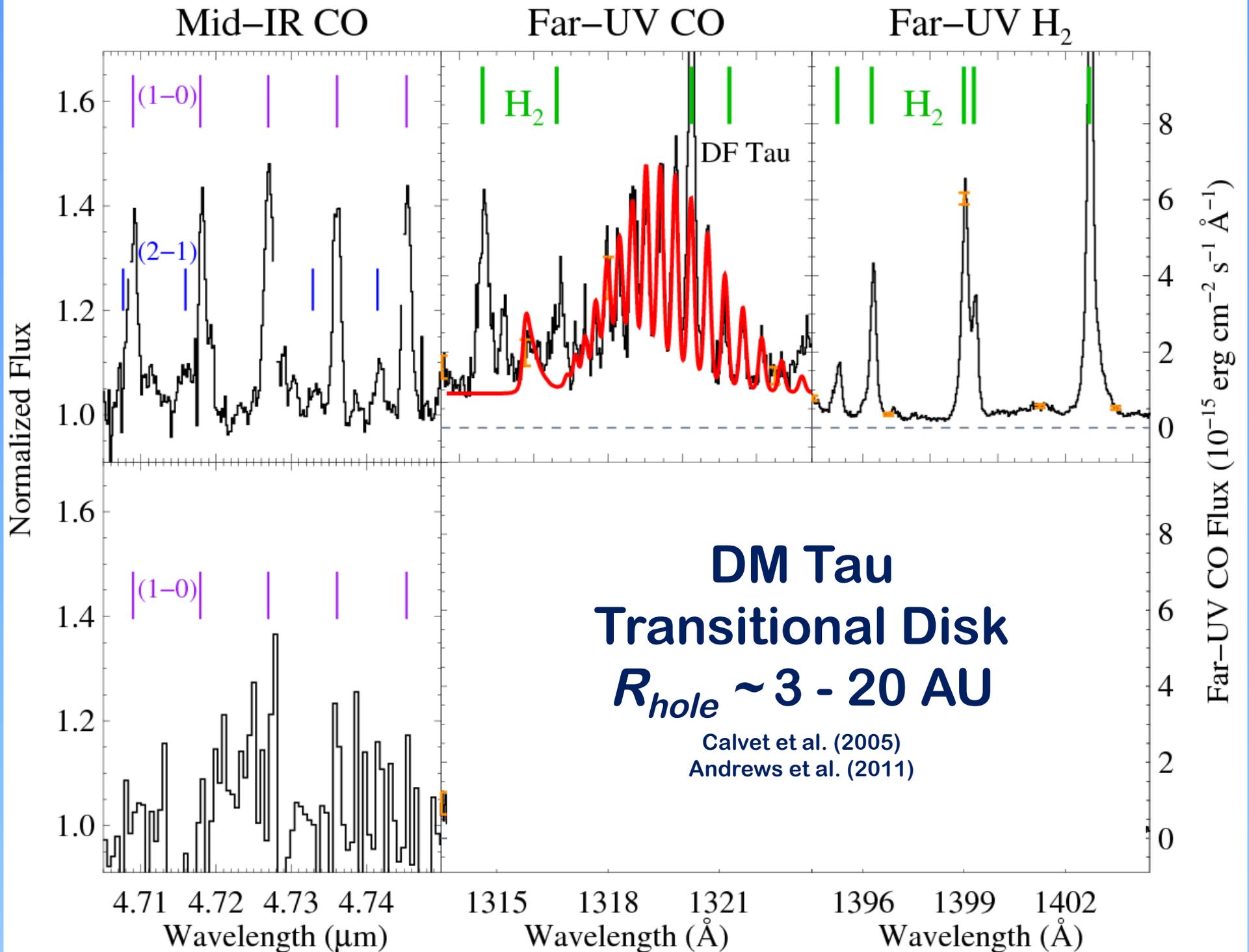


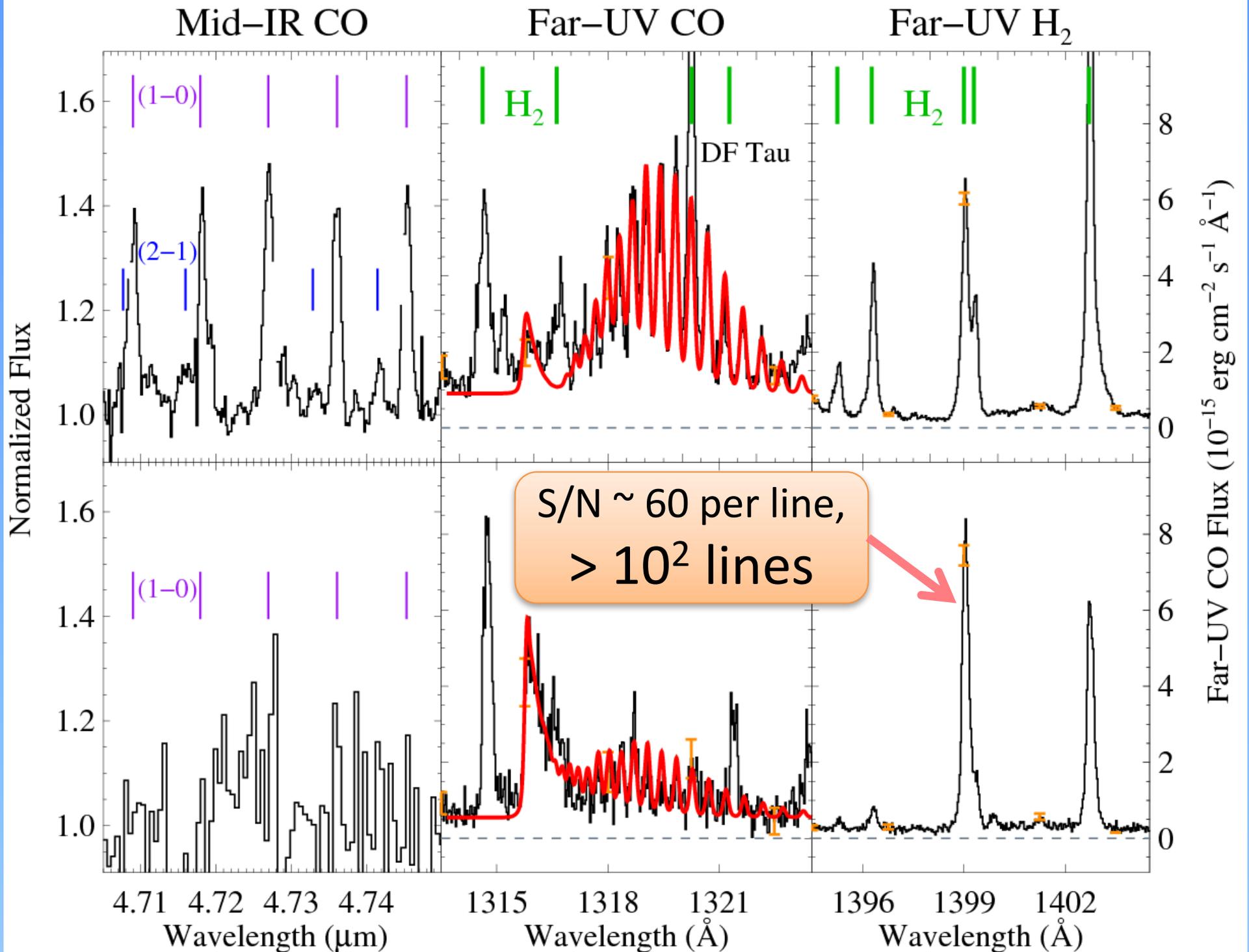
Composition of Protoplanetary Disks: Indirect Observations of H₂O at ~1 AU?

- “1600Å Bump” mechanism: $\text{H}_2\text{O} + \text{Ly}\alpha \rightarrow \text{H}_2^* + \text{O}$
 - $\text{H}_2^* + \text{Ly}\alpha \rightarrow$ observed line and continuum spectra
- Electron-impact does not fit, Ly α -pumped H₂O fragments do



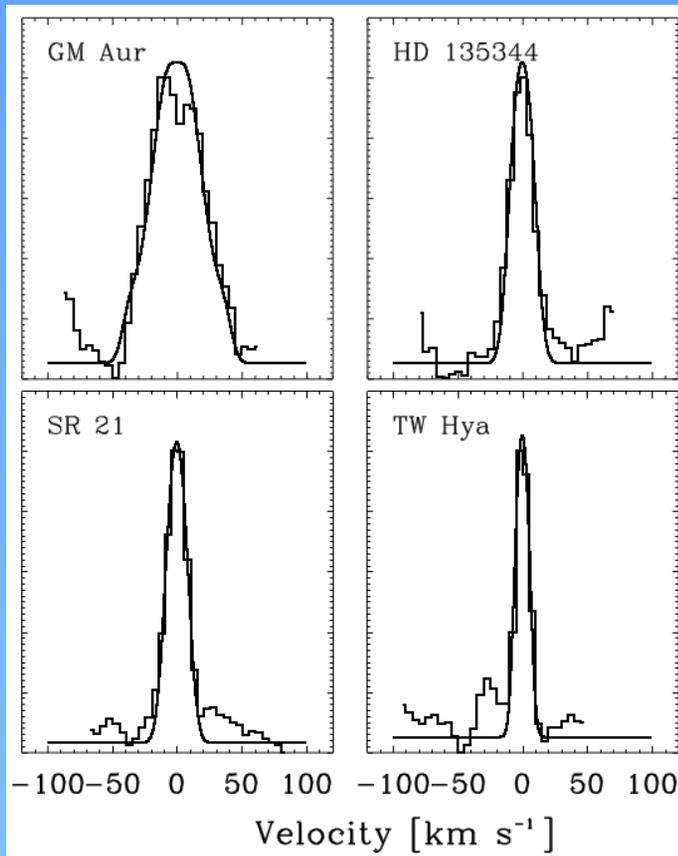






Molecules at $r < 10$ AU

$\lambda \sim 4 - 5 \mu\text{m}$ CO
fundamental emission



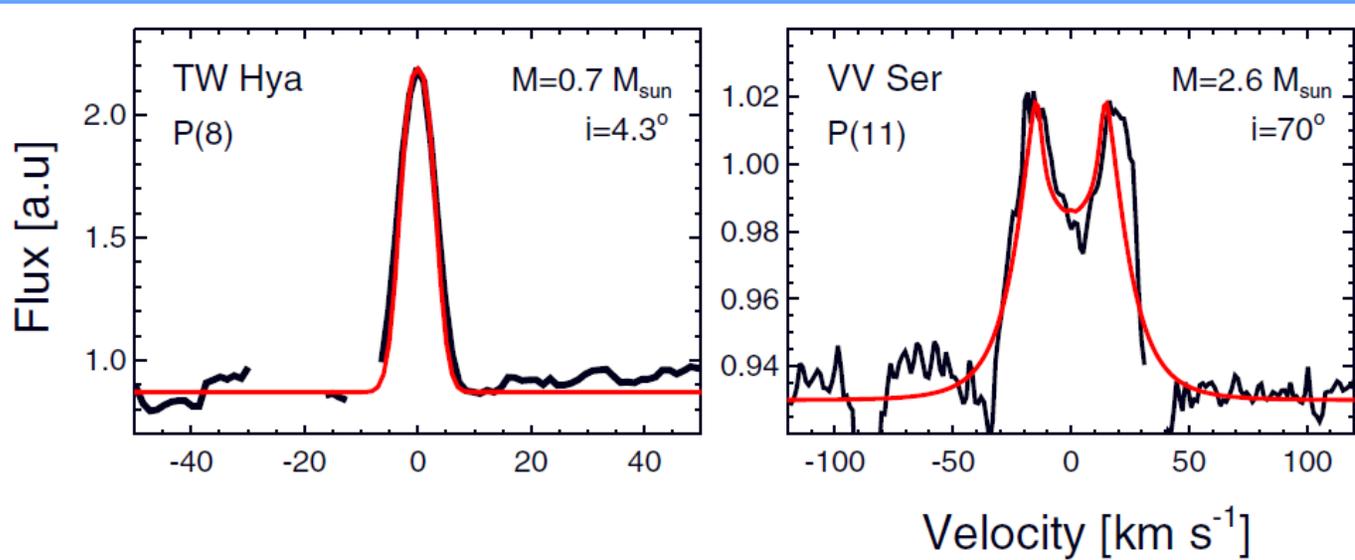
Salyk et al. 2009

High-resolution mid-IR ground-based
spectrographs:

- 1) NIRSPEC ($R \sim 25,000$)
- 2) CRIRES ($R \sim 90,000$)

CO Rovibrational Emission

Bast et al. 2011

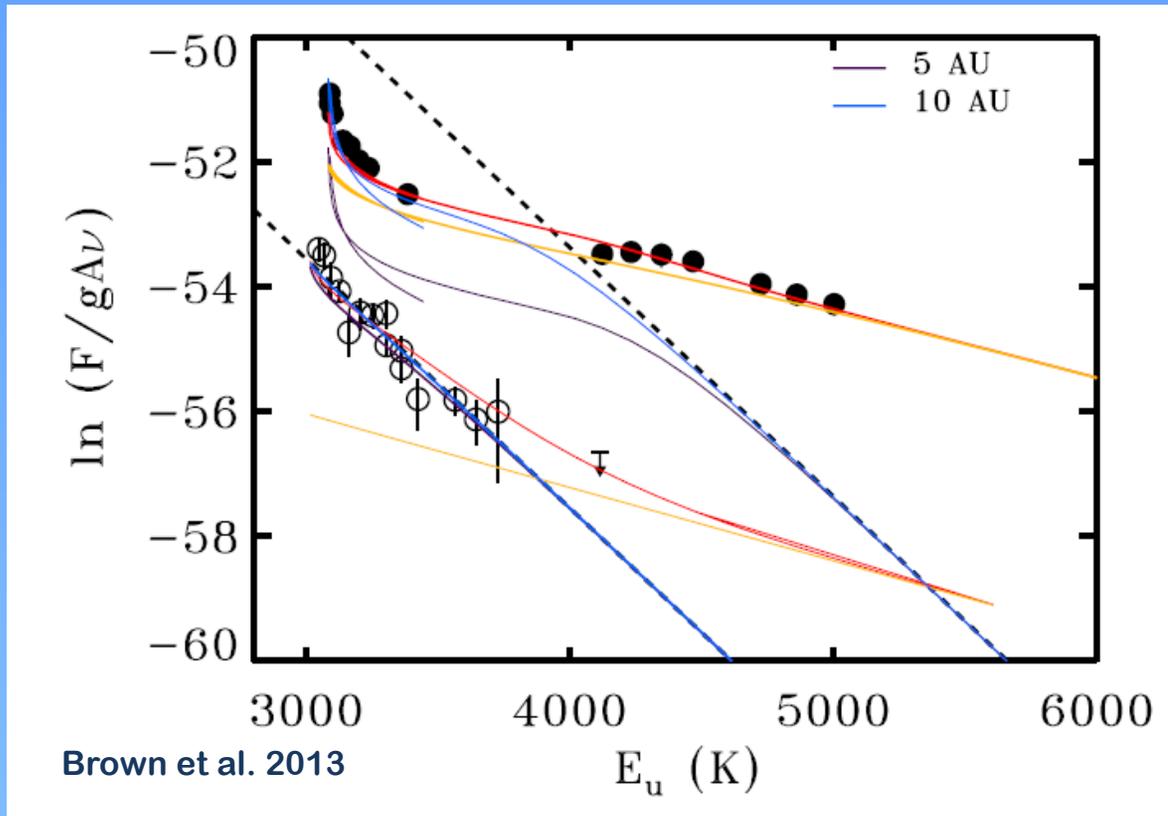


- **Keplerian Disk**
(requires inclination & M_*)

- **Disk + Wind**
(spectroastrometry
desirable)

- Inferred Spatial Distributions:
- 1) **Line Profiles & Astrometry**
 - 2) **Temperature Distributions**

CO Rovibrational Emission



$T_{\text{rot}} (\text{IR-CO}) = 300 - 1500\text{K}$
(warm molecular layer near/
interior to 1 AU)

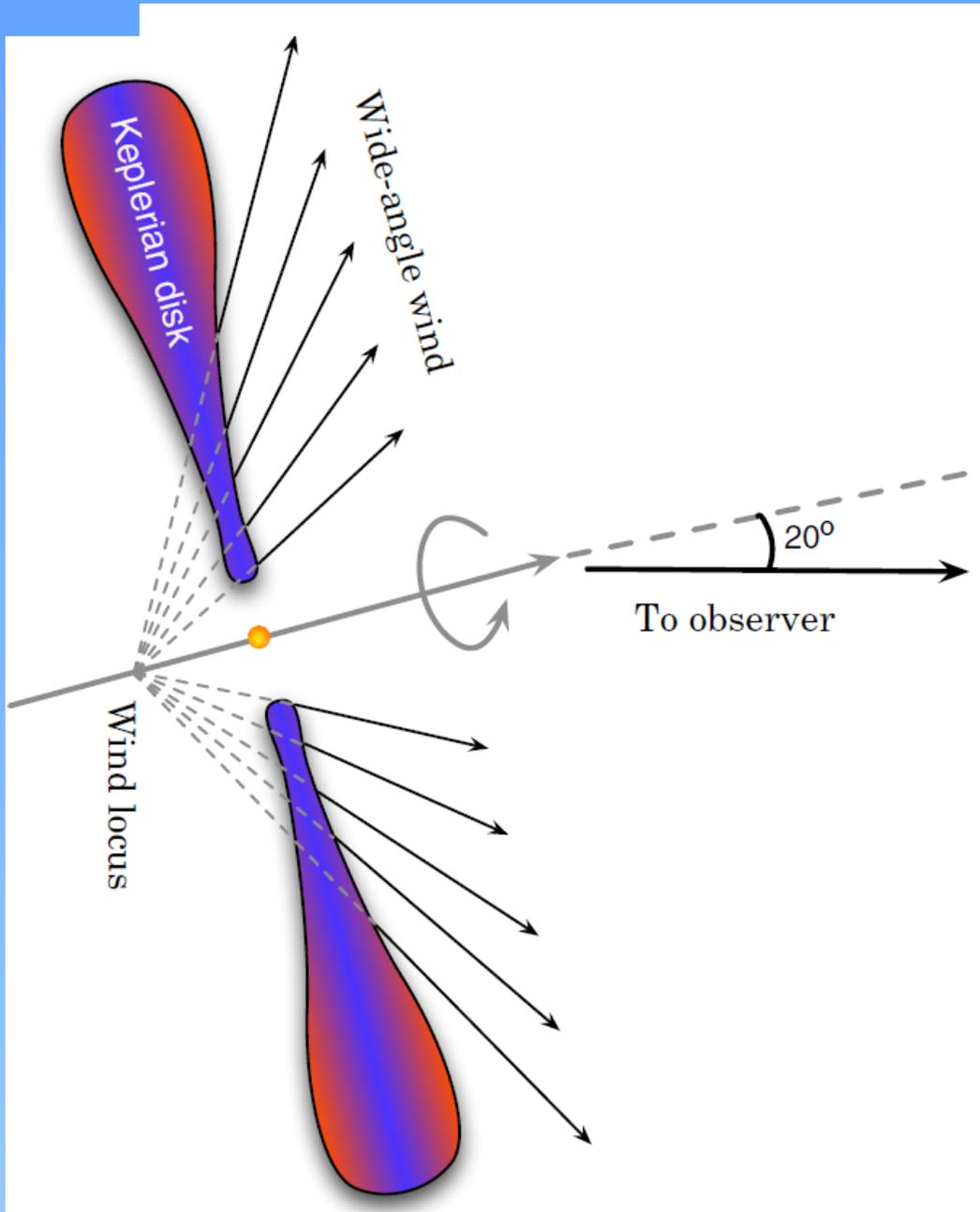
Najita et al. 2003
Salyk et al. 2007-2011
Brown et al. 2013

- **Rotational Excitation Diagrams**
(optical depth effects)
- **Isotopic Fractions and Vibrational Excitation**
(UV radiation field,
grain opacities)

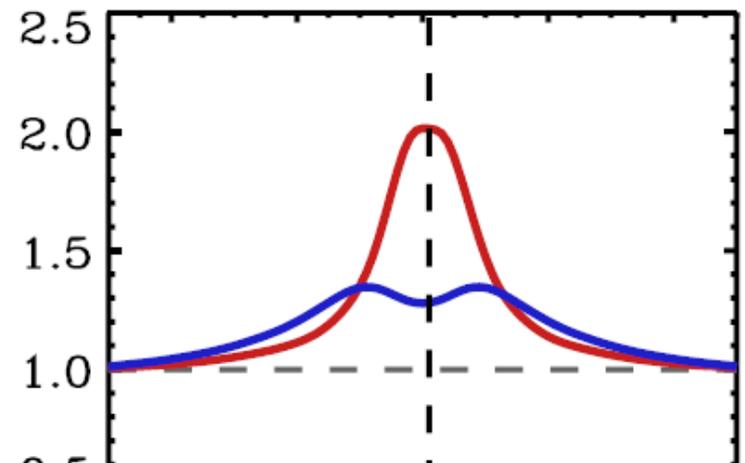
Inferred Spatial Distributions:
1) Line Profiles & Astrometry
2) **Temperature Distributions**

CO Rovibrational Emission

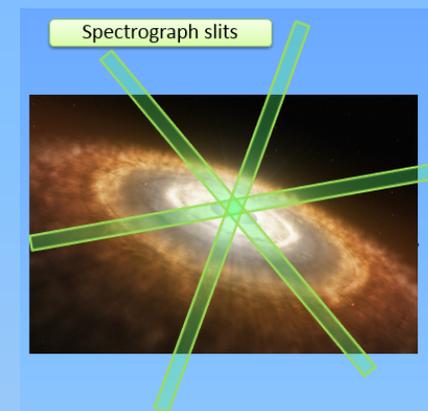
Pontoppidan et al. 2011



Wind + disk disk only



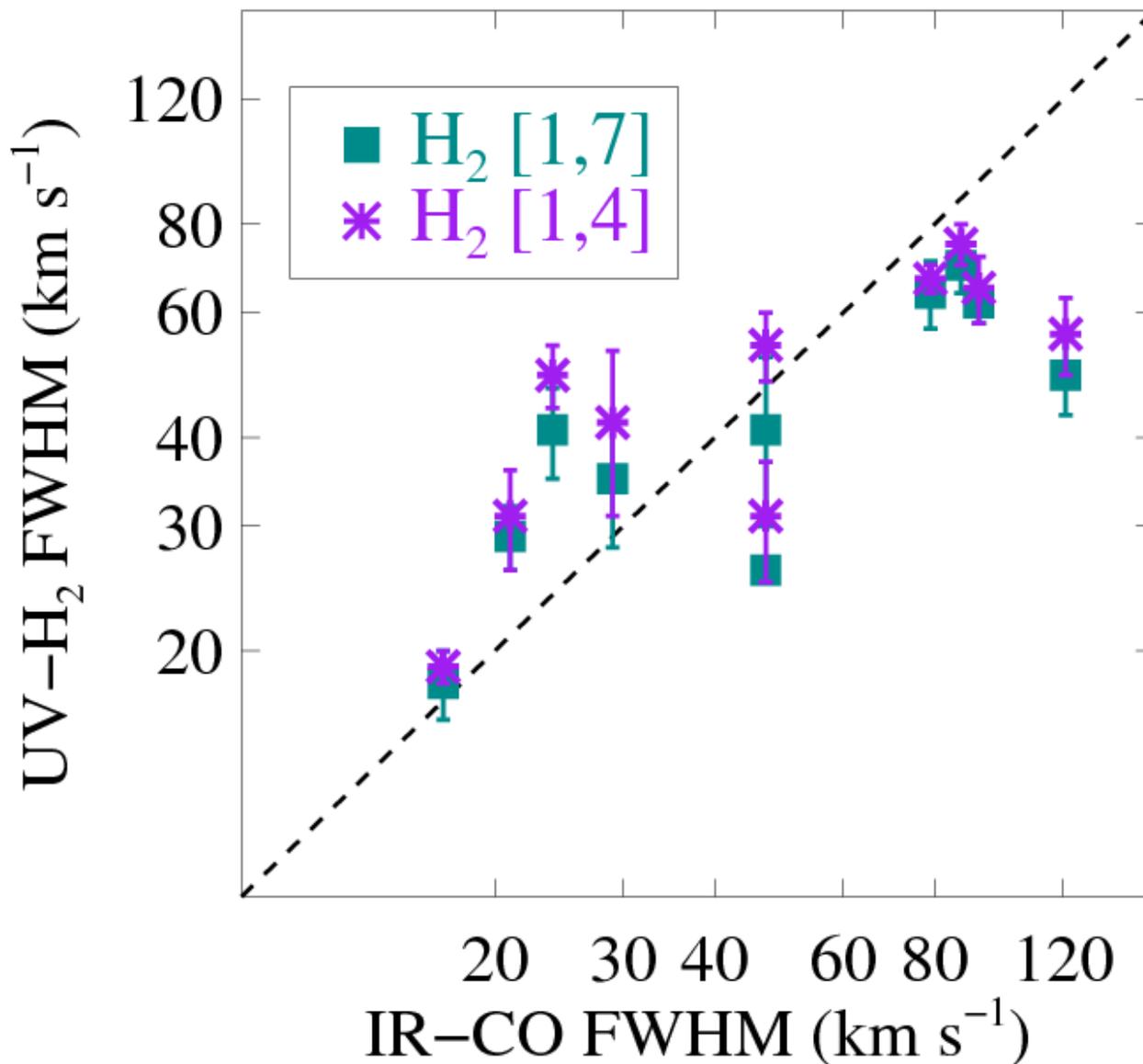
- Inferred Spatial Distributions:
- 1) **Line Profiles & Astrometry**
 - 2) **Temperature Distributions**



Molecules in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk

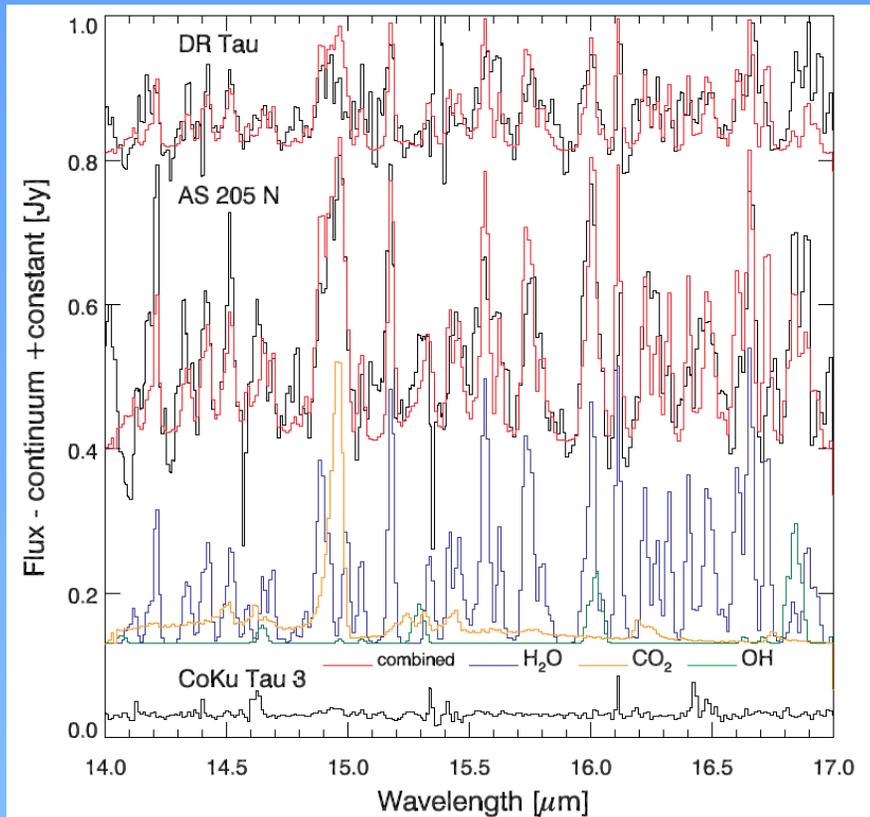
- UV-H₂: Most targets dominated by single, symmetric H₂ line profile at the stellar radial velocity:
 1. Disk surface origin, likely wind component
 2. $T_{\text{rot}}(\text{UV-H}_2) = 2500 \pm 1000 \text{ K}$
 3. $R_{\text{UV-H}_2} = \mathbf{0.1 - 3 \text{ AU}}$; $M_{\text{H}_2}(2500\text{K}) \sim 10^{-6} - 10^{-4} M_{\oplus}$
- UV-CO: Narrower lines, no evidence for broad component
 1. Disk surface origin
 2. $T_{\text{rot}}(\text{UV-CO}) = 400 \pm 300 \text{ K}$
 3. $R_{\text{UV-CO}} = \mathbf{2.0 - 10 \text{ AU}}$; $M_{\text{H}_2}(500\text{K}) \sim 10^{-2} - 10^{-1} M_{\oplus}$

Molecules in the Inner Disk: Ultraviolet-Infrared Relationship

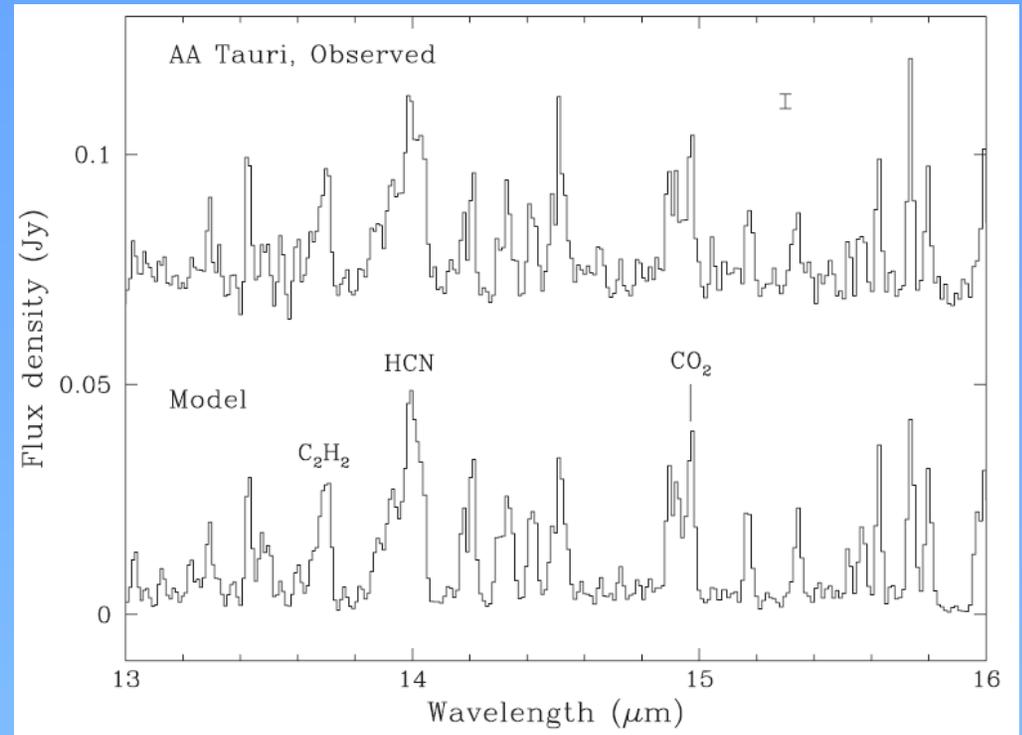


H₂O & organics

Warm, Inner disk origin



Salyk et al. 2008

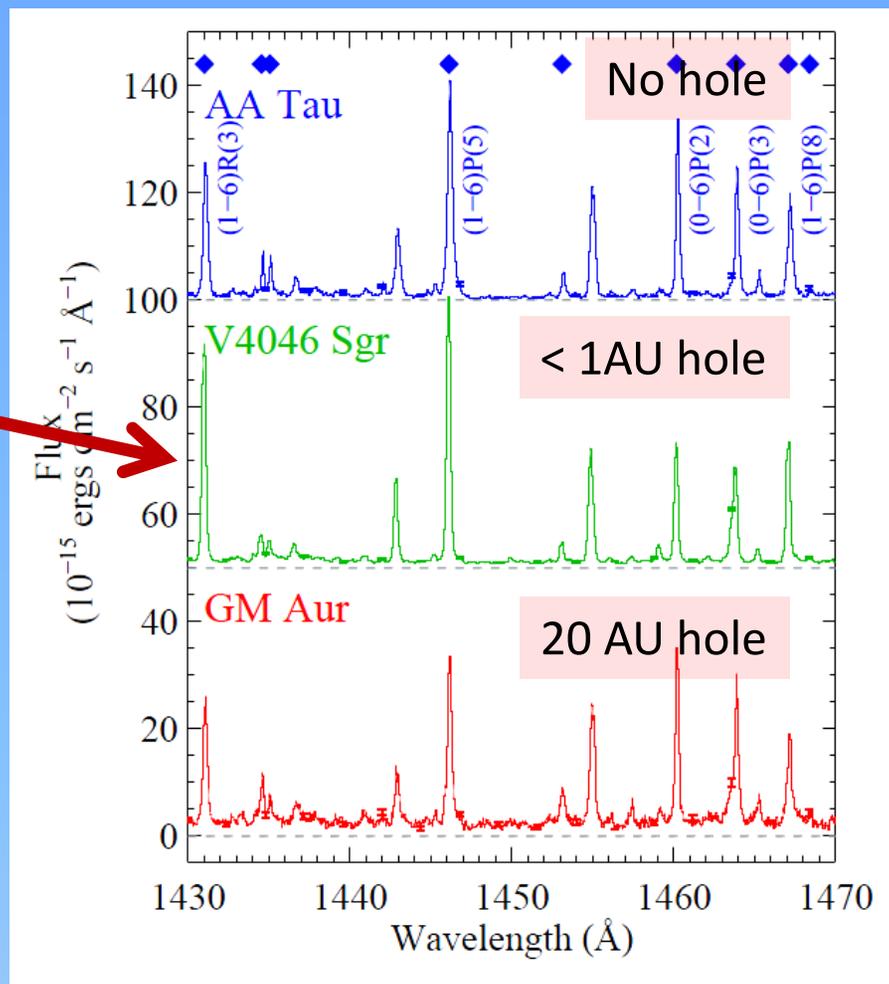
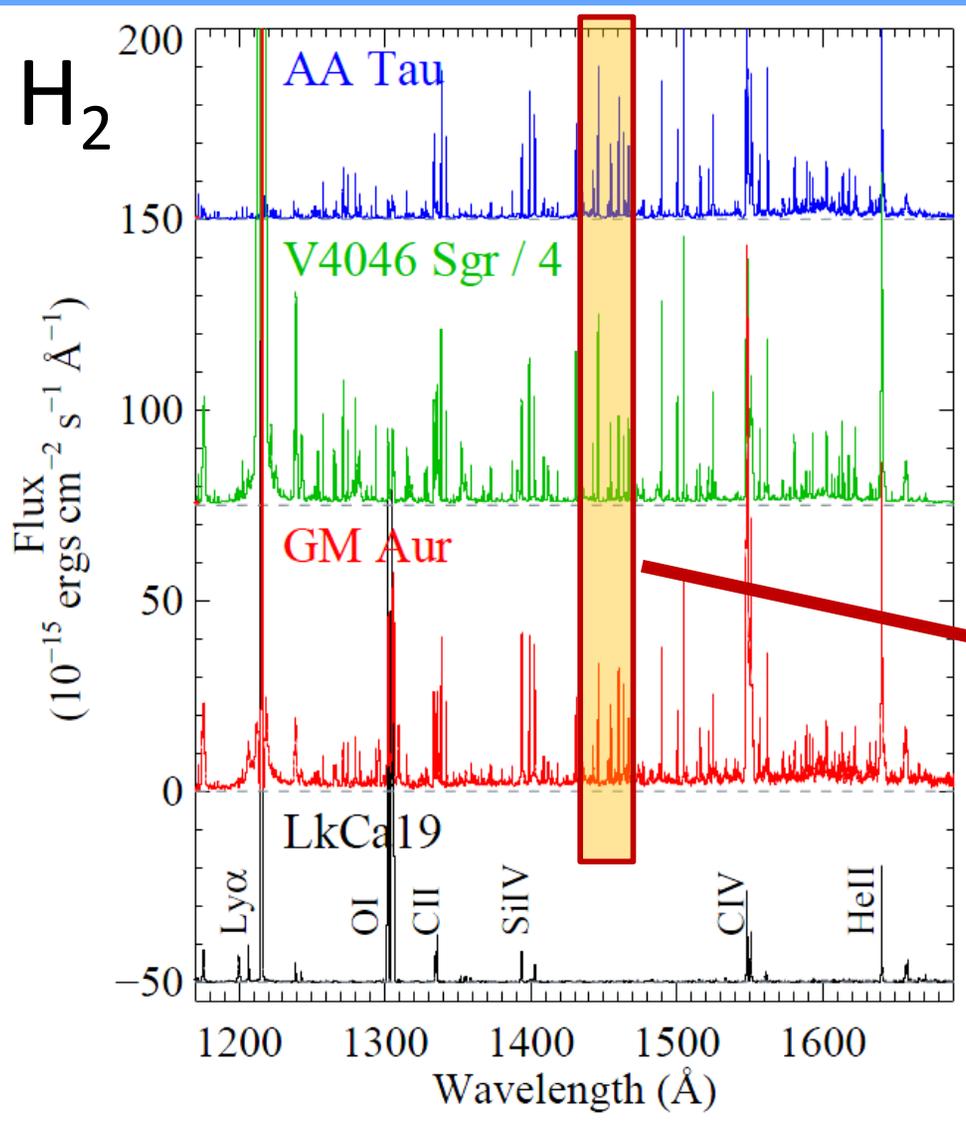


Carr & Najita et al. 2008

Molecule	T (K)	N (10^{16} cm ⁻²)	R^* (AU)
H ₂ O	575 ± 50	65 ± 24	2.1 ± 0.1
OH	525 ± 50	8.1 ± 5.2	2.2 ± 0.1
HCN	650 ± 100	6.5 ± 3.3	0.60 ± 0.05
C ₂ H ₂	650 ± 150	0.81 ± 0.32	0.60†
CO ₂	350 ± 100	0.2 – 13	1.2 ± 0.2
CO	900 ± 100	49 ± 16	0.7 ± 0.1

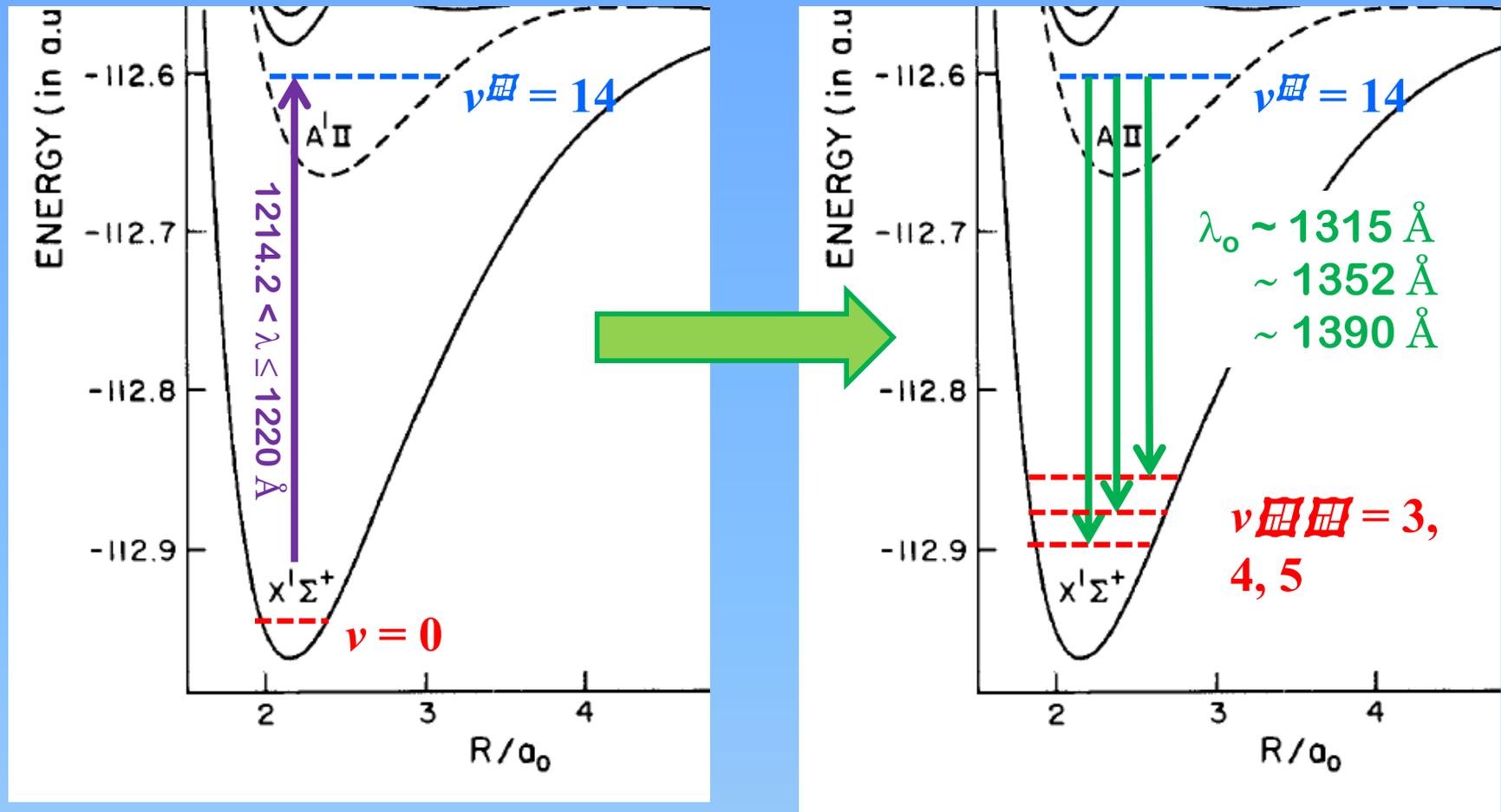
Molecules in Protoplanetary Disks: Ultraviolet Emission from the Inner Disk

10s – 100s of photo-excited H_2 lines in every gas-rich disk spectrum

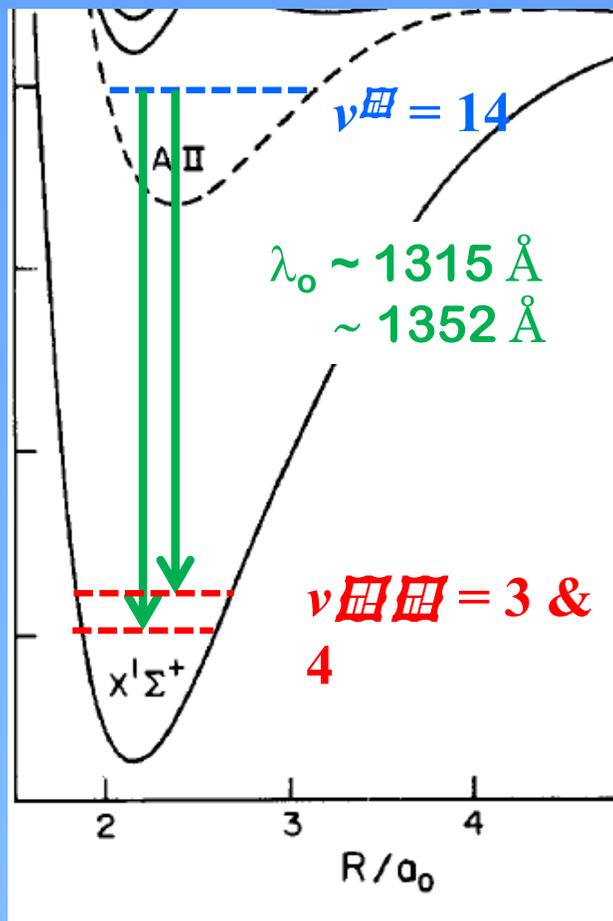


UV-H₂ and UV-CO

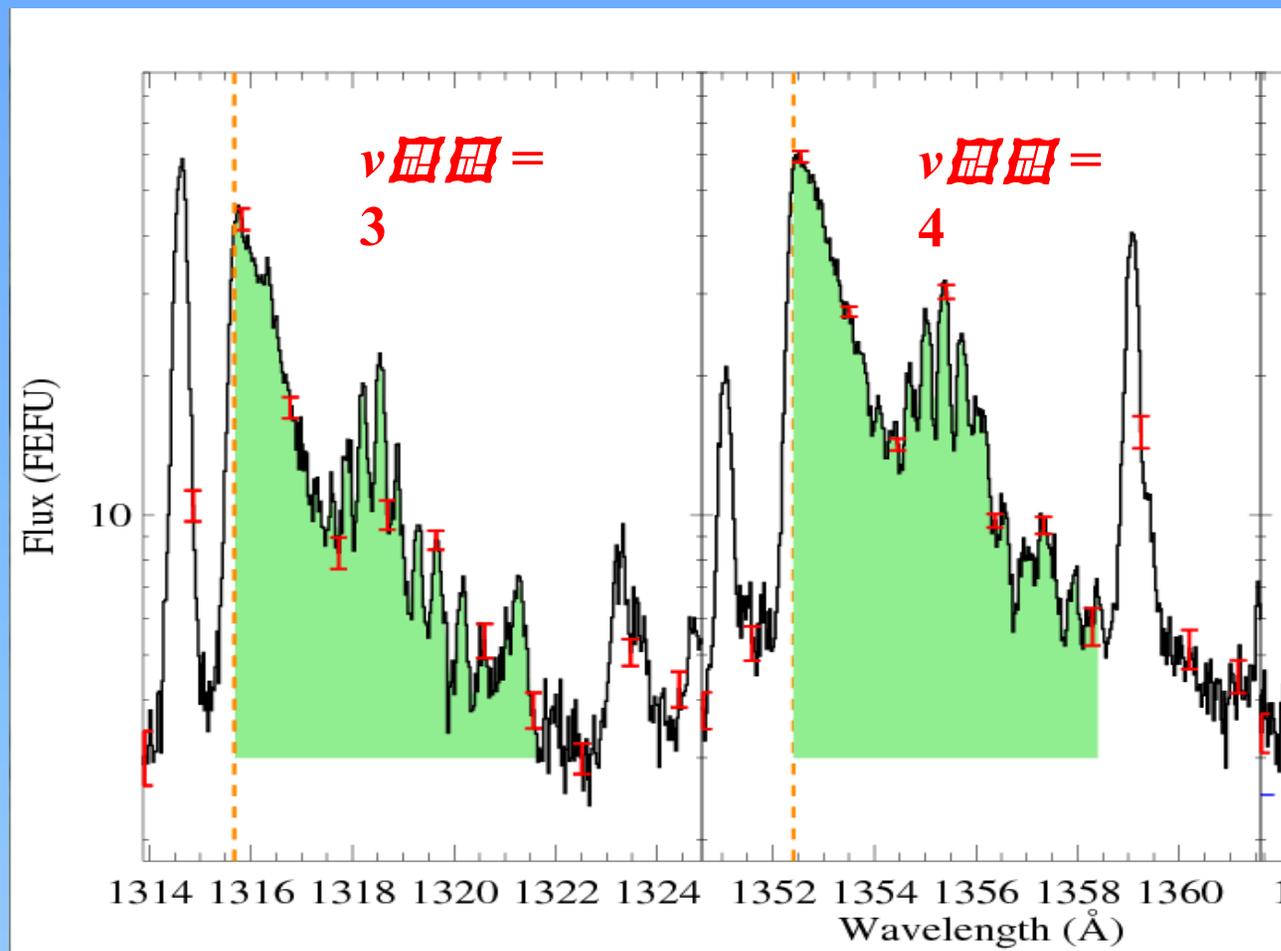
CO Fluorescence



UV-H₂ and UV-CO

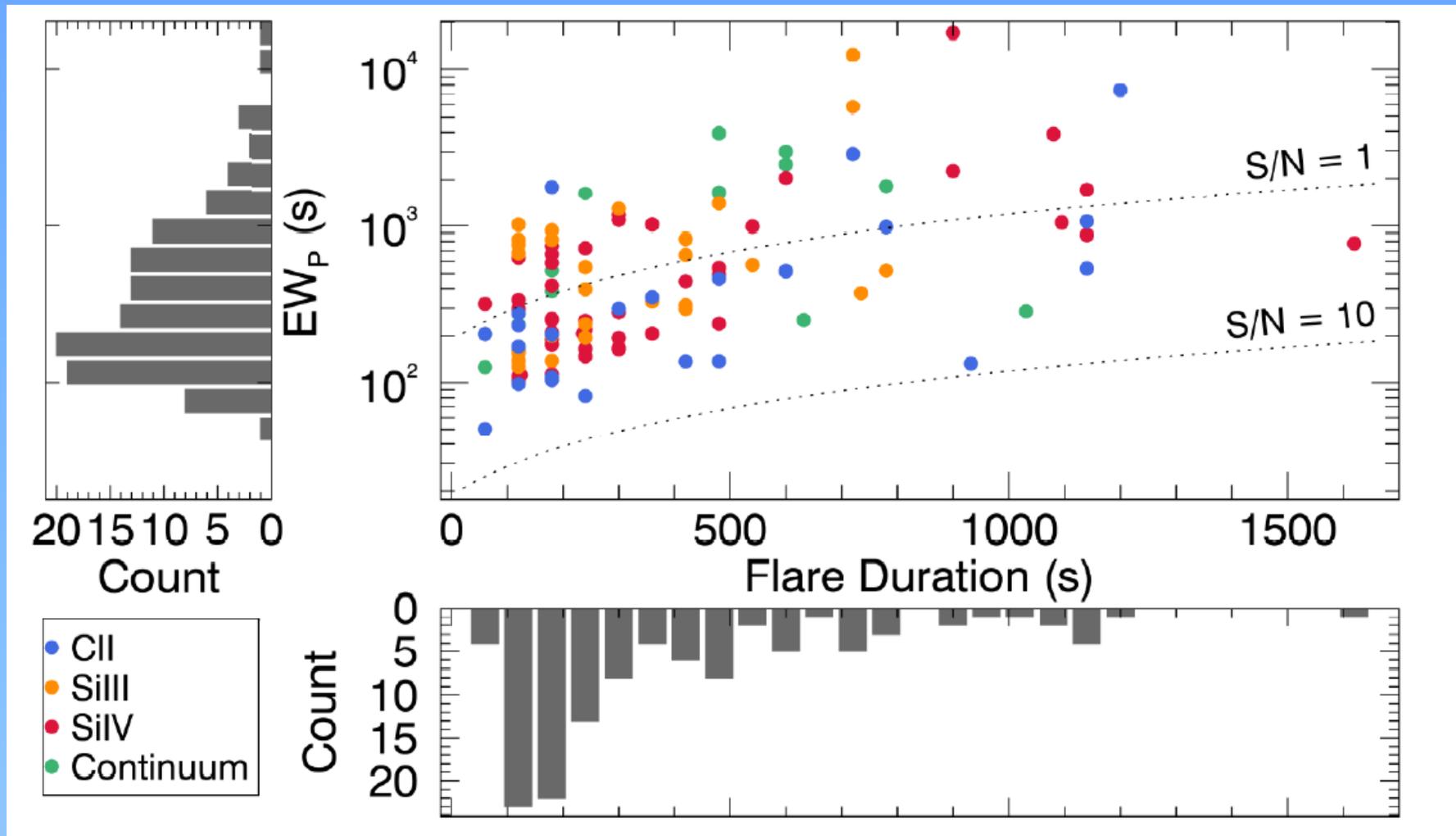


Strong detections of photo-excited CO
in $\sim 60\%$ of our gas-rich disk targets

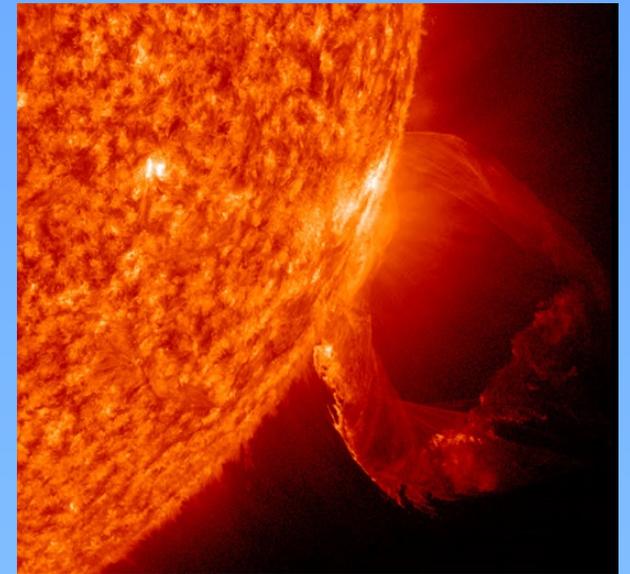
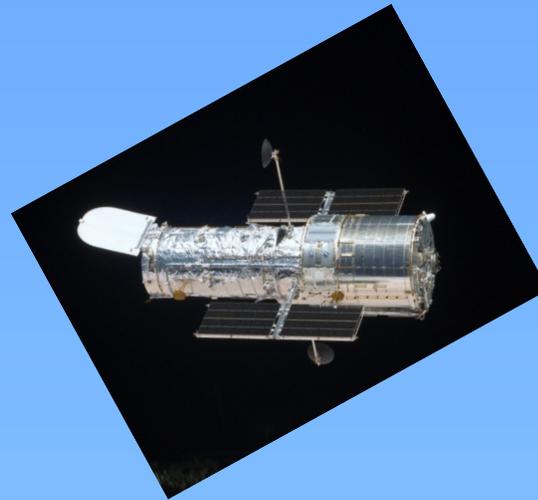
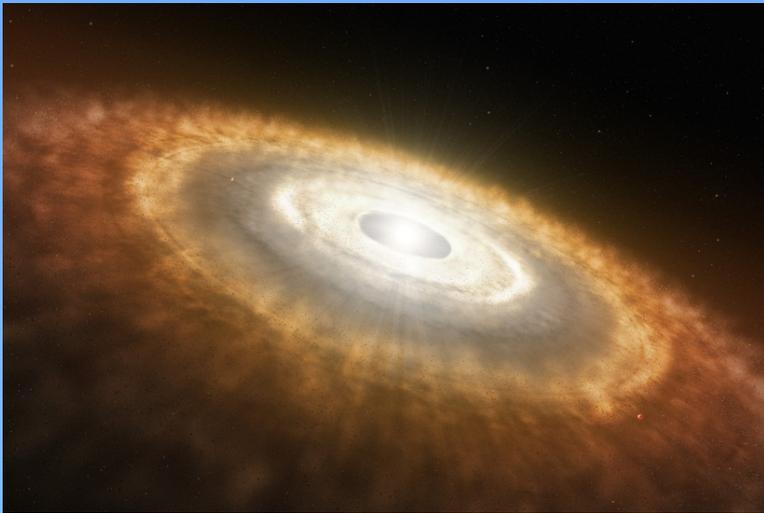


UV variability in G, K, and M stars

- UV Flare statistics



Hubble's Ultraviolet View of Protoplanetary Disks and Exoplanetary Environments



Kevin France

University of Colorado at Boulder

University of St. Andrews – March 4th 2016

